

KRAANAD. ÜLDINE EHITUS. OSA 3-5: SEPISTATUD
KONKSUDE PIIRSEISUNDID JA KÕLBLIKKUSE
TÕENDAMINE

Cranes - General design - Part 3-5: Limit states and
proof of competence of forged and cast hooks

EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

See Eesti standard EVS-EN 13001-3-5:2016+A1:2021 sisaldab Euroopa standardi EN 13001-3-5:2016+A1:2021 ingliskeelset teksti.	This Estonian standard EVS-EN 13001-3-5:2016+A1:2021 consists of the English text of the European standard EN 13001-3-5:2016+A1:2021.
Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.	This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation.
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English Version

**Cranes - General design - Part 3-5: Limit states and proof
of competence of forged and cast hooks**

Appareils de levage à charge suspendue - Conception
générale - Partie 3-5 : États limites et vérification des
crochets forgés et moulés

Krane - Konstruktion allgemein - Teil 3-5:
Grenzzustände und Sicherheitsnachweise von
geschmiedeten und gegossenen Haken

This European Standard was approved by CEN on 19 May 2016 and includes Amendment 1 approved by CEN on 12 April 2021.

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Contents	Page
European foreword.....	5
Introduction	7
1 Scope.....	8
2 Normative references.....	8
3 Terms and definitions, symbols and abbreviations	10
3.1 Terms and definitions	10
3.2 Symbols and abbreviations	11
4 General requirements	14
4.1 Materials.....	14
4.1.1 General.....	14
4.1.2 Typical standards and grades.....	15
4.1.3 Classification of hook materials.....	16
4.2 Workmanship.....	16
4.2.1 A1 Forged hooks A1	16
4.2.2 A1 Cast hooks.....	17
4.3 Manufacturing tolerances of forgings.....	18
4.4 Heat treatment.....	18
4.5 Cold forming by proof loading.....	18
4.6 Hook body geometry	18
4.7 Hook shank machining.....	20
4.8 Nut	21
4.9 Effect of hook suspension.....	21
5 Static strength	21
5.1 General.....	21
5.2 Vertical design force	22
5.3 Horizontal design force.....	22
5.4 Bending moment of the shank.....	23
5.4.1 General.....	23
5.4.2 Bending moment due to horizontal force	23
5.4.3 Bending moment due to inclination of hook suspension	24
5.4.4 Bending moment due to eccentricity of vertical force.....	25
5.4.5 Exceptional case for ramshorn hooks	26
5.4.6 Design bending moment of the shank	26
5.5 Hook body, design stresses.....	27
5.5.1 Loadings.....	27
5.5.2 Stress calculation methods.....	27
5.5.3 Design stresses.....	28
5.6 Hook shank, design stresses	29
5.7 Hook, proof of static strength	29
5.7.1 General for hook body and shank.....	29
5.7.2 A1 The use of static limit design force for verification of the hook body	30
6 Fatigue strength.....	31
6.1 General.....	31
6.2 Vertical fatigue design force.....	31
6.3 Horizontal fatigue design force.....	31
6.4 Fatigue design bending moment of shank	32

6.4.1	Bending moment due to horizontal force	32
6.4.2	Bending moment due to inclination of hook suspension.....	32
6.4.3	Bending moment due to eccentricity of vertical force	32
6.5	Proof of fatigue strength, hook body	33
6.5.1	Design stress calculation	33
6.5.2	Stress history in general	33
6.5.3	Stress history based upon classified duty.....	34
6.5.4	Limit fatigue design stress.....	35
6.5.5	Execution of the proof.....	37
6.5.6	The use of fatigue limit design force for verification of the hook body	37
6.6	Proof of fatigue strength, hook shank	38
6.6.1	General	38
6.6.2	Design stress calculation	38
6.6.3	Applied stress cycles.....	39
6.6.4	Basic fatigue strength of material.....	40
6.6.5	Stress concentration effects from geometry.....	40
6.6.6	Fatigue strength of notched shank.....	41
6.6.7	Mean stress influence.....	42
6.6.8	Transformation to stresses at zero mean stress	43
6.6.9	Stress history parameter in general	44
6.6.10	Stress history parameter based upon classified duty	44
6.6.11	Execution of the proof.....	45
6.7	Fatigue design of hook shanks for stand alone hooks.....	45
7	Verification of the safety requirements and/or protective measures	45
7.1	General	45
7.2	Scope of testing and sampling.....	46
7.3	Testing of mechanical properties	46
7.4	Test loading	46
8	Information for use.....	47
8.1	Maintenance and inspection.....	47
8.2	Marking	48
8.3	Safe use.....	49
Annex A (informative)	Sets of single hooks.....	50
A.1	▣ A series of single hooks of type RS/RSN, dimensions of hook bodies ▣.....	50
A.2	▣ A series of single hooks of type RF/RFN, dimensions of hook bodies ▣	52
A.3	▣ A series of single hooks of type B, dimensions of hook bodies ▣	54
Annex B (informative)	▣ A series of ramshorn hooks of type RS/RSN and RF/RFN, dimensions of hook bodies ▣	56
Annex C (informative)	▣ Dimensional tolerances of hook bodies ▣	58
Annex D (normative)	Static limit design forces of hook bodies.....	60
D.1	Static limit design forces of hook bodies for hooks of type RS and RF	60
D.2	Static limit design forces of hook bodies for a series of hooks of type B, with additional materials	62
Annex E (normative)	Fatigue limit design forces of hook bodies	63
E.1	▣ Fatigue limit design forces of hook bodies for forged hooks of type RS and RF ▣	63

E.2	⌈A1⌋ Fatigue limit design forces of hook bodies for a series of hooks of type B, with additional, forged materials ⌋A1⌋	65
E.3	Fatigue limit design forces of hook bodies for cast hooks of type RS and RF	65
E.4	Fatigue limit design forces of hook bodies for a series of hooks of type B, with additional, cast materials	67
Annex F (informative) Sets of hook shank and thread designs		68
F.1	A series of hook shank and thread designs, a knuckle thread	68
F.2	A series of hook shank and thread designs, a metric thread	70
F.3	A series of hook shank and thread designs, a modified metric thread	72
F.4	Hook shank and thread designs for hooks of type B	74
Annex G (normative) Bending of curved beams		76
G.1	Basic formulae for stresses	76
G.2	Approximation of the reference moment of inertia	77
Annex H (normative) Calculation of hook suspension tilting resistance, articulation by a hinge or a rope reeving system		79
H.1	General	79
H.2	Articulation of hook by a hinge	80
H.3	Articulation of a hook suspension by a balanced rope reeving	80
Annex I (informative) Guidance for the selection of a hook body size using Annexes D and E		83
I.1	General	83
I.2	Case description	83
I.3	Proof of static strength	83
I.4	Proof of fatigue strength	84
I.5	Final selection of hook	84
Annex J (normative) Information to be provided by the hook manufacturer		85
Annex K (informative) ⌈A1⌋ Guidance on cold forming by proof loading of forged hooks ⌋A1⌋		86
Annex L (informative) Selection of a suitable set of crane standards for a given application		87
Annex M (informative) ⌈A1⌋ List of hazards		89
Annex ZA (informative) ⌈A1⌋ Relationship between this European Standard and the essential requirements of Directive 2006/42/EC aimed to be covered		91
Bibliography		92

European foreword

This document (EN 13001-3-5:2016+A1:2021) has been prepared by Technical Committee CEN/TC 147 “Crane — Safety”, the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by November 2021, and conflicting national standards shall be withdrawn at the latest by November 2021.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes A1 EN 13001-3-5:2016 A1.

This document includes Amendment 1 approved by CEN on 21 April 2021.

The start and finish of text introduced or altered by amendment is indicated in the text by tags A1 A1.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this document.

A1 The major changes in this document compared to EN 13001-3-5:2016 are in 4.1, 4.2, 6.5.4, 6.6.4 and 8.2 so as to extend the scope of the standard to “cast hooks. A1

This European Standard is one part of the EN 13001 series. The other parts are as follows:

- *Part 1: General principles and requirements*
- *Part 2: Load actions*
- *Part 3-1: Limit states and proof of competence of steel structures*
- *Part 3-2: Limit states and proof of competence of wire ropes in reeving systems*
- *Part 3-3: Limit states and proof of competence of wheel/rail contacts*
- *Part 3-4: Limit states and proof of competence of machinery - Bearings¹*
- *Part 3-6: Limit states and proof of competence of machinery - Hydraulic cylinders²*

For the relationship with other European Standards for cranes, see Annex L.

¹ Currently at Enquiry stage.

² Currently at Enquiry stage.

According to the CEN-CENELEC Internal Regulations, the national standards organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

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Introduction

This European Standard has been prepared to provide a means for the mechanical design and theoretical verification of cranes to conform to essential health and safety requirements. This European Standard also establishes interfaces between the user (purchaser) and the designer, as well as between the designer and the component manufacturer, in order to form a basis for selecting cranes and components.

This European Standard is a type C standard as stated in EN ISO 12100.

The machinery concerned and the extent to which hazards, hazardous situations and events are covered are indicated in the scope of this standard.

When provisions of this type C standard are different from those which are stated in type A or B standards, the provisions of this type C standard take precedence over the provisions of the other standards, for machines.

1 Scope

This European Standard is to be used together with EN 13001-1 and EN 13001-2 and, as such, they specify general conditions, requirements and methods to prevent by design and theoretical verification, mechanical hazards in crane hooks.

A1 It is intended to be used together with the other generic parts of EN 13001 series of standards, see Annex L. **A1**

This European Standard covers the following parts of hooks and types of hooks:

- **A1** bodies of any type of hooks made of steel forgings or steel castings, including stainless steel; **A1**
- machined shanks of hooks with a thread/nut suspension.

Principles of this European Standard can be applied to machined shanks of hooks in general. However, stress concentration factors relevant to designs not given in this standard would have to be determined and applied.

A1 The hazards covered by this document are identified by Annex M. **A1**

NOTE 1 **A1** Plate hooks, which are those, assembled of one or several parallel parts of rolled steel plates, are not covered in this document. **A1**

The following is a list of significant hazardous situations and hazardous events that could result in risks to persons during normal use and foreseeable misuse. Clauses 4 to 8 of this document are necessary to reduce or eliminate the risks associated with the following hazards:

- a) **A1** exceeding the limits of yield strength, ultimate strength, fatigue strength, brittle fracture; **A1**
- b) exceeding temperature limits of material.

A1 The requirements of this document are stated in the main body of the document and are applicable to hook designs in general. **A1**

The commonly used hook body and shank designs listed in Annexes A, B and F are only examples and should not be referred to as requirements of this European Standard. Annex I gives guidance for the selection of a hook size, where a hook body is in accordance with Annex A or B. The selection of hook form is not limited to those shown in Annexes A and B.

This European Standard is applicable to cranes, which are manufactured after the date of approval of this European Standard by CEN, and serves as a reference base for product standards of particular crane types.

NOTE 2 This part of EN 13001 deals only with the limit state method in accordance with EN 13001-1.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

A1 EN 1369:2012, *Founding — Magnetic particle testing*

EN 1370:2011, *Founding — Examination of surface condition*

- EN 1371-1:2011, *Founding — Liquid penetrant testing — Part 1: Sand, gravity die and low pressure die castings*
- EN 1559-1:2011, *Founding — Technical conditions of delivery — Part 1: General*
- EN 10025-3:2019, *Hot rolled products of structural steels — Part 3: Technical delivery conditions for normalized/normalized rolled weldable fine grain structural steels*
- EN 10204:2004, *Metallic products — Types of inspection documents*
- EN 10213:2017, *Steel castings for pressure purposes*
- EN 10222-4:2017, *Steel forgings for pressure purposes — Part 4: Weldable fine grain steels with high proof strength*
- EN 10228-1:2016, *Non-destructive testing of steel forgings — Part 1: Magnetic particle inspection*
- EN 10228-2:2016, *Non-destructive testing of steel forgings — Part 2: Penetrant testing*
- EN 10228-3:2016, *Non-destructive testing of steel forgings — Part 3: Ultrasonic testing of ferritic or martensitic steel forgings*
- EN 10250-1:1999, *Open die steel forgings for general engineering purposes — Part 1: General requirements*
- EN 10250-2:1999, *Open die steel forgings for general engineering purposes — Part 2: Non-alloy quality and special steels*
- EN 10250-3:1999, *Open die steel forgings for general engineering purposes — Part 3: Alloy special steels*
- EN 10254:1999, *Steel closed die forgings — General technical delivery conditions*
- EN 10340:2007, *Steel castings for structural uses*
- EN 12680-1:2003, *Founding — Ultrasonic examination — Part 1: Steel castings for general purposes*
- EN 13001-1:2015, *Cranes — General design — Part 1: General principles and requirements*
- EN 13001-2:2014, *Crane safety — General design — Part 2: Load actions*
- EN 13001-3-2:2014, *Cranes — General design — Part 3-2: Limit states and proof of competence of wire ropes in reeving systems*
- EN ISO 148-1:2016, *Metallic materials — Charpy pendulum impact test — Part 1: Test method (ISO 148-1:2016)*
- EN ISO 642:2016, *Steel — Hardenability test by end quenching (Jominy test) (ISO 642:1999)*
- EN ISO 643:2012, *Steels — Micrographic determination of the apparent grain size (ISO 643:2012)*
- EN ISO 683-2:2018, *Heat-treatable steels, alloy steels and free-cutting steels — Part 2: Alloy steels for quenching and tempering (ISO 683-2:2016)*

EN ISO 898-2:2012, *Mechanical properties of fasteners made of carbon steel and alloy steel — Part 2: Nuts with specified property classes — Coarse thread and fine pitch thread (ISO 898-2:2012)*

EN ISO 4287:1998, *Geometrical product specifications (GPS) — Surface texture: Profile method — Terms, definitions and surface texture parameters (ISO 4287:1997)*

EN ISO 6892-1:2016, *Metallic materials — Tensile testing — Part 1: Method of test at room temperature (ISO 6892-1:2016)*

EN ISO 12100:2010, *Safety of machinery — General principles for design — Risk assessment and risk reduction (ISO 12100:2010)*

CEN ISO/TR 15608:2017, *Welding — Guidelines for a metallic materials grouping system (ISO/TR 15608:2017)*

ISO 965-1:2013, *ISO general purpose metric screw threads — Tolerances — Part 1: Principles and basic data*

ISO 4306-1:2007, *Cranes — Vocabulary — Part 1: General*

EN ISO 6506-1:2014, *Metallic materials — Brinell hardness test — Part 1: Test method (ISO 6506-1:2014)*

EN ISO 15614-1:2017, *Specification and qualification of welding procedures for metallic materials — Welding procedure test — Part 1: Arc and gas welding of steels and arc welding of nickel and nickel alloys (ISO 15614-1:2017, Corrected version 2017-10-01) (A1)*

3 Terms and definitions, symbols and abbreviations

3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in EN ISO 12100:2010 and ISO 4306-1:2007 and the following apply.

3.1.1

hook shank

(A1) upper part of the hook, from which the hook is suspended to the hoist medium of the crane **(A1)**

3.1.2

hook body

lower, curved part of the hook below the shank

3.1.3

hook seat

bottom part of the hook body, where the load lifting attachment is resting

3.1.4

hook articulation

feature of the hook suspension, allowing the hook to tilt along the inclined load line

3.1.5

stand alone hook

hook which is designed, manufactured and released to the market as a component or as part of a hook block, without connection to a specific crane or application