



IEC 62325-503

Edition 1.0 2018-07

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Framework for energy market communications –
Part 503: Market data exchanges guidelines for the IEC 62325-351 profile**

**Cadre pour les communications pour le marché de l'énergie –
Partie 503: Lignes directrices concernant les échanges de données du marché
pour le profil défini dans l'IEC 62325-351**





THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2018 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office
3, rue de Varembé
CH-1211 Geneva 20
Switzerland

Tel.: +41 22 919 02 11
info@iec.ch
www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

IEC Catalogue - webstore.iec.ch/catalogue

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad.

IEC publications search - webstore.iec.ch/advsearchform

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

Electropedia - www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing 21 000 terms and definitions in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

67 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: sales@iec.ch.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue IEC - webstore.iec.ch/catalogue

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

Electropedia - www.electropedia.org

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 21 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalelement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Recherche de publications IEC - webstore.iec.ch/advsearchform

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

Glossaire IEC - std.iec.ch/glossary

67 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: sales@iec.ch.



IEC 62325-503

Edition 1.0 2018-07

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Framework for energy market communications –
Part 503: Market data exchanges guidelines for the IEC 62325-351 profile**

**Cadre pour les communications pour le marché de l'énergie –
Partie 503: Lignes directrices concernant les échanges de données du marché
pour le profil défini dans l'IEC 62325-351**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

ICS 33.200

ISBN 978-2-8322-5916-0

Warning! Make sure that you obtained this publication from an authorized distributor.

Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.

CONTENTS

FOREWORD	7
INTRODUCTION	9
1 Scope	10
2 Normative references	10
3 Terms and definitions	11
4 High level concepts	12
4.1 What is the purpose of MADES?	12
4.2 Overview	13
4.3 Transparent and reliable message delivery	14
4.4 Components of a MADES system	15
4.4.1 Endpoint, broker and component-directory	15
4.4.2 Delivery routes and acknowledgements	16
4.4.3 Sharing configuration data of the system	17
4.4.4 Interfaces exposed by the components	19
4.4.5 Architecture examples of MADES systems	21
4.5 Security and message integrity	24
4.5.1 Security goals and security solution	24
4.5.2 Transport-layer security	25
4.5.3 Message-level security: signing and encryption	26
4.5.4 Non-repudiation	27
5 Delivering the messages	29
5.1 Unique identification of components and messages	29
5.2 Message-type of a message	29
5.3 Message route towards a recipient endpoint: message-paths	29
5.4 Restriction on the routes by a broker	31
5.5 Message acceptance by a sender endpoint	31
5.6 Tracking the delivery of a message	31
5.6.1 Message-status of a message	31
5.6.2 Delivery events and acknowledgements	32
5.7 Message expiration	34
5.8 Reliable transfer of a message	35
5.8.1 Rationale	35
5.8.2 Transfer between sender application and sender endpoint	36
5.8.3 Transfer between components using the AMQP protocol	37
5.8.4 Transfer between recipient endpoint and recipient application	37
5.9 Storing internal messages in components	38
5.10 Message priority	38
5.11 Message delivery order	38
5.12 Testing a route between two endpoints: tracing-messages	38
6 Transferring messages using the AMQP protocol	39
6.1 Main principles of the AMQP specification	39
6.1.1 Introduction	39
6.1.2 Connection Open	40
6.1.3 Session begin	40
6.1.4 Link attachment	41
6.1.5 Message transfer	41

