

**ELEKTRILISED MEDITSIINISEADMED. OSA 1-10:
ÜLDNÕUDED ESMASELE OHUTUSELE JA OLULISTELE
TOIMIVUSNÄITAJATELE. KOLLATERAALSTANDARD:
NÕUDED FÜSIOLOOGILISTE SULETUD AHELAGA
KONTROLLERITE ARENDAMISELE**

**Medical electrical equipment - Part 1-10: General
requirements for basic safety and essential performance
- Collateral Standard: Requirements for the development
of physiologic closed-loop controllers
(IEC 60601-1-10:2007 + IEC 60601-1-10:2007/A1:2013
+ IEC 60601-1-10:2007/A2:2020)**

EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

See Eesti standard EVS-EN 60601-1-10:2008 +A1+A2:2021 sisaldab Euroopa standardi EN 60601-1-10:2008 ja selle muudatuste A1:2015 ja A2:2021 ingliskeelset teksti.	This Estonian standard EVS-EN 60601-1-10:2008 +A1+A2:2021 consists of the English text of the European standard EN 60601-1-10:2008 and its amendments A1:2015 and A2:2021.
Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas. Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 24.04.2008, muudatused A1 22.05.2015 ja A2 16.07.2021.	This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation. Date of Availability of the European standard is 24.04.2008, for A1 22.05.2015 and A2 16.07.2021.
Muudatusega A1 lisatud või muudetud teksti algus ja lõpp on tekstis tähistatud sümbolitega A1 A1 . Muudatusega A2 lisatud või muudetud teksti algus ja lõpp on tekstis tähistatud sümbolitega A2 A2 . Standard on kättesaadav Eesti Standardimis-ja Akrediteerimiskeskusest.	The start and finish of text introduced or altered by amendment A1 is indicated in the text by tags A1 A1 . The start and finish of text introduced or altered by amendment A2 is indicated in the text by tags A2 A2 . The standard is available from the Estonian Centre for Standardisation and Accreditation.

Tagasisidet standardi sisu kohta on võimalik edastada, kasutades EVS-i veebilehel asuvat tagasiside vormi või saates e-kirja meiliaadressile standardiosakond@evs.ee.

ICS 11.040.01

Standardite reprodutseerimise ja levitamise õigus kuulub Eesti Standardimis- ja Akrediteerimiskeskusele

Andmete paljundamine, taastekitamine, kopeerimine, salvestamine elektroonsesse süsteemi või edastamine ükskõik millises vormis või millisel teel ilma Eesti Standardimis-ja Akrediteerimiskeskuse kirjaliku loata on keelatud.

Kui Teil on küsimusi standardite autorikaitse kohta, võtke palun ühendust Eesti Standardimis-ja Akrediteerimiskeskusega: Koduleht www.evs.ee; telefon 605 5050; e-post info@evs.ee

The right to reproduce and distribute standards belongs to the Estonian Centre for Standardisation and Accreditation

No part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, without a written permission from the Estonian Centre for Standardisation and Accreditation.

If you have any questions about copyright, please contact Estonian Centre for Standardisation and Accreditation:

Homepage www.evs.ee; phone +372 605 5050; e-mail info@evs.ee

ICS 11.040.01

English Version

**Medical electrical equipment - Part 1-10: General requirements
for basic safety and essential performance - Collateral Standard:
Requirements for the development of physiologic closed-loop
controllers
(IEC 60601-1-10:2007 + IEC 60601-1-10:2007/A1:2013 +
IEC 60601-1-10:2007/A2:2020)**

Appareils électromédicaux - Partie 1-10: Exigences
générales pour la sécurité de base et les performances
essentiels - Norme collatérale: Exigences pour le
développement des régulateurs physiologiques en boucle
fermée
(CEI 60601-1-10:2007 + IEC 60601-1-10:2007/A1:2013 +
IEC 60601-1-10:2007/A2:2020)

Medizinische elektrische Geräte - Teil 1-10: Allgemeine
Festlegungen für die Sicherheit einschließlich des
wesentlichen Leistungsmerkmale - Ergänzungsnorm:
Anforderungen an die Entwicklung von physiologischen
geschlossenen Regelkreisen
(IEC 60601-1-10:2007 + IEC 60601-1-10:2007/A1:2013 +
IEC 60601-1-10:2007/A2:2020)

This European Standard was approved by CENELEC on 2008-03-01. Amendment A1 was approved by CENELEC on 2015-04-14. Amendment A2 was approved by CENELEC on 2020-08-26. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard and its amendments the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard and its amendments A1 and A2 exist in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

Foreword

The text of document 62A/576/FDIS, future edition 1 of IEC 60601-1-10, prepared by SC 62A, Common aspects of electrical equipment used in medical practice, of IEC TC 62, Electrical equipment in medical practice, and ISO SC 1, Breathing attachments and anaesthetic machines, and SC 3, Lung ventilators and related devices, of ISO TC 121, Anaesthetic and respiratory equipment, was submitted to the IEC-CENELEC parallel vote and was approved by CENELEC as EN 60601-1-10 on 2008-03-01

The following dates were fixed:

- latest date by which the EN has to be implemented
at national level by publication of an identical
national standard or by endorsement (dop) 2008-12-01
- latest date by which the national standards conflicting
with the EN have to be withdrawn (dow) 2011-03-01

This European Standard has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association and covers essential requirements of EC Directive 93/42/EEC. See Annex ZZ.

This European Standard constitutes a collateral standard to EN 60601-1:2006, hereafter referred to as the general standard.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. ALARM SYSTEMS).

In this collateral standard, the following print types are used:

- requirements and definitions: in roman type;
- *test specifications: in italic type;*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: IN SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the eight numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 8 includes Subclauses 8.1, 8.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 8.1, 8.2 and 8.2.1 are all subclauses of Clause 8).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (*).

Annexes ZA and ZZ have been added by CENELEC.

Endorsement notice

The text of the International Standard IEC 60601-1-10:2007 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

ISO 9000	NOTE	Harmonized as EN ISO 9000:2005 (not modified).
ISO 14001	NOTE	Harmonized as EN ISO 14001:2004 (not modified).
ISO 14021	NOTE	Harmonized as EN ISO 14021:2001 (not modified).
ISO 14040	NOTE	Harmonized as EN ISO 14040:2006 (not modified).

A1 Amendment A1 foreword

The text of document 62A/888/FDIS, future IEC 60601-1-10:2007/A1, prepared by SC 62A "Common aspects of electrical equipment used in medical practice" of IEC/TC 62 "Electrical equipment in medical practice" and ISO/SC 1 "Breathing attachments and anaesthetic machines" and ISO/SC 3 "Lung ventilators and related devices" of ISO/TC 121 "Anaesthetic and respiratory equipment" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 60601-1-10:2008/A1:2015.

The following dates are fixed:

- latest date by which the document has to be (dop) 2016-01-14
implemented at national level by
publication of an identical national
standard or by endorsement
- latest date by which the national (dow) 2018-12-31
standards conflicting with the
document have to be withdrawn

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC [and/or CEN] shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive.

For the relationship with EU Directive 93/42/EEC, see informative Annex ZZ, included in EN 60601-1-10:2008.

Endorsement notice

The text of the International Standard IEC 60601-1-10:2007/A1:2013 was approved by CENELEC as a European Standard without any modification. **A1**

A₂ Amendment A2 European foreword

The text of document 62A/1394/FDIS, future IEC 60601-1-10/A2, prepared by SC 62A "Common aspects of electrical equipment used in medical practice" of IEC/TC 62 "Electrical equipment in medical practice" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 60601-1-10:2008/A2:2021.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2022-01-16
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2024-07-16

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Endorsement notice

The text of the International Standard IEC 60601-1-10:2007/A2:2020 was approved by CENELEC as a European Standard without any modification. **A₂**

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Medical electrical equipment –
Part 1-10: General requirements for basic safety and essential performance –
Collateral Standard: Requirements for the development of physiologic
closed-loop controllers**

**Appareils électromédicaux –
Partie 1-10: Exigences générales pour la sécurité de base et les performances
essentiels – Norme collatérale: Exigences pour le développement des
régulateurs physiologiques en boucle fermée**



THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2020 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office
3, rue de Varembe
CH-1211 Geneva 20
Switzerland

Tel.: +41 22 919 02 11
info@iec.ch
www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigendum or an amendment might have been published.

IEC publications search - webstore.iec.ch/advsearchform

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and once a month by email.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: sales@iec.ch.

Electropedia - www.electropedia.org

The world's leading online dictionary on electrotechnology, containing more than 22 000 terminological entries in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

67 000 electrotechnical terminology entries in English and French extracted from the Terms and definitions clause of IEC publications issued between 2002 and 2015. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Recherche de publications IEC -

webstore.iec.ch/advsearchform

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et une fois par mois par email.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: sales@iec.ch.

Electropedia - www.electropedia.org

Le premier dictionnaire d'électrotechnologie en ligne au monde, avec plus de 22 000 articles terminologiques en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Glossaire IEC - std.iec.ch/glossary

67 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et définitions des publications IEC parues entre 2002 et 2015. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.



IEC 60601-1-10

Edition 1.2 2020-07
CONSOLIDATED VERSION

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Medical electrical equipment –
Part 1-10: General requirements for basic safety and essential performance –
Collateral Standard: Requirements for the development of physiologic
closed-loop controllers**

**Appareils électromédicaux –
Partie 1-10: Exigences générales pour la sécurité de base et les performances
essentielle – Norme collatérale: Exigences pour le développement des
régulateurs physiologiques en boucle fermée**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

ICS 11.040.01

ISBN 978-2-8322-8710-1

**Warning! Make sure that you obtained this publication from an authorized distributor.
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

This document is a preview generated by EVS

CONTENTS

FOREWORD.....	4
AMENDMENT A1 FOREWORD A1.....	7
AMENDMENT A2 FOREWORD A2.....	8
INTRODUCTION.....	9
INTRODUCTION TO AMENDMENT 1 A1.....	10
INTRODUCTION TO AMENDMENT 2 A2.....	11
1 Scope, object and related standards.....	12
1.1 * Scope.....	12
1.2 Object.....	12
1.3 Related standards.....	12
1.3.1 IEC 60601-1.....	12
1.3.2 Particular standards.....	13
2 Normative references.....	13
3 Terms and definitions.....	13
4 * General requirements.....	18
5 ME EQUIPMENT identification, marking and documents.....	19
5.1 * Instructions for use.....	19
5.2 Technical description.....	19
6 Accuracy of controls and instruments and protection against hazardous outputs.....	20
6.1 * USABILITY.....	20
6.2 ALARM SYSTEMS.....	20
6.3 * PCLCS VARIABLE logging.....	20
6.4 * DISTRIBUTED PCLCS.....	21
7 * PROGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS).....	21
8 Requirements for PHYSIOLOGIC CLOSED-LOOP CONTROLLER (PCLC) development.....	21
8.1 * General.....	21
8.2 Attributes/activities of the PCLC development PROCESS.....	22
8.2.1 RECORDS and PROCESS scaling.....	22
8.2.2 Equipment specifications.....	22
8.2.3 * Disturbance management.....	24
8.2.4 * PCLC VERIFICATION.....	25
8.2.5 * PCLCS VALIDATION.....	25
Annex A (informative) General guidance and rationale.....	27
Annex B (informative) Description of dynamic performance of a PCLCS.....	38
Annex C (informative) Guide to marking and labelling requirements for ME EQUIPMENT and ME SYSTEMS.....	41
Annex ZA (normative) Normative references to international publications with their corresponding European publications.....	42
Annex ZZ (informative) Coverage of Essential Requirements of EC Directives.....	43
Bibliography.....	44
Index of defined terms used in this collateral standard.....	45

