

INTERNATIONAL STANDARD

NORME INTERNATIONALE

Nuclear power plants – Instrumentation and control systems important to safety – Data communication in systems performing category A functions

Centrales nucléaires de puissance – Systèmes d'instrumentation et de contrôle-commande importants pour la sûreté – Communications de données dans les systèmes réalisant des fonctions de catégorie A



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INTERNATIONAL ELECTROTECHNICAL COMMISSION

**NUCLEAR POWER PLANTS –
INSTRUMENTATION AND CONTROL SYSTEMS IMPORTANT TO SAFETY –
DATA COMMUNICATION IN SYSTEMS PERFORMING
CATEGORY A FUNCTIONS**

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as “IEC Publication(s)”). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
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International Standard IEC 61500 has been prepared by subcommittee 45A: Instrumentation, control and electrical power systems of nuclear facilities, of IEC technical committee 45: Nuclear instrumentation.

This third edition cancels and replaces the second edition published in 2009. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) the changes introduced to previously referenced standards have been confirmed to apply;
- b) relevant newly published standards have been referenced;
- c) lessons learned from several industrial applications have been incorporated.

The text of this standard is based on the following documents:

FDIS	Report on voting
45A/1183/FDIS	45A/1194/RVD

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

INTRODUCTION

a) Technical background, main issues and organization of the standard

The equipment for data communication of on-line plant data can simplify the hardwired cables connecting distributed systems for instrumentation, control, protection and monitoring needed for the safe operation of Nuclear Power Plants (NPP). Such communication systems can have advantages over direct cables, for electrical isolation, for reduction of cable fire loads or other reasons. In a distributed computer based system, communication equipment is an essential part of the system. Data communication is usually essential for implementing I&C systems important to safety in nuclear power plants.

It is intended that the document be used by operators of NPPs (utilities), manufacturers of data communication equipment, systems evaluators and by licensors.

b) Situation of the current standard in the structure of the IEC SC 45A standard series

IEC 61500 is the third level IEC SC 45A document tackling the generic issue of data communication for equipment performing category A functions.

IEC 61500 is to be read in association with IEC 61513, which is the appropriate IEC SC 45A document providing guidance on general requirements for instrumentation and control systems important to safety, IEC 60880, which is the appropriate IEC SC 45A document providing guidance on software aspects for computer based systems performing category A functions, and IEC 60987 which is the appropriate IEC SC 45A document providing guidance on hardware aspects for computer based systems.

For more details on the structure of the IEC SC 45A standard series, see item d) of this introduction.

c) Recommendations and limitations regarding the application of the standard

It is important to note that this standard establishes no additional functional requirements for safety systems.

Aspects for which special recommendations have been provided in this standard are:

- Requirements for data communication within systems performing category A functions.
- Requirements for data communication between divisions of a system performing category A functions.
- Requirements for data communication of systems performing category A functions with systems of lower safety importance.
- Reliability requirements for data communication.

To ensure that the standard will continue to be relevant in future years, emphasis is placed on principles, rather than on specific technologies.

d) Description of the structure of the IEC SC 45A standard series and relationships with other IEC documents and other bodies documents (IAEA, ISO)

The top-level documents of the IEC SC 45A standard series are IEC 61513 and IEC 63046. IEC 61513 provides general requirements for I&C systems and equipment that are used to perform functions important to safety in NPP. IEC 63046 provides general requirements for electrical power systems of NPP; it covers power supply systems including the supply systems of the I&C systems. IEC 61513 and IEC 63046 are to be considered in conjunction and at the same level. IEC 61513 and IEC 63046 structure the IEC SC 45A standard series and shape a complete framework establishing general requirements for instrumentation, control and electrical systems for nuclear power plants.

IEC 61513 and IEC 63046 refer directly to other IEC SC 45A standards for general topics related to categorization of functions and classification of systems, qualification, separation, defence against common cause failure, control room design, electromagnetic compatibility, cybersecurity, software and hardware aspects for programmable digital systems, coordination of safety and security requirements and management of ageing. The standards referenced directly at this second level should be considered together with IEC 61513 and IEC 63046 as a consistent document set.

At a third level, IEC SC 45A standards not directly referenced by IEC 61513 or by IEC 63046 are standards related to specific equipment, technical methods, or specific activities. Usually these documents, which make reference to second-level documents for general topics, can be used on their own.

A fourth level extending the IEC SC 45A standard series, corresponds to the Technical Reports which are not normative.

The IEC SC 45A standard series consistently implements and details the safety and security principles and basic aspects provided in the relevant IAEA safety standards and in the relevant documents of the IAEA nuclear security series (NSS). In particular this includes the IAEA requirements SSR-2/1, establishing safety requirements related to the design of nuclear power plants, the IAEA safety guide SSG-30 dealing with the safety classification of structures, systems and components in NPP, the IAEA safety guide SSG-39 dealing with the design of instrumentation and control systems for NPPs, the IAEA safety guide SSG-34 dealing with the design of electrical power systems for NPPs and the implementing guide NSS17 for computer security at nuclear facilities. The safety and security terminology and definitions used by the IEC SC 45A standards are consistent with those used by the IAEA.

