

ELEKTRILISED MEDITSIINISEADMED. OSA 2-77:  
ERINÕUDED ROBOTISEERITUD KIRURGILISTE  
SEADMETE ESMASELE OHUTUSELE JA OLULISTELE  
TOIMIMISNÄITAJATELE

Medical Electrical Equipment - Part 2-77: Particular  
requirements for the basic safety and essential  
performance of robotically assisted surgical equipment

## EESTI STANDARDI EESSÕNA

## NATIONAL FOREWORD

See Eesti standard EVS-EN IEC 80601-2-77:2021 sisaldab Euroopa standardi EN IEC 80601-2-77:2021 ingliskeelset teksti.	This Estonian standard EVS-EN IEC 80601-2-77:2021 consists of the English text of the European standard EN IEC 80601-2-77:2021.
Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.	This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation.
Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 01.10.2021.	Date of Availability of the European standard is 01.10.2021.
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ICS 11.040.01, 11.040.30

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English Version

**Medical electrical equipment - Part 2-77: Particular requirements  
for the basic safety and essential performance of robotically  
assisted surgical equipment  
(IEC 80601-2-77:2019)**

Appareils électromédicaux - Partie 2-77: Exigences  
particulières pour la sécurité de base et les performances  
essentielles des appareils chirurgicaux robotiquement  
assistés  
(IEC 80601-2-77:2019)

Medizinische elektrische Geräte - Teil 2-77: Besondere  
Festlegungen an die Sicherheit, einschließlich der  
wesentlichen Leistungsmerkmale von durch Roboter  
unterstützte Chirurgiegeräte  
(IEC 80601-2-77:2019)

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Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

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## European foreword

The text of document 62D/1675/FDIS, future edition 1 of IEC 80601-2-77, prepared by SC 62D "Electromedical equipment" of IEC/TC 62 "Electrical equipment in medical practice" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 80601-2-77:2021.

The following dates are fixed:

- latest date by which the document has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2022-04-01
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2024-10-01

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In the official version, for Bibliography, the following notes have to be added for the standards indicated:

ISO 13482:2014 NOTE Harmonized as EN ISO 13482:2014 (not modified)  
IEC 60601-2-2:2017 NOTE Harmonized as EN IEC 60601-2-2:2018 (not modified)  
IEC 60601-2-18:2009 NOTE Harmonized as EN 60601-2-18:2015 (not modified)  
IEC 60601-2-22:2007 NOTE Harmonized as EN 60601-2-22:2013 (not modified)  
IEC 60601-2-37:2007 NOTE Harmonized as EN 60601-2-37:2008 (not modified)  
IEC 60601-2-46:2016 NOTE Harmonized as EN IEC 60601-2-46:2019 (not modified)  
IEC 60601-1-3:2008 NOTE Harmonized as EN 60601-1-3:2008 (not modified)  
IEC 60601-1-9:2007 NOTE Harmonized as EN 60601-1-9:2008 (not modified)  
IEC 60601-1-11:2015 NOTE Harmonized as EN 60601-1-11:2015 (not modified)  
ISO 17664:2017 NOTE Harmonized as EN ISO 17664:2017 (not modified)  
ISO 10218-1:2011 NOTE Harmonized as EN ISO 10218-1:2011 (not modified)  
ISO 13855:2010 NOTE Harmonized as EN ISO 13855:2010 (not modified)  
ISO 10993 series NOTE Harmonized as EN ISO 10993 series  
IEC 60601-1-2:2007 NOTE Harmonized as EN 60601-1-2:2007 (modified)

## Annex ZA

(normative)

### Normative references to international publications with their corresponding European publications

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 Where an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: [www.cenelec.eu](http://www.cenelec.eu).

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
<i>Addition</i>				
IEC 60601-1	2005	Medical electrical equipment - Part 1: General requirements for basic safety and essential performance	EN 60601-1	2006
-	-		+ corrigendum Mar.	2010
+ A1	2012		+ A1	2013
-	-		+ A12	2014
<i>Replacement</i>				
IEC 60601-1-2	2014	Medical electrical equipment - Part 1-2: General requirements for basic safety and essential performance - Collateral Standard: Electromagnetic disturbances - Requirements and tests	EN 60601-1-2	2015
IEC 60601-1-6	2010	Medical electrical equipment - Part 1-6: General requirements for basic safety and essential performance - Collateral standard: Usability	EN 60601-1-6	2010
+ A1	2013		+ A1	2015
IEC 62366-1	2015	Medical devices - Part 1: Application of usability engineering to medical devices	EN 62366-1	2015
-	-		+ AC	2015

