
**Intelligent transport systems —
ITS station security services for
secure session establishment and
authentication between trusted
devices**

*Systèmes de transport intelligents — Services de sécurité des stations
ITS pour l'établissement et l'authentification des sessions sécurisées
entre dispositifs de confiance*



This document is a preview generated by EUS



COPYRIGHT PROTECTED DOCUMENT

© ISO 2023

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
CP 401 • Ch. de Blandonnet 8
CH-1214 Vernier, Geneva
Phone: +41 22 749 01 11
Email: copyright@iso.org
Website: www.iso.org

Published in Switzerland

Contents

	Page
Foreword.....	vi
Introduction.....	vii
1 Scope.....	1
2 Normative references.....	1
3 Terms and definitions.....	1
4 Abbreviated terms.....	2
5 Overview.....	4
5.1 General description, relationship to transport layer security (TLS) and relationship to application specifications.....	4
5.2 Goals.....	5
5.3 Architecture and functional entities.....	5
5.4 Cryptomaterial handles.....	10
5.5 Session IDs and state.....	10
5.6 Access control and authorization state.....	11
5.7 Application level non-repudiation.....	11
5.8 Service primitive conventions.....	11
6 Process flows and sequence diagrams.....	12
6.1 General.....	12
6.2 Overview of process flows.....	12
6.3 Sequence diagram conventions.....	13
6.4 Configure.....	14
6.5 Start session.....	15
6.6 Send data.....	18
6.7 Send access control PDU.....	21
6.8 Receive PDU.....	22
6.9 Extend session.....	27
6.9.1 Goals.....	27
6.9.2 Processing.....	28
6.10 Secure connection brokering.....	28
6.10.1 Goals.....	28
6.10.2 Prerequisites.....	28
6.10.3 Overview.....	29
6.10.4 Detailed specification.....	30
6.11 Force end session.....	38
6.12 Session terminated at session layer.....	40
6.13 Deactivate.....	40
6.14 Secure session example.....	41
7 Security subsystem: interfaces and data types.....	43
7.1 General.....	43
7.2 Access control policy and state.....	44
7.3 Enhanced authentication.....	45
7.3.1 Definition and possible states.....	45
7.3.2 States for owner role enhanced authentication.....	45
7.3.3 State for accessor role enhanced authentication.....	47
7.3.4 Use by access control.....	47
7.3.5 Methods for providing enhanced authentication.....	47
7.3.6 Enhanced authentication using SPAKE2.....	47
7.4 Extended authentication.....	48
7.5 Security Management Information Request.....	49
7.5.1 Rationale.....	49
7.5.2 General.....	50
7.6 Data types.....	51

7.6.1	General	51
7.6.2	Imports	51
7.6.3	“Helper” data types	51
7.6.4	Iso21177AccessControlPdu	52
7.6.5	AccessControlResult	52
7.6.6	ExtendedAuthPdu	52
7.6.7	ExtendedAuthRequest	53
7.6.8	InnerExtendedAuthRequest	53
7.6.9	AtomicExtendedAuthRequest	53
7.6.10	ExtendedAuthResponse	54
7.6.11	ExtendedAuthResponsePayload	54
7.6.12	EnhancedAuthPdu	54
7.6.13	SpakeRequest	55
7.6.14	SpakeResponse	55
7.6.15	SpakeRequesterResponse	55
7.6.16	SecurityMgmtInfoPdu	55
7.6.17	SecurityMgmtInfoRequest	55
7.6.18	EtsiCrlRequest	56
7.6.19	CertChainRequest	56
7.6.20	SecurityMgmtInfoResponse	56
7.6.21	SecurityMgmtInfoErrorResponse	57
7.6.22	EtsiCrlResponse	57
7.6.23	EtsiCtlResponse	57
7.6.24	IeeeCrlResponse	57
7.6.25	CertChainResponse	58
7.6.26	SessionExtensionPdu	58
7.7	App-Sec Interface	60
7.7.1	App-Sec-Configure.request	60
7.7.2	App-Sec-Configure.confirm	61
7.7.3	App-Sec-StartSession.indication	61
7.7.4	App-Sec-Data.request	61
7.7.5	App-Sec-Data.confirm	62
7.7.6	App-Sec-Incoming.request	62
7.7.7	App-Sec-Incoming.confirm	63
7.7.8	App-Sec-EndSession.request	64
7.7.9	App-Sec-EndSession.indication	64
7.7.10	App-Sec-Deactivate.request	65
7.7.11	App-Sec-Deactivate.confirm	65
7.7.12	App-Sec-Deactivate.indication	65
7.8	Security subsystem internal interface	66
7.8.1	General	66
7.8.2	Sec-AuthState.request	66
7.8.3	Sec-AuthState.confirm	66
8	Adaptor layer: interfaces and data types	67
8.1	General	67
8.2	Data types	68
8.2.1	General	68
8.2.2	Iso21177AdaptorLayerPDU	68
8.2.3	Apdu	69
8.2.4	AccessControl	69
8.2.5	TlsClientMsg1	69
8.2.6	TlsServerMsg1	69
8.3	App-AL Interface	69
8.3.1	App-AL-Data.request	69
8.3.2	App-AL-Data.confirm	70
8.3.3	App-AL-Data.indication	70
8.3.4	App-AL-EnableProxy.request	71
8.4	Sec-AL Interface	73