IEC 61513 and IEC 63046 have adopted a presentation format similar to the basic safety publication IEC 61508 with an overall life-cycle framework and a system life-cycle framework. Regarding nuclear safety, IEC 61513 and IEC 63046 provide the interpretation of the general requirements of IEC 61508-1, IEC 61508-2 and IEC 61508-4, for the nuclear application sector. In this framework IEC 60880, IEC 62138 and IEC 62566 correspond to IEC 61508-3 for the nuclear application sector. IEC 61513 and IEC 63046 refer to ISO as well as to IAEA GS-R-3 and IAEA GS-G-3.1 and IAEA GS-G-3.5 for topics related to quality assurance (QA). At level 2, regarding nuclear security, IEC 62645 is the entry document for the IEC SC 45A security standards. It builds upon the valid high level principles and main concepts of the generic security standards, in particular ISO/IEC 27001 and ISO/IEC 27002; it adapts them and completes them to fit the nuclear context and coordinates with the IEC 62443 series. Also at level 2, IEC 60964 is the entry document for the IEC SC 45A control rooms standards and IEC 62342 is the entry document for the IEC SC 45A ageing management standards.

NOTE 1 It is assumed that for the design of I&C systems in NPPs that implement conventional safety functions (e.g. to address worker safety, asset protection, chemical hazards, process energy hazards) international or national standards would be applied.

NOTE 2 IEC SC 45A domain was extended in 2013 to cover electrical systems. In 2014 and 2015 discussions were held in IEC SC 45A to decide how and where general requirements for the design of electrical systems were to be considered. IEC SC 45A experts recommended that an independent standard be developed at the same level as IEC 61513 to establish general requirements for electrical systems. Project IEC 63046 is now launched to cover this objective. When IEC 63046 will be published this NOTE 2 of the introduction of IEC SC 45A standards will be suppressed.

NUCLEAR POWER PLANTS – INSTRUMENTATION AND CONTROL SYSTEMS IMPORTANT TO SAFETY – DATA COMMUNICATION IN SYSTEMS PERFORMING CATEGORY A FUNCTIONS

1 Scope

This document establishes requirements for data communication which is used in systems performing category A functions in nuclear power plants.

It covers also interface requirements for data communication of equipment performing category A functions with other systems including those performing category B and C functions and functions not important to safety.

The scope of this document is restricted to the consideration of data communication within the plant I&C safety systems. It does not cover communication by telephone, radio, voice, fax, email, public address, etc.

The internal operation and the detailed technical specification of data communication equipment are not in the scope of this document. This document is not applicable to the internal connections and data communication of a processor unit, its memory and control logic. It does not address the internal processing of instrumentation and control computer based systems.

This document gives requirements for functions and properties of on-line plant data communication by reference to IEC 60880 and IEC 60987, produced within the framework of IEC 61513. It requires categorisation of the communication functions in accordance with IEC 61226, which in turn requires environmental and seismic qualification (i.e., the environment where the safety function is required to operate) according to IEC/IEEE 60780-323 and IEC 60980.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60671:2007, *Nuclear power plants – Instrumentation and control systems important to safety – Surveillance testing*

IEC 60709, *Nuclear power plants – Instrumentation and control systems important to safety – Separation*

IEC/IEEE 60780-323:2016, *Nuclear facilities – Electrical equipment important to safety – Qualification*

IEC 60880:2006, *Nuclear power plants – Instrumentation and control systems important to safety – Software aspects for computer-based systems performing category A functions*

IEC 60980, *Recommended practices for seismic qualification of electrical equipment of the safety system for nuclear generating stations*

IEC 60987:2007, *Nuclear power plants – Instrumentation and control important to safety – Hardware design requirements for computer-based systems*
IEC 60987:2007/AMD1:2013

IEC 61000 (all parts), *Electromagnetic compatibility (EMC)*

IEC 61513, *Nuclear power plants – Instrumentation and control important to safety – General requirements for systems*

IEC 62003, *Nuclear power plants – Instrumentation and control important to safety – Requirements for electromagnetic compatibility testing*

IEC 62340:2007, *Nuclear power plants – Instrumentation and control systems important to safety – Requirements for coping with common cause failure (CCF)*

IEC 62566:2012, *Nuclear power plants – Instrumentation and control important to safety – Development of HDL-programmed integrated circuits for systems performing category A functions*

IEC 62645:2014, *Nuclear power plants – Instrumentation and control systems – Requirements for security programmes for computer-based systems*

IEC 62859, *Nuclear power plants – Instrumentation and control systems – Requirements for coordinating safety and cybersecurity*

IAEA safety guide No. SSG-39:2016, *Design of instrumentation and control systems for nuclear power plants*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60880, IAEA safety glossary, IAEA safety guide No. SSG-39 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

3.1 communication channel

logical connection between two end-points within a communication system

[SOURCE: IEC 61784-3:2016, 3.1.8]

3.2 communication node

connection point on a communication network, at which data is conveyed via communication channels to or from that point to other points on the network

3.3 communication system

arrangement of hardware, software and propagation media to allow the transfer of messages (ISO/IEC 7498-1 application layer) from one application to another

[SOURCE: IEC 61784-3:2016, 3.1.9]