# INTERNATIONAL STANDARD

# NORME INTERNATIONALE



**Medical electrical equipment –  
Part 2-77: Particular requirements for the BASIC SAFETY and essential  
performance of ROBOTICALLY ASSISTED SURGICAL EQUIPMENT**

**Appareils électromédicaux –  
Partie 2-77: Exigences particulières pour la SECURITE DE BASE et les performances  
essentielles des APPAREILS CHIRURGICAUX ROBOTIQUEMENT ASSISTES**



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IEC 80601-2-77

Edition 1.0 2019-07

# INTERNATIONAL STANDARD

## NORME INTERNATIONALE



**Medical electrical equipment –  
Part 2-77: Particular requirements for the BASIC SAFETY and essential  
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Partie 2-77: Exigences particulières pour la SECURITE DE BASE et les performances  
essentiels des APPAREILS CHIRURGICAUX ROBOTIQUEMENT ASSISTES**

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ELECTROTECHNICAL  
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# INTERNATIONAL ELECTROTECHNICAL COMMISSION

## MEDICAL ELECTRICAL EQUIPMENT –

### Part 2-77: Particular requirements for the BASIC SAFETY and essential performance of ROBOTICALLY ASSISTED SURGICAL EQUIPMENT

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International Standard IEC 80601-2-77 has been prepared by subcommittee 62D: Electromedical equipment, of IEC technical committee 62: Electrical equipment in medical practice, and ISO technical committee 299: Robotics.

This publication is published as a double logo standard.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
62D/1675/FDIS	62D/1689/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this document, the following print types are used:

- requirements and definitions: roman type;
- *test specifications: italic type*;
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
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In referring to the structure of this document, the term

- "clause" means one of the nineteen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- "subclause" means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this document are preceded by the term "Clause" followed by the clause number. References to subclauses within this particular standard are by number only.

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- "may" is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (\*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex AA.

A list of all parts of the IEC 60601 and IEC 80601 International Standard, published under the general title *Medical electrical equipment*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

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- replaced by a revised edition, or
- amended.

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## INTRODUCTION

This part of IEC 80601 is written at a time when technical evolution of medical robots is in rapid progress and the scientific foundation of safe use is still being expanded.

This document is the result of work that began in ISO/TC 184/SC 2/WG 7 in October 2006 on personal care robots, to address an emerging type of medical robot that was used outside of an industrial environment<sup>1</sup>. That group was working on a new standard, ISO 13482[1]<sup>2</sup>, which was published as an International Standard (IS) in 2014. While initially focused on non-medical applications, WG 7 recognized that work was likely to be needed on medical devices utilizing robotic technology. In October 2009, ISO/TC 184/SC 2 established a WG 7, Study Group (SG) on Medical care robots, comprised of experts from Canada, France, Germany, Japan, Korea, Romania, Switzerland, UK and USA.

The work of ISO/TC 184/SC 2/WG 7 SG cumulated in a proposal to form a Joint Working Group (JWG 9) with IEC/TC 62/SC 62A focusing on MEDICAL ELECTRICAL EQUIPMENT using robotic technology. This JWG began developing a technical report (IEC TR 60601-4-1:2017[2]) dealing with degree of autonomy. While developing this document, a particular standard was proposed for robotic equipment used in surgical applications. This led to the creation of a Joint Working Group 35 in April 2015 within IEC/TC 62/SC 62D to develop particular requirements of safety of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS that utilize robotic technology. The work would include medical robots for SURGERY. This proposal was approved, resulting in the formation of Joint Working Group (JWG 35).

During IEC/TC 62/SC 62D discussion, there was a strong opinion that some types of MEDICAL ELECTRICAL EQUIPMENT could be a medical robot, but not all MEDICAL ELECTRICAL EQUIPMENT were medical robots. According to this opinion, JWG 35 discussed and agreed that the majority of existing MEDICAL ELECTRICAL EQUIPMENT, including those used for surgical PROCEDURES, were not considered medical robots, so it would be better to capture this type of ME EQUIPMENT through a different definition – ROBOTICALLY ASSISTED SURGICAL EQUIPMENT (RASE).

JWG 9 defined medical robots as ME EQUIPMENT with a degree of autonomy (IEC TR 60601-4-1:2017). JWG 35 found that some RASE have zero autonomy. Therefore, by definition, RASE could not be equivalent to a medical robot. Regulatory agencies objected to employ the term robot as defined in IEC TR 60601-4-1 and felt that it implied that the RASE were performing the surgical PROCEDURE rather than the surgeon. The consensus in JWG 35 was that the RASE only assists the surgeon. The surgeon maintains some level of control or supervision of the RASE.

