



Edition 2.0 2009-01

TECHNICAL REPORT

Considerations of unaddressed safety aspects in the second edition of IEC 60601-1 and proposals for new requirements





THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2009 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester.

If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de la CEI ou du Comité national de la CEI du pays du demandeur.

Si vous avez des questions sur le copyright de la CEI ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de la CEI de votre pays de résidence.

IFC Central Office 3, rue de Varembé CH-1211 Geneva 20 Switzerland Email: inmail@iec.ch

Web: www.iec.ch

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

Catalogue of IEC publications: www.iec.ch/searchpub

The IEC on-line Catalogue enables you to search by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, withdrawn and replaced publications.

■ IEC Just Published: www.iec.ch/online news/justpub

Stay up to date on all new IEC publications. Just Published details twice a month all new publications released. Available on-line and also by email.

■ Electropedia: <u>www.electropedia.org</u>

The world's leading online dictionary of electronic and electrical terms containing more than 20 000 terms and definitions in English and French, with equivalent terms in additional languages. Also known as the International Electrotechnical Vocabulary online.

Customer Service Centre: www.iec.ch/webstore/custserv

If you wish to give us your feedback on this publication or need further assistance, please visit the Customer Service Centre FAQ or contact us:

Email: csc@iec.ch Tel.: +41 22 919 02 11 Fax: +41 22 919 03 00





Edition 2.0 2009-01

TECHNICAL REPORT

Considerations of unaddressed safety aspects in the second edition of IEC 60601-1 and proposals for new requirements

INTERNATIONAL ELECTROTECHNICAL COMMISSION

PRICE CODE

ISBN 2-8318-1025-1

CONTENTS

FO	REWO)RD		4
IN	RODU	JCTION		6
1	Scop	e and o	bject	7
	1.1	Scope.		7
	1.2	Object		7
2	Reco	mmend	ations	7
	2.1	Summa	ary of all recommendations prepared by SC 62A/WG 14	7
	2.2	Recom	mendation sheets	10
		2.2.1	Separation: Reliability of component impedance	10
		2.2.2	Separation: Non-complying creepage distance and air clearances	12
		2.2.3	Mains supply transformers: Overload test	13
		2.2.4	Mains supply transformers: Short circuit and overload tests	
		2.2.5	Creepage distance and air clearances: Values	
		2.2.6	Dielectric strength	
		2.2.7	Failure of components: Evidence of reliability	
		2.2.8	ENCLOSURES and protective covers	18
		2.2.9	INTERNALLY POWERED EQUIPMENT: 110 % of the maximum supply voltage	19
		2.2.10	Creepage distances and air clearances: Values under 1 mm	20
		2.2.11	Separation: Secondary circuit impedance limit LEAKAGE CURRENT	21
		2.2.12	ENCLOSURES and PROTECTIVE COVERS: Lampholder/switching device	22
		2.2.13	Fixing, prevention of maladjustment: Torque test	23
		2.2.14	Batteries not intended to be changed by OPERATOR: Lithium batteries	24
		2.2.15	Excessive temperatures: Ambient temperatures	25
		2.2.16	Continuous LEAKAGE CURRENTS: Different SUPPLY MAINS	26
		2.2.17	ENCLOSURE and protective covers: EQUIPMENT in ambulances	27
		2.2.18	Dielectric strength: Voltages appearing from external sources	28
		2.2.19	Testing switch mode power supply units (SMPSU)	29
		2.2.20	Failure of an electrical component: Time periodicity for detection	30
			Environmental conditions: Compliance paragraph	
		2.2.22	Limitation of voltage and/or energy: Capacitance	32
		2.2.23	Leakage currents: Presence of 45 k Ω resistor in Figure 21	33
			Humidity preconditioning treatment: Exception from requirement	
			Dielectric strength: EQUIPMENT containing floating circuits	
			General requirements for tests: Measurement uncertainty	
			CREEPAGE DISTANCES and AIR CLEARANCES: Interpolated values	
			Overheating: Change of load resistance	38
		2.2.29	Mains operated EQUIPMENT with additional power source: Integrity of external protective earth	39
		2.2.30	Rechargeable batteries: No OPERATOR/USER maintenance	40
			Isolation from the SUPPLY MAINS: Symbol for single pole switch	
		2.2.32	Sequence of testing: Clause 52 before Clause 19	42
		2.2.33	SINGLE FAULT CONDITION: ENCLOSURE LEAKAGE CURRENT from INTERNALLY POWERED EQUIPMENT	43
		2.2.34	Marking on the outside of EQUIPMENT: Type and rating of fuses	
			Excessive temperatures: APPLIED PARTS not intended to supply heat	
			Mains supply transformers: Use of PTCs as protective devices	

2.2.37	Components and general assembly. Reliability of components	47
2.2.38	Definition of APPLIED PART: EQUIPMENT worn by PATIENTS	48
2.2.39	Construction: Triple insulated winding wire	49
2.2.40	CREEPAGE DISTANCES and AIR CLEARANCES: Dielectric strength test versus CREEPAGE DISTANCES and AIR CLEARANCES	50
2.2.41	CREEPAGE DISTANCES and AIR CLEARANCES: Dielectric strength test versus CREEPAGE DISTANCES and AIR CLEARANCES — POWER SUPPLY CORDS	51
2 2 42	ACCOMPANYING DOCUMENTS: on CD-ROM or electronic file format	
	INTERNAL ELECTRICAL POWER SOURCE: Requirements for lithium batteries	
2.2.44	Dielectric strength: Differences between B-d and B-e	
	Excessive temperatures: Thermocouple instead of resistance method	
	Mains fuses and OVER-CURRENT RELEASES: Fuses in CLASS II EQUIPMENT	
2.2.47	Plug in power supply	
	Connecting cords between EQUIPMENT parts: Other applications	
2.2.49	MULTIPLE PORTABLE SOCKET-OUTLET	59
2.2.50	Separation, Defibrillation-proof applied part: multiple applied parts	60
2.2.51	Separation, APPLIED PART: Hand held flexible shafts	61
2.2.52	Protective earthing: No-load voltage of 6 V maximum	62
2.2.53	Foot-operated control devices: Protection against entry of liquids	63
2.2.54	Mains supply transformers	64
	Dielectric strength: Reliability of components to bridge A-a ₂ and B-a	
	Dielectric strength: A-e in switch mode power supply units (SMPSU)	66
2.2.57	Dielectric strength: Connection of 12 V dc negative side to	
0.0.50	ENCLOSURE	
	Dielectric strength: Voltages appearing on SIP/SOP	
	APPLIED PART: EQUIPMENT without APPLIED PART	69
	Scope: Other than MEDICAL ELECTRICAL EQUIPMENT in contact with the body of a person	
2.2.61	Markings: AC symbol	71
	Interruption of power supply: Characteristics of interruption	
	Reference voltage: Different reference voltages in the same circuit	
Index of Terms.		76

INTERNATIONAL ELECTROTECHNICAL COMMISSION

CONSIDERATIONS OF UNADDRESSED SAFETY ASPECTS IN THE SECOND EDITION OF IEC 60601-1 AND PROPOSALS FOR NEW REQUIREMENTS

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter
- 5) IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with an IEC Publication.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

The main task of IEC technical committees is to prepare International Standards. However, a technical committee may propose the publication of a technical report when it has collected data of a different kind from that which is normally published as an International Standard, for example "state of the art".

IEC 62296, which is a technical report, has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This second edition cancels and replaces the first edition published in 2003. It constitutes a technical revision. This edition includes seven new recommendations: Recommendations 57 through 63. As the third edition of IEC 60601-1 has been published, some of the recommendations in this edition have been changed to align with requirements in IEC 60601-1:2005.

The text of this technical report is based on the following documents:

Enquiry draft	Report on voting
62A/621/DTR	62A/632/RVC

Full information on the voting for the approval of this technical report can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- · replaced by a revised edition, or
- amended.

A bilingual version of this technical report may be issued at a later date.

2

INTRODUCTION

At the Sydney meeting in August 1994, IEC subcommittee (SC) 62A established a procedure under which working group (WG) 14 would develop recommendations regarding problems of interpretation or application of IEC 60601-1. WG 14 is made up of experts with particular expertise in testing according to the requirements of IEC 60601-1. Many of the experts on WG 14 are employed by test houses with a long history of applying IEC 60601-1 to MEDICAL ELECTRICAL EQUIPMENT. While the National Committee members of SC 62A nominate these experts, their recommendations were not to be formally adopted through any official voting procedure. To reinforce this process, the Subcommittee specifically directed that the following note appear on every page of the resulting informational circular:

IMPORTANT NOTE: Per the 62A decision at Sydney (see RM3755/SC62A, August 1994), the 62A Secretary is circulating this recommendation, prepared by 62A/WG14, regarding problems of interpretation or application of IEC 60601-1 to all P-Member NC's.

This recommendation/interpretation is the result of considerations by a group of nominated experts and has not been formally adopted through any NC voting procedure. Distribution is only for information.

The plan approved in Sydney called for the 62A Secretary to circulate these recommendations to the member National Committees via an informational (INF) document.

While the quality of the technical work of WG 14 is widely recognized and applauded, the overall process has achieved less than originally hoped. The INF documents have not proved a particularly successful way of getting this information to those who could use it most. The WG 14 recommendations are largely unknown beyond the people actively involved in the work of SC 62A. Several alternatives have been explored. These include making the individual recommendation sheets available on the Internet either through the IEC Web Site, the web site of a participating National Committee, or the web site of an interested third party. However, concerns over intellectual property and control of distribution have proved extremely difficult to overcome.

At the November 2000 meeting of SC 62A in Tokyo, the subcommittee discussed ways and means for achieving a wider distribution of the WG 14 recommendations. At the conclusion of this discussion, the subcommittee instructed the Secretariat to develop a technical report (TR) based on the published recommendations of WG 14. This technical report is intended to convey the results of WG 14's work to interested parties such as manufacturers and test houses while retaining the informative nature of the material.

This technical report may be amended from time to time as WG 14 prepares additional recommendations.

CONSIDERATIONS OF UNADDRESSED SAFETY ASPECTS IN THE SECOND EDITION OF IEC 60601-1 AND PROPOSALS FOR NEW REQUIREMENTS

1 Scope and object

1.1 Scope

This technical report contains a series of recommendations developed by an expert working group of IEC subcommittee 62A in response to questions of interpretation of the second edition of IEC 60601-1.

This technical report is primarily intended to be used by:

- manufacturers of MEDICAL ELECTRICAL EQUIPMENT;
- test houses and others responsible for assessment of compliance with IEC 60601-1:1988,
 and
- those developing subsequent editions of IEC 60601-1.

The recommendations in the first edition of IEC/TR 62296 were considered in preparing the third edition of IEC 60601-1. As the third edition of IEC 60601-1 has been published, some of the recommendations in the second edition of IEC/TR 62296 have been changed to align with requirements in IEC 60601-1:2005. Seven additional recommendations have been developed by IEC/SC 62A/WG 14 and are included in this edition of IEC/TR 62296. They are recommendations 57 through 63.

1.2 Object

The object of this technical report is to make the recommendations/interpretations developed by the experts in IEC/SC 62A/WG 14 available to those interested in the application of the second edition of IEC 60601-1.

The reader is reminded that, although a majority of the National Committee members of IEC/SC 62A have approved publication of this technical report, the contents remain the opinion of the expert members of WG 14. These recommendations/interpretations are the result of considerations by this group of nominated experts and have not been formally adopted through any National Committee voting procedure. Distribution is only for information.

2 Recommendations

2.1 Summary of all recommendations prepared by SC 62A/WG 14

Subclause of the 2 nd edition of IEC 60601-1	Recom- mendation number	Contents	Page
1.1	060	Other than MEDICAL ELECTRICAL EQUIPMENT in contact with the body of a person	70
2.1.5	038	Definition of APPLIED PART: EQUIPMENT worn by PATIENTS	48
2.1.5	059	APPLIED PART: EQUIPMENT without APPLIED PART	69
3.6 f)	020	Failure of an electrical component: Time periodicity for detection	30
4	026	General requirements for tests: Measurement uncertainty	36
4.10	024	Humidity preconditioning treatment: Exception from requirement	34