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**Surface chemical analysis — X-ray  
photoelectron spectroscopy —  
Procedures for assessing the day-  
to-day performance of an X-ray  
photoelectron spectrometer**

*Analyse chimique des surfaces — Spectroscopie de photoélectrons X  
— Modes opératoires d'évaluation de la performance au jour le jour  
d'un spectromètre de photoélectrons X*



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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by Technical Committee ISO/TC 201, *Surface chemical analysis*, Subcommittee SC 7, *Electron spectroscopies*.

This second edition cancels and replaces the first edition (ISO 16129:2012), which has been technically revised.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at [www.iso.org/members.html](http://www.iso.org/members.html).

## Introduction

XPS instruments are complex, and unsatisfactory performance is not always obvious to an operator. It is therefore necessary to provide a test for the correct operation of the system that can be performed regularly and frequently without interfering excessively with the normal work of the laboratory.

A full diagnostic test can require many hours or even days; such a test can be appropriate only when the instrument is known to have a fault that needs to be remedied or following a major maintenance procedure. Data acquired before a problem is uncovered become suspect if the spectrometer has not been routinely tested, leading to a loss of confidence in those data. If a regular check of the instrument is made, changes in performance can be monitored and corrective action taken in good time to ensure that the data supplied are fit for purpose. In the event that a serious fault is uncovered, then only the data since the last check can be in doubt and need to be repeated.

The purpose of this document is to provide users with a procedure which is not excessively time-consuming so that it can be completed on a regular and frequent basis – daily if required. The user will then gain an awareness of the current characteristics of the instrument so that a decision can be made as to whether or not a more complete and time-consuming action is required to return the instrument to a satisfactory level of performance.

This procedure is intended to be applied to an XPS instrument that has been correctly calibrated and aligned in accordance with ISO standards or manufacturer's instructions. It is designed to highlight aspects of the instrument's characteristics that differ significantly from those that were measured immediately following the calibration procedure. The procedure does not show how the instrument can be returned to its original state. Instead, it guides the user to possible areas of concern. The procedure provides data that can be used in control charts, allowing trends to be observed and acted upon before data quality deteriorates to an unacceptable level for the needs of the analyst.



# Surface chemical analysis — X-ray photoelectron spectroscopy — Procedures for assessing the day-to-day performance of an X-ray photoelectron spectrometer

## 1 Scope

This document is designed to allow the user to assess, on a regular basis, several key parameters of an X-ray photoelectron spectrometer. It is not intended to provide an exhaustive performance check, but instead provides a rapid set of tests that can be conducted frequently.

Aspects of instrument behaviour covered by this document include the vacuum, measurements of spectra of conductive or non-conductive test specimens and the current state of the X-ray source. Other important aspects of the instrument performance (e.g. lateral resolution) fall outside the scope of this document.

The document is intended for use with commercial X-ray photoelectron spectrometers equipped with a monochromated Al K $\alpha$  X-ray source or with an unmonochromated Al or Mg K $\alpha$  X-ray source.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 18115-1, *Surface chemical analysis — Vocabulary — Part 1: General terms and terms used in spectroscopy*

## 3 Terms, definitions and abbreviations

For the purposes of this document, the terms and definitions given in ISO 18115-1 apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

The following abbreviations are used:

FWHM	full width at half maximum
PET	poly(ethylene terephthalate)
PTFE	polytetrafluoroethylene
XPS	X-ray photoelectron spectroscopy

## 4 Initial approach

Most instruments are fitted with a vacuum gauge or gauges. These shall be read frequently and the reasons for large variations understood. A large increase in the pressure can be due to the properties of a test specimen inside the instrument, a fault in the pumping system, an increase in the temperature of the vacuum system or a leak.