Dependability management - Part 3-4: Application guide - Specification of dependability requirements



EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

See Eesti standard EVS-EN IEC 60300-3-4:2022 sisaldab Euroopa standardi EN IEC 60300-3-4:2022 ingliskeelset teksti.

This Estonian standard EVS-EN IEC 60300-3-4:2022 consists of the English text of the European standard EN IEC 60300-3-4:2022.

Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas

This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation.

Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 22.04.2022.

Date of Availability of the European standard is 22.04.2022.

Standard on kättesaadav Eesti Standardimis-ja Akrediteerimiskeskusest.

The standard is available from the Estonian Centre for Standardisation and Accreditation.

Tagasisidet standardi sisu kohta on võimalik edastada, kasutades EVS-i veebilehel asuvat tagasiside vormi või saates e-kirja meiliaadressile <u>standardiosakond@evs.ee</u>.

ICS 03.100.40, 03.120.01

Standardite reprodutseerimise ja levitamise õigus kuulub Eesti Standardimis- ja Akrediteerimiskeskusele

Andmete paljundamine, taastekitamine, kopeerimine, salvestamine elektroonsesse süsteemi või edastamine ükskõik millises vormis või millisel teel ilma Eesti Standardimis-ja Akrediteerimiskeskuse kirjaliku loata on keelatud.

Kui Teil on küsimusi standardite autorikaitse kohta, võtke palun ühendust Eesti Standardimis-ja Akrediteerimiskeskusega: Koduleht www.evs.ee; telefon 605 5050; e-post info@evs.ee

The right to reproduce and distribute standards belongs to the Estonian Centre for Standardisation and Accreditation No part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, without a written permission from the Estonian Centre for Standardisation and Accreditation.

If you have any questions about copyright, please contact Estonian Centre for Standardisation and Accreditation:

Homepage www.evs.ee; phone +372 605 5050; e-mail info@evs.ee

EUROPEAN STANDARD NORME EUROPÉENNE EUROPÄISCHE NORM

EN IEC 60300-3-4

April 2022

ICS 03.100.40; 03.120.01

Supersedes EN 60300-3-4:2008

English Version

Dependability management - Part 3-4: Application guide - Specification of dependability requirements (IEC 60300-3-4:2022)

Gestion de la sûreté de fonctionnement - Partie 3-4: Guide d'application - Spécification d'exigences de sûreté de fonctionnement (IEC 60300-3-4:2022)

Zuverlässigkeitsmanagement - Teil 3-4: Anwendungsleitfaden - Anleitung zum Festlegen von Zuverlässigkeitsforderungen (IEC 60300-3-4:2022)

This European Standard was approved by CENELEC on 2022-04-15. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization Comité Européen de Normalisation Electrotechnique Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

European foreword

The text of document 56/1932/FDIS, future edition 3 of IEC 60300-3-4, prepared by IEC/TC 56 "Dependability" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 60300-3-4:2022.

The following dates are fixed:

- latest date by which the document has to be implemented at national (dop) 2023-01-15 level by publication of an identical national standard or by endorsement
- latest date by which the national standards conflicting with the (dow) 2025-04-15 document have to be withdrawn

This document supersedes EN 60300-3-4:2008 and all of its amendments and corrigenda (if any).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Endorsement notice

The text of the International Standard IEC 60300-3-4:2022 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60300-1:—1	NOTE	Harmonized as EN 60300-1:— ² (not modified)
IEC 60300-3-1	NOTE	Harmonized as EN 60300-3-1
IEC 60300-3-10	NOTE	Harmonized as EN IEC 60300-3-10 ³
IEC 60300-3-14	NOTE	Harmonized as EN 60300-3-14
IEC 62628	NOTE	Harmonized as EN 62628
IEC 61508 (series)	NOTE	Harmonized as EN 61508 (series)
IEC 62960	NOTE	Harmonized as EN IEC 62960
IEC 60706-3	NOTE	Harmonized as EN 60706-3
IEC 62308	NOTE	Harmonized as EN 62308
IEC 62741	NOTE	Harmonized as EN 62741
IEC 60300-3-3	NOTE	Harmonized as EN 60300-3-3

¹ Fourth edition under preparation. Stage at the time of publication: IEC PCC 60300-1:2022.

² Under preparation. Stage at the time of publication: prEN 60300-1.

³ Under preparation. Stage at the time of publication: prEN IEC 60300-3-10.