The minimum safety requirements specified in this particular standard for ROBOTICALLY ASSISTED SURGICAL EQUIPMENT are presumed to establish that the RESIDUAL RISKS have been reduced to acceptable levels unless there is OBJECTIVE EVIDENCE to the contrary.

The requirements are followed by particular specifications for the relevant tests.

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<sup>1</sup> ISO TC 184/SC 2 was reorganized as ISO TC 299 in 2016.

<sup>2</sup> Numbers in square brackets refer to the Bibliography.

## MEDICAL ELECTRICAL EQUIPMENT –

### Part 2-77: Particular requirements for the BASIC SAFETY and essential performance of ROBOTICALLY ASSISTED SURGICAL EQUIPMENT

#### 201.1 Scope, object and related standards

Clause 1 of the general standard<sup>3</sup> applies, except as follows:

##### 201.1.1 Scope

*Replacement:*

This part of IEC 80601 applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of ROBOTICALLY ASSISTED SURGICAL EQUIPMENT (RASE) and ROBOTICALLY ASSISTED SURGICAL SYSTEMS (RASS), hereafter referred to as ME EQUIPMENT and ME SYSTEMS together with their INTERACTION CONDITIONS and INTERFACE CONDITIONS. If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

If RASE or RASS, or its ACCESSORIES fall within scope of another particular standard, then the particular standard applies in addition to this standard.

EXAMPLES IEC 60601-2-2[3] for HF SURGICAL EQUIPMENT; IEC 60601-2-18[4] for ENDOSCOPIC EQUIPMENT; IEC 60601-2-22[5] for laser equipment; IEC 60601-2-37[6] for ultrasound equipment; IEC 60601-2-46[7] for operating tables, etc.

##### 201.1.2 Object

*Replacement:*

The object of this particular standard is to establish particular BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for ROBOTICALLY ASSISTED SURGICAL EQUIPMENT and ROBOTICALLY ASSISTED SURGICAL SYSTEMS.

##### 201.1.3 \* Collateral standards

*Addition:*

This particular standard refers to those applicable collateral standards that are listed in Clause 2 of the general standard and Clause 201.2 of this particular standard.

IEC 60601-1-2:2014 and IEC 60601-1-6:2010 and IEC 60601-1-6:2010/AMD1:2013 apply as modified in Clauses 202 and 206 respectively. IEC 60601-1-3:2008 and IEC 60601-1-3:2008/AMD1:2013[8], IEC 60601-1-9:2007 and IEC 60601-1-9:2007/AMD1:2013[9], and IEC 60601-1-11:2015[10] do not apply.

<sup>3</sup> The general standard is IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012, *Medical electrical equipment – Part 1: General requirements for basic safety and essential performance*.

#### 201.1.4 Particular standards

##### *Replacement:*

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in the general standard and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

A requirement of a particular standard takes priority over the general standard.

For brevity, IEC 60601-1:2005 and IEC 60601-1:2005/AMD1:2012 are referred to in this particular standard as the general standard. Collateral standards are referred to by their document number.

The numbering of clauses and subclauses of this particular standard corresponds to that of the general standard with the prefix "201" (e.g. 201.1 in this document addresses the content of Clause 1 of the general standard) or applicable collateral standard with the prefix "20x", where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this particular standard addresses the content of Clause 4 of the IEC 60601-1-2 collateral standard, 203.4 in this particular standard addresses the content of Clause 4 of the IEC 60601-1-3 collateral standard, etc.). The changes to the text of the general standard are specified by the use of the following words:

"*Replacement*" means that the clause or subclause of the general standard or applicable collateral standard is replaced completely by the text of this particular standard.

"*Addition*" means that the text of this particular standard is additional to the requirements of the general standard or applicable collateral standard.

"*Amendment*" means that the clause or subclause of the general standard or applicable collateral standard is amended as indicated by the text of this particular standard.

Subclauses, figures or tables which are additional to those of the general standard are numbered starting from 201.101. However, due to the fact that definitions in the general standard are numbered 3.1 through 3.147, additional definitions in this document are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, e.g. 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this document" is used to make reference to the general standard, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this particular standard, the clause or subclause of the general standard or applicable collateral standard, although possibly not relevant, applies without modification; where it is intended that any part of the general standard or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this particular standard.

#### 201.2 Normative references

NOTE Informative references are listed in the Bibliography.

Clause 2 of the general standard applies, except as follows: