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Protection Profile for Smart Meter - Minimum Security requirements

Schutzprofil für Smart Meter -Mindestsicherheitsanforderungen

This Technical Specification (CEN/TS) was approved by CEN on 4 December 2022 for provisional application.

The period of validity of this CEN/TS is limited initially to three years. After two years the members of CEN and CENELEC will be requested to submit their comments, particularly on the question whether the CEN/TS can be converted into a European Standard.

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European foreword

This document (CEN/CLC/TS 17880:2022) has been prepared by Technical Committee CEN/CLC JTC 13 "cybersecurity and data protection", the secretariat of which is held by DIN.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

It is based on the "Protection Profile for Smart Meter Minimum Security requirements" Version 1.0, 30 October 2019 (CENCLCETSI_SMCG/Sec/00156/DC) created by the CEN/CENELEC/ETSI Coordination Group on Smart Meters (CG-SM).

Any feedback and questions on this document should be directed to the users' national standards body. A complete listing of these bodies can be found on the CEN website.

According to the CEN/CENELEC Internal Regulations, the national standards organisations of the following countries are bound to announce this Technical Specification: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of ovak. North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.

Introduction

This Protection Profile describes a set of security requirements for smart meters, based on the 'minimum security requirements' for components of Advanced Metering Infrastructures. The requirements in Smart Meter Co-ordination Group Privacy and Security Approach –Part IV are based on the concept that there are a common/generic set of underlying 'minimum' security requirements associated with smart metering requirement specifications in a number of EU Member States. Members of the ad hoc CG-SM Task Force on Privacy and Security as a result developed a set of generic minimum requirements that are valid for most of the European Member States. From this set, the requirements applicable to smart meters (as opposed to other parts of the AMI) have then been used as the basis for this Protection Profile by translating them, with specification of additional detail where necessary, into Common Criteria Security Functional Requirements (SFRs) and refinements to the Security Assurance Requirements (SARs)¹. The requirements defined in this Protection Profile can therefore serve as a basis for specific requirements of individual EU Member States, based on a risk analysis that has assessed the specific assets and actors applicable to their scheme.

The aim of this Protection Profile is to come to an European approach for the security certification of Smart Meters. The Cyber Security Act of the European Commission, that came into act in June 2019, asks for the development of European certification schemes for products, processes, and services in order to prevent fragmentation of the market by various national certification schemes. The SM-CG Working Group on Privacy and Security is of the opinion that Common Criteria provide a cost effective and efficient method for an agreement between manufacturers, customers and security evaluators as to what assurance level a product shall be provided based upon a protection profile and a security target for Smart Meters.

The Task Force recognizes that some national schemes already exist and have proven their value, such as the French CSPN (Certification Sécurité de Premier Niveau) approach and also the CPA (Commercial Product Assurance) approach in Great Britain and is of the opinion that it must be possible for these national approaches to be continued. In parallel the Task Force believes that an approach based on Common Criteria EAL.3+ and the already existing mutual recognition of CC certificates among 17 European countries, is a valuable alternative for European countries that do not have an existing certification scheme for Smart Meters yet.

The content of a Protection Profile is defined in ISO/IEC 15408-1.

Clauses 4 to 7 based on general concepts – they are therefore intended to be read by general readers. Other sections specify more detailed requirements and require some familiarity with Common Criteria concepts in ISO/IEC 15408 (all parts). These more detailed requirements are used by Common Criteria experts within developer organisations when to write a Security Target (ST) that claims conformance to this Protection Profile for their product and identifies the product-specific ways in which the requirements are met and implemented in the product. During the evaluation of the product, the evaluators will check the conformance of the developer's ST to this Protection Profile, as well as the conformance of the product to the requirements in the ST.

Any security functionality on the meter is an additional functionality and this does not have any influence on the metrological characteristics of the meter.

¹ In general, the refinements to Security Assurance Requirements are made in order to make a clearer definition of the evaluation activities required, and to improve the consistency of evaluations against the requirements in this Protection Profile.

1 Scope

This document specifies a security certification approach for smart electricity meters. It provides a general solution for security certification to avoid fragmentation and to enable mutual recognition of certificates in Europe. It defines the functional requirements and assurance criteria (see the Common Criteria in ISO/IEC 15408 (all parts)) for security certification.

This Protection Profile does not define specific types of sensitive personal information or personally identifiable information.

2 Normative references

There are no normative references in this document.

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at https://www.electropedia.org/
- ISO Online browsing platform: available at https://www.iso.org/obp

3.1

administrator

role that has a level of trust with respect to all policies implemented by the TSF in a generic term for a privileged role that has access to sensitive operations affecting the configuration and operation of the meter

3.2

advanced metering infrastructure

infrastructure which allows two way communications between the Head-End System and the meter(s)

Note to entry: An Advanced Metering Infrastructure can also be linked to other in house devices

3.3

assurance

grounds for confidence that a TOE meets the SFRs

3.4

consumer

end user of the metered quantity (electricity, gas, water or thermal energy)