ISO 9000	NOTE	Harmonized as EN ISO 9000
IEC 60706-5	NOTE	Harmonized as EN 60706-5
IEC 62506	NOTE	Harmonized as EN 62506
IEC 61025	NOTE	Harmonized as EN 61025
IEC 61078	NOTE	Harmonized as EN 61078
IEC 61703	NOTE	Harmonized as EN 61703
IEC 61649	NOTE	Harmonized as EN 61649
IEC 61710	NOTE	Harmonized as EN 61710
IEC 62402	NOTE	Harmonized as EN IEC 62402
IEC 61709	NOTE	Harmonized as EN 61709
ISO 9001	NOTE	Harmonized as EN ISO 9001
IEC 62429	NOTE	Harmonized as EN 62429
)×
	•	
		4.
		4
		$\Theta_{\mathcal{X}}$
		6.
		3



Edition 3.0 2022-03

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Dependability management -

Part 3-4: Application guide - Specification of dependability requirements

Gestion de la sûreté de fonctionnement – Partie 3-4: Guide d'application – Spécification d'exigences de sûreté de

fonction nement





THIS PUBLICATION IS COPYRIGHT PROTECTED Copyright © 2022 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Secretariat 3, rue de Varembé CH-1211 Geneva 20

Switzerland

Tel.: +41 22 919 02 11

info@iec.ch www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigendum or an amendment might have been published.

IEC publications search - webstore.iec.ch/advsearchform

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee, ...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and once a month by email.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: sales@iec.ch.

IEC Products & Services Portal - products.iec.ch

Discover our powerful search engine and read freely all the publications previews. With a subscription you will always have access to up to date content tailored to your needs.

Electropedia - www.electropedia.org

The world's leading online dictionary on electrotechnology, containing more than 22 300 terminological entries in English and French, with equivalent terms in 19 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Recherche de publications IEC -

webstore.iec.ch/advsearchform

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études, ...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et une fois par mois par email.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: sales@iec.ch.

IEC Products & Services Portal - products.iec.ch

Découvrez notre puissant moteur de recherche et consultez gratuitement tous les aperçus des publications. Avec un abonnement, vous aurez toujours accès à un contenu à jour adapté à vos besoins.

Electropedia - www.electropedia.org

Le premier dictionnaire d'électrotechnologie en ligne au monde, avec plus de 22 300 articles terminologiques en anglais et en français, ainsi que les termes équivalents dans 19 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.



Edition 3.0 2022-03

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Dependability management –

Part 3-4: Application guide – Specification of dependability requirements

Gestion de la sûreté de fonctionnement – Partie 3-4: Guide d'application – Spécification d'exigences de sûreté de fonctionnement

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION ELECTROTECHNIQUE INTERNATIONALE

ICS 03.100.40; 03.120.01 ISBN 978-2-8322-1059-0

Warning! Make sure that you obtained this publication from an authorized distributor.

Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.

CONTENTS

FC	REW	ORD	4
IN.	TROD	UCTION	6
1	Sco	pe	8
2	Nori	mative references	8
3	Terr	ns and definitions	8
4	Spe	cifying dependability	10
	4.1	Description of dependability specification	
	4.2	Principles	
	4.3	Benefits	
5	Deri	vation of dependability requirements	15
	5.1	General	
	5.2	Define stakeholder needs and expectations	17
	5.3	Develop supporting documentation	18
	5.4	Derive dependability requirements	19
	5.5	Justify the measures used for the dependability requirements	33
	5.6	Complete dependability specification	34
	5.7	Review dependability specification	
An	nex A	(informative) Discussion on useful life	
	A.1	General	
	A.2	Factors that determine useful life	
	A.3	Specification of useful life of non-repairable items (components)	
		(informative) Process for prioritizing dependability attributes	
An		(informative) Development of a dependability specification for a home urity system	
	C.1	Define stakeholder needs and expectations	
	C.2	Develop supporting documentation	
	C.3	Derive the dependability requirements	
	C.4	Complete dependability specification	
An	nex D	,	
	D.1	Examples of constraints on system dependability	48
	D.2	Type of system operation	48
	D.3	Criticality of operation	
	D.4	Determining relevant influencing factors for the evaluation of system functions	
Rih	oliogra	phyphy	
D 1.	mogra	P11)	7_
		High level process for derivation of dependability requirements in the tion	
		- What are we trying to achieve?	
		– What do we need to manage?	
		– What constraints are there?	
_		- Assurance considerations	
_		- Reliability requirements	
		- Maintainability requirements	
Fig	gure 8	- Supportability requirements	31

igure 9 – Availability requirements	33
igure B.1 – Process for prioritizing attributes	39
gure C.1 – System configuration for normal mode of operation	44
igure C.2 – System configuration for panic mode of operation	44
igure C.3 – System configuration for security service mode of operation	45
able B.1 – Questions for prioritizing dependability attributes	38
able D.1 – Examples of influencing factors under each influencing condition	52
able D.2 – Relationship of system properties with influencing conditions	53
6,	
	1
	(1)

INTERNATIONAL ELECTROTECHNICAL COMMISSION

DEPENDABILITY MANAGEMENT -

Part 3-4: Application guide – Specification of dependability requirements

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

IEC 60300-3-4 has been prepared by IEC technical committee 56: Dependability. It is an International Standard.

This third edition cancels and replaces the second edition published in 2007. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) consistency with the other of the six core IEC dependability standards;
- b) a process for defining requirements has been included;
- c) the definitions and language used have been made consistent with other system related standards.

The text of this International Standard is based on the following documents:

Draft	Report on voting	
56/1932/FDIS	56/1939/RVD	

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/standardsdev/publications.

A list of all parts in the IEC 60300 series, published under the general title *Dependability* management, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- · replaced by a revised edition, or
- amended.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

Dependability is the ability to perform as and when required. A dependable item is one where there is justified confidence that it operates as desired and satisfies agreed stakeholder expectations.

Dependability has many attributes, but is usually characterized in terms of reliability, maintainability, and supportability, and the derived characteristic of availability. Dependability also includes the performance characteristics such as durability, testability and restorability as well as security and integrity, particularly in relation to software-based systems.

Dependability is an important attribute that affects the value items generate. Consequently, relevant dependability attributes should be defined and specified in addition to functional performance requirements and physical attributes. Whilst mainly addressing system and equipment level dependability, many of the techniques described in the various dependability related IEC standards may also be applied to products or at the component level. The term "item" is used throughout this document to mean an individual part, component, device, functional unit, off-the-shelf (OTS) equipment, subsystem, or system. The item may consist of hardware, software, people or any combination thereof (see IEC 60050-192). In order to refer to a specific kind of "item", terms like component, OTS, product or large open system are used.

Dependability attributes may be specified for an individual system or product (for example, a vehicle) and/or a group of similar systems or products (for example, a fleet of similar vehicles).

Dependability attributes may be specified using either quantitative and/or qualitative measures. In order to assess the values of some of the dependability attributes achieved, statistical methods may be necessary.

The levels of reliability, maintainability, supportability and availability achieved by an item depend on the conditions under which it is realized, utilized, maintained and supported and also on the life profile of the system. The requirements in the dependability specification, should also define the following:

- conditions under which the item is stored, transported, realized and utilized;
- life profile and expected useful life;
- maintenance policies;
- available support.

Dependability attributes may be specified, along with other performance characteristics, in various ways depending on the situation. In a basic project context where an acquirer obtains an item from a supplier, three main types are:

- 1) specifications written by the supplier;
- 2) specifications written by the acquirer;
- 3) specifications mutually agreed or written by the supplier and the acquirer.

The guidance in this document is applicable to all three types of specifications and may be adapted to other situations as needed.

This document provides guidance for writing dependability requirements in specifications, together with a means of assuring the achievement of those requirements.

This document is one of the six "top level" interrelated dependability standards that provide managers and technical personnel with guidance on how to effectively plan and implement dependability activities. As such, this document should be used in conjunction with:

- IEC 60300-1 [1]¹, which highlights the importance and benefits of managing dependability. It gives guidance on dependability activities and how to integrate them into an existing management system and life cycle processes;
- IEC 60300-3-1 [2], IEC 60300-3-10 [3], IEC 60300-3-14 [4] which provide guidance on how to identify and apply appropriate analysis and assurance techniques for reliability, and the state of t maintainability (and maintenance) and supportability (and support) respectively. A standard to cover availability is planned.

Numbers in square brackets refer to the Bibliography.

DEPENDABILITY MANAGEMENT -

Part 3-4: Application guide – Specification of dependability requirements

1 Scope

This part of IEC 60300 gives guidance on specifying dependability requirements and collating these requirements in a specification, together with a list of the means of assuring the achievement of the dependability requirements.

The guidance provided includes:

- specifying quantitative and qualitative reliability, maintainability, supportability and availability requirements;
- advising acquirers on how to ensure that the requirements can be fulfilled by suppliers;
- advising suppliers to help them meet the acquirer's requirements.

Other obligations, such as legislation and governmental regulations, can also place requirements on items, in addition to any requirements derived in accordance with this document.

Whilst mainly addressing system and equipment level dependability, many of the techniques described in the various dependability related IEC standards can also be applied to products or at the component level. The term "item" is used throughout this document.

This guidance is given in a basic project context where an acquirer obtains an item from a supplier. It can be modified and adapted to other situations as needed.

NOTE 1 This document does not directly consider safety and environment specifications although much of the guidance in this document could also be applied to them.

NOTE 2 This document does not cover items with special multi-stakeholder long-term arrangements (e.g. services provided through Public-Private Partnership procurements) and how dependability is specified in such arrangements.

NOTE 3 The guidance in this document can be applied to some aspects of the specification of requirements relating to software but specific guidance can be found in IEC 62628 [5] and the different parts of the IEC 61508 series [6].

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60050-192, International Electrotechnical Vocabulary (IEV) – Part 192: Dependability (available at http://www.electropedia.org)

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC 60050-192 and the following apply.