6.1.6	Link recovery and resends	41
6.1.7	Error management.....	41
6.1.8	Message structure	41
6.2	AMQP high-level implementation: the client/broker model	42
6.3	AMQP implementation in MADES components	43
6.4	Management of AMQP connections and attachments by an endpoint	45
6.5	Internal message format	46
6.5.1	Definitions, design and security checks	46
6.5.2	AMQP format for transferring internal messages.....	46
6.5.3	Encryption	47
6.5.4	Signing	48
6.5.5	Internal message metadata.....	49
6.5.6	XML signature example	53
7	Managing configuration data of the system	54
7.1	Rationale	54
7.2	Directory content and information ownership.....	54
7.3	On the consistency of configuration data.....	56
7.3.1	Component consistency.....	56
7.3.2	System consistency	57
7.3.3	Distributed update implementation.....	57
7.3.4	Eventual consistency	57
7.4	Connection to a component-directory.....	57
7.5	REST API implementation and available resources	58
7.6	Registration process	59
7.7	Synchronisation process	60
7.7.1	Validity period of replicated data: time-to-live	60
7.7.2	Limitation of the synchronisation flow	60
7.7.3	Configuration of the synchronisation process	61
7.8	XML schemas of the APIs requests and responses	61
7.8.1	Shared types	61
7.8.2	registrations resource	63
7.8.3	endpoints, brokers and components resources	65
8	Managing the certificates	66
8.1	Definitions and principles	66
8.2	Certificates: format and unique ID	67
8.3	Used certificates and issuers certificates authorities	67
8.3.1	Overview	67
8.3.2	Transport-layer security (authorise data exchanges).....	67
8.3.3	Message-level security (protect message confidentiality and authenticate message issuer)	68
8.4	Trusting the certificates of others components	68
8.4.1	Authentication.....	68
8.4.2	Signing and encryption	68
8.5	Renewing the (nearly) expired certificates.....	68
8.6	Revoking a component.....	69
9	Managing the version of the MADES specification	69
9.1	MADES version of this document	69
9.2	Issue, version meaning, upgrading recommendations	69
9.3	Changing the signature or the encryption algorithms.....	70

10 Administreating and operating the components.....	70
11 Interfaces for the applications.....	71
11.1 Endpoint webservice interface for applications.....	71
11.1.1 Overview	71
11.1.2 SendMessage service.....	72
11.1.3 ReceiveMessage service	73
11.1.4 ConfirmReceiveMessage service	75
11.1.5 CheckMessageStatus service	75
11.1.6 ConnectivityTest service	77
11.1.7 WSDL for the endpoint webservice interface.....	77
11.2 File System Shared Folders (FSSF).....	84
11.2.1 Overview	84
11.2.2 Folders and file naming convention.....	84
11.2.3 Concurrent access to files	86
11.2.4 Configuring FSSF.....	86
Bibliography.....	87
 Figure 1 – MADES overall view.....	12
Figure 2 – MADES scope in a layered architecture	13
Figure 3 – MADES message delivery	14
Figure 4 – MADES components, interactions and protocols	15
Figure 5 – Possible routes for delivering a message	16
Figure 6 – Communication protocols for delivering a message	17
Figure 7 – Data flows between a component-directory and its registered components.....	18
Figure 8 – Data flows with several component-directories	19
Figure 9 – Component-directory services and protocols	19
Figure 10 – MADES Interfaces, services and protocols	20
Figure 11 – Minimal MADES system (without broker).....	21
Figure 12 – Minimal MADES system (with broker).....	21
Figure 13 – MADES system with a party in a central role	22
Figure 14 – MADES system with several brokers	23
Figure 15 – Using a single endpoint for several business processes	24
Figure 16 – MADES transport security	25
Figure 17 – Security: protected endpoint.....	25
Figure 18 – Security: exposed endpoint	26
Figure 19 – Message signing and signature verification	26
Figure 20 – Message encryption and decryption	27
Figure 21 – Non-repudiation	28
Figure 22 – Message-status along the delivery	32
Figure 23 – Tracking events while delivering a message.....	33
Figure 24 – Reliable transfer.....	36
Figure 25 –Transfer between sender application and sender endpoint	36
Figure 26 – Transfer between recipient endpoint and recipient application.....	37
Figure 27 – The nine AMQP frames	40
Figure 28 – Structure of an AMQP message	42

Figure 29 – AMQP in MADES components.....	44
Figure 30 – Certificates and certification authorities (CAs) of a MADES system	67
Figure 31 – WSDL 1.1 definitions.....	78
Table 1 – Characteristics of the tracking events	34
Table 2 – Final state of a message in an endpoint	38
Table 3 – Services of the client / broker model.....	43
Table 4 – Rules for setting up connection/attachment and for message transfer	45
Table 5 – Internal message – AMQP format: header section	46
Table 6 – Internal message – AMQP format: properties section	46
Table 7 – Internal message – AMQP format: application-properties section	47
Table 8 – Internal message – AMQP format: application-data section	47
Table 9 – Encryption – Processing metadata attributes for the "AES-256" cipher	48
Table 10 – Signing – Processing metadata attributes for the "SHA-512" Algorithm.....	49
Table 11 – MessageMetadata (type)	50
Table 12 – InternalMessageType (type: string enumeration)	51
Table 13 – ProcessingMetadata (type)	51
Table 14 – MessageProcessor (type).....	51
Table 15 – Map (type).....	51
Table 16 – MapEntry (type).....	51
Table 17 – ValueType (type: string enumeration)	52
Table 18 – Component-directory – content of an entry	55
Table 19 – Certificate (type).....	55
Table 20 – MadesImplementation (type)	56
Table 21 – MessagePath (type)	56
Table 22 – BrokerRestriction (type).....	56
Table 23 – HTTP operations	58
Table 24 – HTTP return codes	58
Table 25 – Component-directory API	59
Table 26 – Endpoint interface – Generic error.....	72
Table 27 – Endpoint interface – Value for errorCode.....	72
Table 28 – SendMessage – Request elements.....	72
Table 29 – SentMessage (type)	73
Table 30 – SendMessage – Response elements	73
Table 31 – SendMessage – Additional error elements	73
Table 32 – ReceiveMessage – Request elements	74
Table 33 – ReceiveMessage – Response elements.....	74
Table 34 – ReceivedMessage (type)	74
Table 35 – ReceiveMessage – Additional error elements	74
Table 36 – ConfirmReceiveMessage – Request elements	75
Table 37 – ConfirmReceiveMessage – Response elements	75
Table 38 – ConfirmReceiveMessage – Additional error elements	75
Table 39 – CheckMessageStatus – Request elements	75

Table 40 – CheckMessageStatus – Response elements	76
Table 41 – MessageStatus (type).....	76
Table 42 – MessageTraceItem (type).....	76
Table 43 – MessageState or MessageTraceState (Type: string enumeration)	76
Table 44 – CheckMessageStatus – Additional error elements	77
Table 45 – ConnectivityTest – Request elements.....	77
Table 46 – ConnectivityTest – Response elements	77
Table 47 – ConnectivityTest – Additional error elements	77
Table 48 – FSSF – Folders and filename format	85
Table 49 – FSSF – Tokens used to generate the filenames.....	85

INTERNATIONAL ELECTROTECHNICAL COMMISSION

FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –**Part 503: Market data exchanges guidelines for the IEC 62325-351 profile****FOREWORD**

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 62325-503 has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

This edition cancels and replaces IEC TS 62325-503 published in 2014.

This edition includes the following significant technical changes with respect to the previous edition:

- a) Use of ISO/IEC 19464:2014, Advanced Message Queuing Protocol (AMQP) v1.0 specification;
- b) Splitting of the node described in the IEC TS 62325-503:2014 into a broker that implements the messaging function and a directory;
- c) Increase of operability and resilience of the communication system with the ability for an endpoint to send and receive messages through several brokers;
- d) Benefits of standardisation, performance and scalability of the AMQP protocol for transferring messages.

The text of this standard is based on the following documents:

CDV	Report on voting
57/1936/CDV	57/1983/RVC

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this document, the following print types are used:

Help the visibility of information in table and diagram: in italic type

A list of all parts in the IEC 62325 series, published under the general title *Framework for energy market communications*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours, which are considered useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

This document is part of the IEC 62325 series for deregulated energy market communications.

The principal objective of the IEC 62325 series is to produce documents which facilitate the integration of market application software developed independently by different vendors into a market management system, between market management systems and market participant systems. This is accomplished by defining message exchanges to enable these applications or systems access to public data and exchange information independent of how such information is represented internally.

The common information model (CIM) specifies the basis for the semantics for the message exchange. The European style market profile specifications that support the European style design electricity markets are defined in IEC 62325-351. These electricity markets are based on the European regulations, and on the concepts of third party access and zonal markets. The IEC 62325-451-n International documents specify the content of the messages exchanged.

The purpose of this document is to provide the guidelines to exchange the above-mentioned messages. A European market participant (trader, distribution utilities, etc.) could benefit from a single, common, harmonised, secure platform for message exchange with the European Transmission System Operators (TSOs); thus reducing the cost of building different IT platforms to interface with all the parties involved.

This document represents an important step in facilitating parties entering into electricity markets other than their national ones; they could use the same or similar information exchange system to participate in more than one market all over Europe.

This document was originally based upon the work of the European Network of Transmission System Operators (ENTSO-E) Working Group EDI.

FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –

Part 503: Market data exchanges guidelines for the IEC 62325-351 profile

1 Scope

This part of IEC 62325 is for European electricity markets.

This document specifies a standard for a communication platform which every Transmission System Operator (TSO) in Europe can use to exchange reliably and securely documents for the energy market. Consequently a European market participant (TSO, regional supervision centre, distribution utility, power exchange, etc.) could benefit from a single, common, harmonised and secure platform for message exchange with other participants; thus, reducing the cost of building different information technology (IT) platforms to interface with all the parties involved.

“MADES” (MArket Data Exchange Standard) is the acronym to designate this standard.

MADES is a specification for a decentralised common communication platform based on international IT standards:

- From an application program perspective, MADES specifies the software interfaces to exchange electronic documents with peer applications. Such interfaces mainly provide means to send and receive documents using a so-called “MADES communication system” (or “MADES system” or simply “system”). The sender can request about the status of the delivery of a document and the recipient issues a message back, the acknowledgement, when receiving the document. This makes a MADES system usable for exchanging documents in business processes requiring a reliable delivery.
- MADES also specifies services hidden to the applications such as recipient localisation, recipient connection status, message routing and security. Services include directory, authentication, signing, encryption, message tracking, message logging and message temporary storage.

The purpose of MADES is to create a secured message exchange standard based on standard communication protocols and utilising IT best practices for exchanging data over any TCP/IP communication network, in order to facilitate business-to-business (B2B) information exchanges as described in IEC 62325-351 and the IEC 62325-451 series.

A MADES system acts as a post-office organisation: the transported object is a “message” in which the document of the sender is securely packaged in an envelope containing metadata, which is necessary information for transportation, tracking and delivery.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC TS 61970-2, *Energy management system application program interface (EMS-API) – Part 2: Glossary*

ISO/IEC 19464:2014, *Information technology – Advanced Message Queuing Protocol (AMQP) v1.0 specification*, <https://www.amqp.org/> (developed by the OASIS open standards consortium)

ISO/IEC 9594-8:2017, *Information technology – Open systems interconnection – The Directory – Part 8: Public-key and attribute certificate frameworks*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC TS 61970-2 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>

NOTE For general glossary definitions, see IEC 60050, *International Electrotechnical Vocabulary*.

3.1

advanced message queuing protocol

AMQP

open Internet protocol for business messaging, as described in IEC 19464:2014

3.2

advanced message queuing protocol secured with transport layer security

AMQPS

combining of the IEC 19464 business messaging protocol with transport layer security (TLS)

3.3

market data exchange standard

MADES

specification described in this document for the European market style market profile

3.4

representational state transfer

REST

method of providing interoperability between computer systems by requesting to access and manipulate textual representations of resources using predefined set of stateless operations

3.5

simple authentication and security layer

SASL

framework for authentication and data security in internet protocols

3.6

simple object access protocol

SOAP

protocol specification for exchanging structured information in the implementation of webservices

3.7

transmission system operator

TSO

entity involved in electric power transmission or in transmission of natural gas