Figure 1 – Functional diagram indicating typical components of a PHYSIOLOGIC CLOSED- LOOP CONTROL SYSTEM (PCLCS) utilizing a PCLC	14
Figure B.1 – Example of PCLCS dynamic performance with no STEADY-STATE DEVIATION	38
Figure B.2 – Example of PCLCS dynamic performance with STEADY-STATE DEVIATION	39
Figure B.3 – Example of PCLCS dynamic performance transient COMMAND VARIABLE	40
Table A.1 – Examples of ME EQUIPMENT or ME SYSTEMS that incorporate a PCLCS	27
Table C.1 – ACCOMPANYING DOCUMENTS, instructions for use	41
Table C.2 – ACCOMPANYING DOCUMENTS, technical description	41

Table C.1 – ACCOMPANYING DOCUMENTS, instructions for use	41
Table C.2 – ACCOMPANYING DOCUMENTS, technical description	41

INTERNATIONAL ELECTROTECHNICAL COMMISSION

MEDICAL ELECTRICAL EQUIPMENT –

Part 1-10: General requirements for basic safety and essential performance –

Collateral Standard: Requirements for the development of physiologic closed-loop controllers

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC provides no marking procedure to indicate its approval and cannot be rendered responsible for any equipment declared to be in conformity with an IEC Publication.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International standard IEC 60601-1-10 has been prepared by IEC subcommittee 62A: *Common aspects of electrical equipment used in medical practice*, of IEC technical committee 62: *Electrical equipment in medical practice*, and ISO subcommittees SC1: *Breathing attachments and anaesthetic machines*, and SC3: *Lung ventilators and related devices* of ISO technical committee 121: *Anaesthetic and respiratory equipment*.

It is published as double logo standard.

This first edition constitutes a collateral standard to IEC 60601-1: *Medical electrical equipment – Part 1: General requirements for safety and essential performance* hereafter referred to as the general standard.

The text of this collateral standard is based on the following documents:

FDIS	Report on voting
62A/576/FDIS	62A/585/RVD

Full information on the voting for the approval of this collateral standard can be found in the report on voting indicated in the above table. In ISO, the standard has been approved by 18 P-members out of 19 having cast a vote.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to:

- a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or
- a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. ALARM SYSTEMS).

In this collateral standard, the following print types are used:

- requirements and definitions: roman type.
- *test specifications: italic type.*
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- “clause” means one of the eight numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 8 includes Subclauses 8.1, 8.2, etc.);
- “subclause” means a numbered subdivision of a clause (e.g. 8.1, 8.2 and 8.2.1 are all subclauses of Clause 8).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (*).

A list of all parts of the IEC 60601 series, published under the general title: *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended

NOTE The attention of National Committees is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC or ISO publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this publication be adopted for implementation nationally not earlier than 3 years from the date of publication.

A1 AMENDMENT A1 FOREWORD

This amendment has been prepared by subcommittee 62A: *Common aspects of electrical equipment used in medical practice*, of IEC technical committee 62: *Electrical equipment in medical practice*, and ISO subcommittees SC1: *Breathing attachments and anaesthetic machines*, and SC3: *Lung ventilators and related devices* of ISO technical committee 121: *Anaesthetic and respiratory equipment*.

The text of this amendment is based on the following documents:

FDIS	Report on voting
62A/888/FDIS	62A/896/RVD

Full information on the voting for the approval of this amendment can be found in the report on voting indicated in the above table. In ISO, the standard has been approved by 15 P-members out of 15 having cast a vote.

The committee has decided that the contents of this amendment and the base publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended. **A1**

A2 AMENDMENT A2 FOREWORD

This amendment has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice, and ISO subcommittee 3: Respiratory devices and related equipment used for patient care, of ISO technical committee 121: Anaesthetic and respiratory equipment.

It is published as a double logo amendment.