8.4.1	Sec-AL-AccessControl.request	73
8.4.2	Sec-AL-AccessControl.confirm	73
8.4.3	Sec-AL-AccessControl.indication	73
8.4.4	Sec-AL-EndSession.request	74
8.4.5	Sec-AL-EndSession.confirm	74
9	Secure session Services	74
9.1	General	74
9.2	App-Sess interfaces	74
9.2.1	App-Sess-EnableProxy.request	74
9.3	Sec-Sess interface	75
9.3.1	Sec-Sess-Configure.request	75
9.3.2	Sec-Sess-Configure.confirm	77
9.3.3	Sec-Sess-Start.indication	77
9.3.4	Sec-Sess-EndSession.indication	78
9.3.5	Sec-Sess-Deactivate.request	78
9.3.6	Sec-Sess-Deactivate.confirm	79
9.4	AL-Sess interface	79
9.4.1	AL-Sess-Data.request	79
9.4.2	AL-Sess-Data.confirm	79
9.4.3	AL-Sess-Data.indication	80
9.4.4	AL-Sess-EndSession.request	80
9.4.5	AL-Sess-EndSession.confirm	80
9.4.6	AL-Sess-ClientHelloProxy.request	81
9.4.7	AL-Sess-ClientHelloProxy.indication	81
9.4.8	AL-Sess-ServerHelloProxy.request	82
9.4.9	AL-Sess-ServerHelloProxy.indication	82
9.5	Permitted mechanisms	83
9.5.1	TLS 1.3	83
9.5.2	DTLS 1.3	84
Annex A	(informative) Usage scenarios	85
Annex B	(normative) ASN.1 module	93
Annex C	(normative) Session extension PDU functional type	94
Annex D	(normative) Owner authorization	95
Bibliography		99

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 204, *Intelligent transport systems*, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 278, *Intelligent transport systems*, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

This first edition of ISO 21177 cancels and replaces ISO/TS 21177:2019, which has been technically revised.

The main changes are as follows:

- change proposals presented in ISO/TR 21186-3:2021 have been incorporated, including:
 - CRL request functionality added;
 - session extension functionality added;
- editorial improvements to improve readability and clarity have been made, including:
 - revision of [Figure 7](#), renumbered to [Figure 8](#);
 - insertion of new [Figure 7](#).

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

Introduction

This document specifies ITS station security services that provide authenticity of the source and confidentiality and integrity of application activities taking place between trusted devices. The two devices taking part in a data exchange establish a cryptographically secure session; as part of establishing this session, each device [or, more precisely, each end entity (EE) which is an application on the device] is sent one or more digital certificates that are cryptographically bound to the other EE and contain statements, made by a trusted third party, about the EE's capabilities, properties and permissions. This allows each EE to have assurance about the properties of the other EE in the session, and this in turn allows each EE to make trust and access control decisions about data that the other EE can access, commands that the other EE can execute, states that the other EE can change, and other types of access that the other EE can request. In other words, the two EEs establish a trust relationship where each EE is trusted by the other EE to carry out specific actions, without requiring one EE to allow the other EE to have arbitrary access.

The mechanisms specified in this document allow each EE to establish trusted facts about the other EE. For these mechanisms to be used, the EE specification needs to include an access control policy, indicating which properties are required to be known to be true about the other EE for that other EE to be allowed to carry out particular actions. In other words, this document provides a means to obtain security-relevant information, but the use of that security-relevant information is to be specified in the specification of the EE.

The trust relation between two devices is illustrated in [Figure 1](#). Two devices cooperate in a trusted way, i.e. exchange information with optional explicit bi-directional protection.

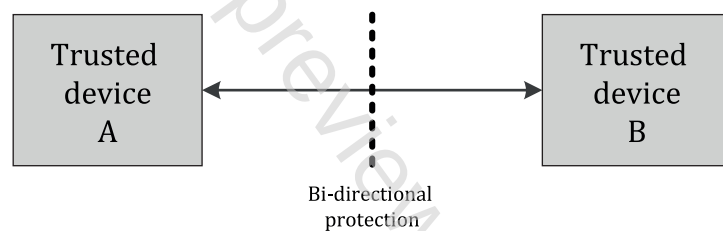


Figure 1 — Interconnection of trusted devices

According to ISO 21217, an ITS station unit (ITS-SU), i.e. the physical implementation of the ITS station (ITS-S) functionality, is a trusted device, and an ITS-SU may be composed of ITS station communication units (ITS-SCUs) that are interconnected via an ITS station-internal network. Thus, an ITS-SCU is the smallest physical entity of an ITS-SU that is referred to as a trusted device.

NOTE 1 ISO 21217 fully covers the functionality of EN 302 665,^[16] which is a predecessor of ISO 21217.

NOTE 2 An ITS-SU can be composed of ITS-SCUs from different vendors where each ITS-SCU is linked to a different ITS-SCU configuration and management centre specified in ISO 24102-2 and ISO 17419. Station-internal management communications between ITS-SCUs of the same ITS-SU are specified in ISO 24102-4. The European C-ITS regulation refers to the "ITS-SCU configuration and management centre" as "C-ITS station operator" meaning the entity responsible for the operation of a C-ITS station. The C-ITS station operator can be responsible for the operation of one single C-ITS station (fixed or mobile), or a C-ITS infrastructure composed of a number of fixed C-ITS stations, or a number of mobile ITS stations.

Four implementation contexts of communication nodes in ITS communications networks are identified in the ITS station and communication architecture of ISO 21217, each comprised of ITS-SUs taking on a particular role: personal, vehicular, roadside or central. These ITS-SUs are ITS-secured communication nodes as required in ISO 21217 that participate in a wide variety of ITS services related to, for example, sustainability, road safety and transportation efficiency. See also [Figure 2](#), [Figure 3](#), [Figure 4](#) and [Figure 5](#).

Over the last decade, ITS services have arisen that require secure access to data from sensor and control networks (SCN), for example, from in-vehicle networks (IVN) and from infrastructure/roadside

networks (IRN), some of which require secure local access to time-critical information; see [Figure 2](#) and [Figure 3](#).