The text of this amendment is based on the following documents of IEC:

FDIS	Report on voting
62A/1394/FDIS	62A/1409/RVD

Full information on the voting for the approval of this amendment can be found in the report on voting indicated in the above table. In ISO, the amendment has been approved by 17 P members out of 17 having cast a vote.

The committee has decided that the contents of this amendment and the base publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

NOTE The attention of users of this document is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC or ISO publication in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this publication be adopted for mandatory implementation nationally not earlier than 3 years from the date of publication.

INTRODUCTION

The use of PHYSIOLOGIC CLOSED-LOOP CONTROLLERS in ME EQUIPMENT and ME SYSTEMS are expected to provide a successful strategy to improve PATIENT safety and reduce healthcare costs [9][10][11][12][13] ¹⁾. New RISKS that are not directly addressed by previous standards are emerging in the development of this equipment. MANUFACTURERS employ a variety of methods to validate the safety and integrity of control systems with varying degrees of success. Classical methods of software VALIDATION for PHYSIOLOGIC CLOSED-LOOP CONTROLLERS can be insufficient to ensure performance with acceptable RISKS under all clinical and physiologic conditions.

1) Figures in square brackets refer to the Bibliography.

A1 INTRODUCTION TO AMENDMENT 1

The first edition of IEC 60601-1-10 was published in 2007. This amendment is intended to update the references to IEC 60601-1:2005 to include Amendment 1:2012, to update IEC 60601-1-6:2006 to IEC 60601-1-6:2010, including its Amendment 1 and to update references to IEC 60601-1-8:2006 to include its Amendment 1:2012. This amendment also removes the normative reference to IEC 62304:2006. This collateral standard made reference to IEC 62304 because elements of the software process were not fully covered by Clause 14 of IEC 60601-1:2005. Amendment 1 to IEC 60601-1:2005 incorporates the needed software process requirement into Clause 14. Therefore, it is redundant and potentially confusing to have IEC 62304 explicitly called out in this collateral standard. **A1**

A2 INTRODUCTION TO AMENDMENT 2

The first edition of IEC 60601-1-10 was published in 2007 and amended in 2013. Since the publication of IEC 60601-1-10:2007+A1:2013, the IEC Subcommittee (SC) 62A Secretariat has been collecting issues from a variety of sources including comments from National Committees. At the November 2015 meeting of IEC/SC 62A in Kobe, Japan, the subcommittee initiated a process to identify high-priority issues that need to be considered in an amendment and should not wait until the second edition of IEC 60601-1-10, which is presently targeted for publication sometime after 2024.

Those issues selected for inclusion on the final "short list" to be addressed in Amendment 2 were those approved by a 2/3 majority of the National Committees present and voting at the Frankfurt meeting of SC 62A. At the meeting held on 10 October 2016, 13 items were presented to the National Committees present. All 13 items received the required 2/3 majority of the National Committees present and voting and have been included in the "short list" for consideration in preparing Amendment 2. All remaining issues have been placed on a "long list" for consideration in the second edition of IEC 60601-1-10.

The "short list" of issues was documented in the design specification for Amendment 2. As IEC 60601-1-10 was jointly developed with ISO/TC 121/SC 3, the work was assigned to IEC/SC 62A-ISO/TC 121/SC 3 Joint Working Group (JWG) 5. JWG 5 was directed to consider each issue described in Clause 6 of the design specification and develop an appropriate solution for the identified problem. That final solution in this amendment can encompass any technical solution proposed by the author of the issue or it can involve a different solution developed by the expert group. The expert group can also have recommended that no change to the standard was justified by the problem statement.

Because this is an amendment to IEC 60601-1-10:2007, the style in force at the time of publication of IEC 60601-1-10 has been applied to this amendment. The style specified in ISO/IEC Directives Part 2:2018 has only been applied when implementing the new style guidance would not result in additional editorial changes. For example, references to amendments take the following form: "IEC 60601-1:2005+A1:2012+A2:2020".

Users of this document should note that when constructing the dated references to specific elements in a standard, such as definitions, amendments are only referenced if they modified the text being cited. For example, if a reference is made to a definition that has not been modified by an amendment, then the reference to the amendment is not included in the dated reference. **A2**

MEDICAL ELECTRICAL EQUIPMENT –

Part 1-10: General requirements for basic safety and essential performance –

Collateral Standard: Requirements for the development of physiologic closed-loop controllers

1 Scope, object and related standards

1.1 * Scope

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

A2 This collateral standard specifies requirements for the development (analysis, design, VERIFICATION and VALIDATION) of a PHYSIOLOGIC CLOSED-LOOP CONTROLLER (PCLC) as part of a PHYSIOLOGIC CLOSED-LOOP CONTROL SYSTEM (PCLCS) to control at least one PATIENT VARIABLE (i.e. a PHYSIOLOGIC VARIABLE) in ME EQUIPMENT and ME SYSTEMS.

EXAMPLE A PATIENT VARIABLE can be a measure of body chemistry (e.g. electrolytes or blood glucose value), a physical property (e.g. body temperature, electrophysiologic characteristic, hemodynamic quantity), or a pharmaceutical concentration. **A2**

This collateral standard applies to various types of PCLC, e.g. linear and non-linear, adaptive, fuzzy, neural networks.

This collateral standard does not specify:

- additional mechanical requirements; or
- additional electrical requirements.

This collateral standard applies to a closed-loop controller (see Figure 1) that sets the CONTROLLER OUTPUT VARIABLE in order to adjust (i.e., change or maintain) the measured PHYSIOLOGIC VARIABLE by relating it to the REFERENCE VARIABLE.

A closed-loop controller that maintains a physical or chemical VARIABLE, using feedback that is not measured from a PATIENT, is outside the scope of this standard.

1.2 Object

The object of this collateral standard is to specify general requirements that are in addition to those of the general standard and to serve as the basis for particular standards.

1.3 Related standards

1.3.1 IEC 60601-1

For ME EQUIPMENT and ME SYSTEMS, this collateral standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this collateral standard, either individually or in combination, the following conventions are used:

- ^{A1} "the general standard" designates IEC 60601-1 alone ^{A2}, including any amendments ^{A2}; ^{A1}
- ^{A1} "this collateral standard" designates IEC 60601-1-10 alone ^{A2}, including any amendments ^{A2}; ^{A1}
- "this standard" designates the combination of the general standard and this collateral standard.

1.3.2 Particular standards

A requirement in a particular standard takes priority over the corresponding requirement in this collateral standard.

2 Normative references

^{A1} The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. ^{A1} For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

^{A2} IEC 60601-1:2005, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*
Amendment 1:2012
Amendment 2:2020

IEC 60601-1-6:2010, *Medical electrical equipment – Part 1-6: General requirements for basic safety and essential performance – Collateral standard: Usability*
Amendment 1:2013
Amendment 2:2020

IEC 60601-1-8:2006, *Medical electrical equipment – Part 1-8: General requirements for basic safety and essential performance – Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*
Amendment 1:2012
Amendment 2:2020 ^{A2}

^{A1} *deleted text* ^{A1}

^{A2} IEC 62366-1:2015, *Medical devices – Part 1: Application of usability engineering to medical devices*
Amendment 1:2020

ISO 14971:2019, *Medical devices – Application of risk management to medical devices* ^{A2}

^{A2} ISO 9000:2015, *Quality management systems – Fundamentals and vocabulary* ^{A2}

3 Terms and definitions

^{A2} For the purposes of this document, the terms and definitions given in IEC 60601-1:2005+A1:2012+A2:2020, IEC 60601-1-6:2010+A1:2013+A2:2020, IEC 60601-1-8:2006+A1:2012+A2:2020, IEC 62366-1:2015+A1:2020, ISO 9000:2015 and the following apply. ^{A2}

NOTE An index of defined term used in this collateral standard is found beginning on page 45.