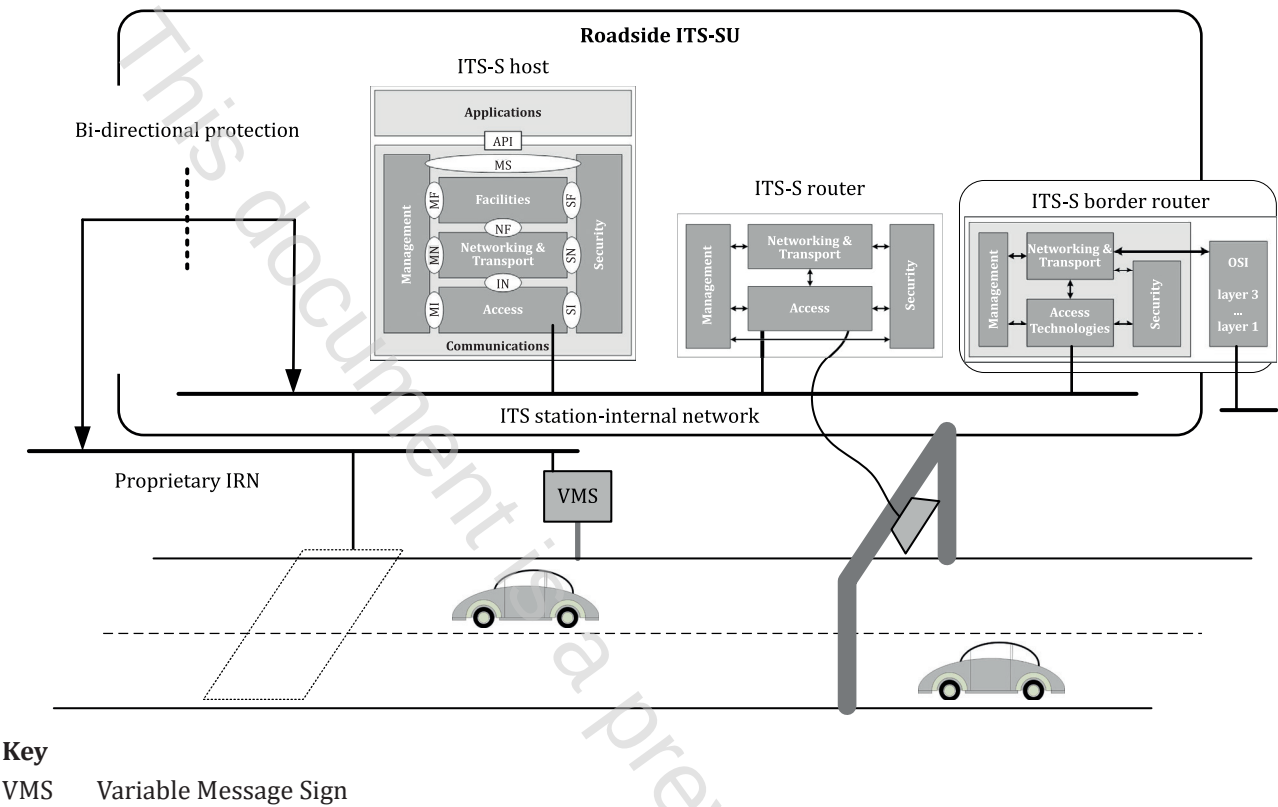


Figure 2 — Example of a roadside ITS-SU connected with proprietary IRN

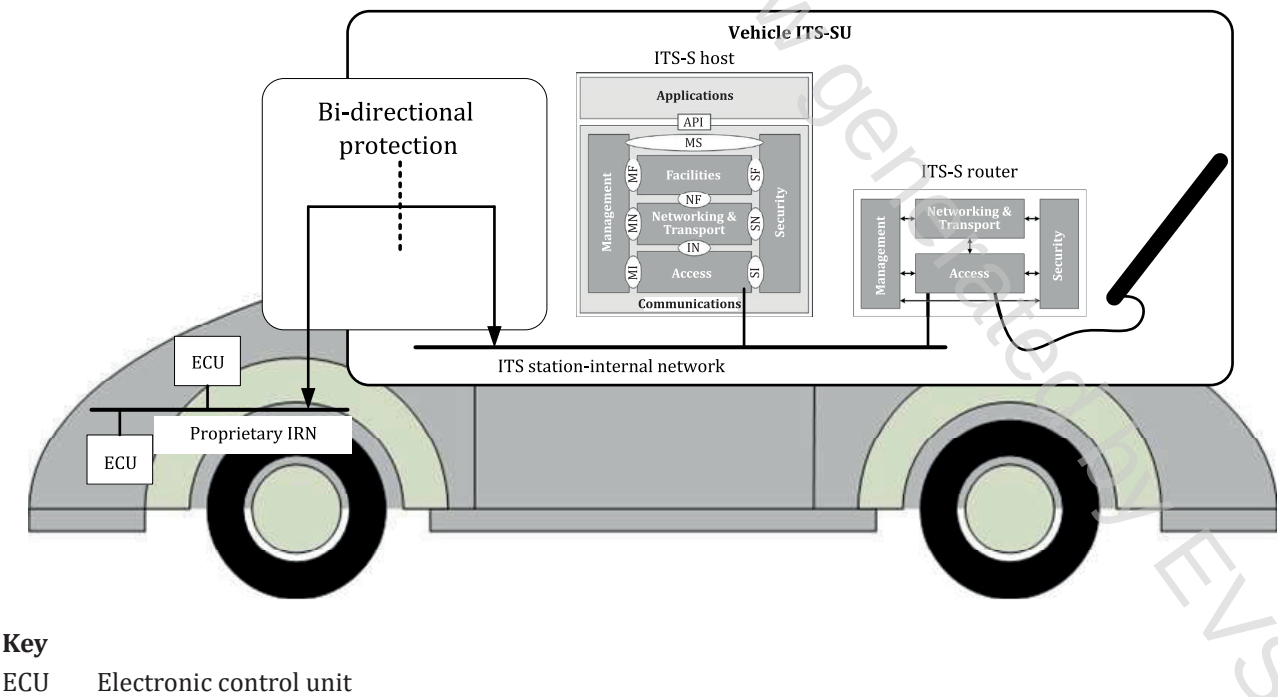


Figure 3 — Example of a vehicle ITS-SU connected with proprietary IRN

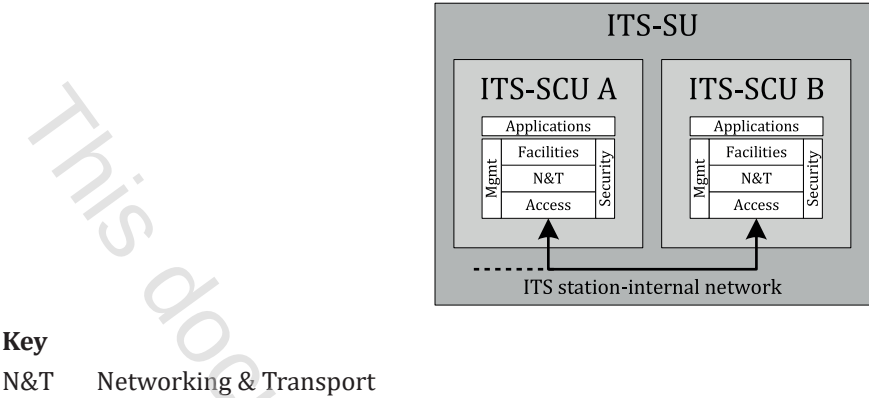


Figure 4 — Interconnection of ITS-SCUs in an ITS-SU

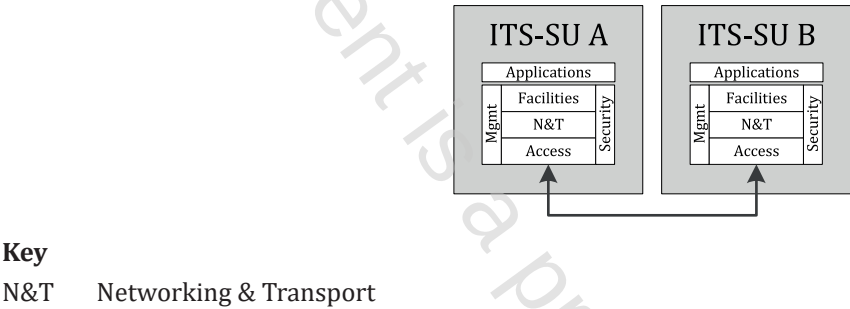
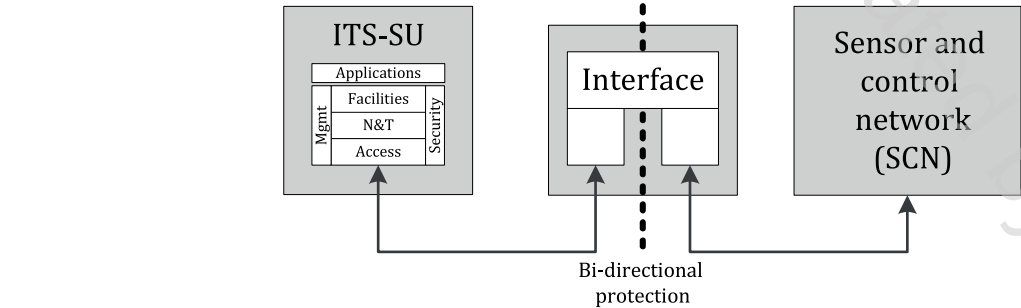


Figure 5 — Interconnection of ITS-SUs

By applying basic security means specified in this document, the ITS-SUs can establish secure application sessions. Establishment of sessions either requires prior knowledge about a session partner or can be achieved by means of a service announcement as specified in ISO 22418. Further on, the broadcasting of messages is secured by means of authenticating the sender of such a message, applicable for the service advertisement message (SAM) specified in ISO 16460 and used in ISO 22418. Additionally, other security means may be applied, e.g. encryption of messages.

A further trust relation in the ITS domain is between an ITS-SU consisting of one or several ITS-SCUs and a sensor and control network (SCN). Trust is achieved by applying security means in an interface as illustrated in [Figure 6](#) with details specified in this document.



Key
N&T Networking & Transport

Figure 6 — Interface between ITS-SU and sensor and control network

The interface presented in [Figure 6](#) may be a stand-alone device, or may be integrated in the ITS-SU, or may be part of the SCN. Examples of SCNs are "in-vehicle networks" (IVN) and "infrastructure/roadside networks" (IRN).

Related use cases of these ITS services have largely been derived from regulatory requirements and ITS operational needs, and they include:

- secure real-time access to time-critical vehicle-related data for safety of life and property applications, e.g. collision avoidance, emergency electronic brake light and event determination;
- secure local access to detailed real-time data for efficiency applications (traffic management), e.g. intersection interaction, congestion avoidance and dynamic priorities;
- protection of private data, e.g. in compliance with the European "General Data Protection Regulation" (GDPR);^[18]
- local access to certified real-time data for sustainability applications, e.g. dynamic emission zones (controlled zones as currently standardized by CEN/TC 278), intersection priorities based on emissions, and interactive optimum vehicle settings to minimize fuel consumption.

There are many use cases of ITS services currently identified where real-time exchange of time-critical information between ITS-SUs in close proximity is essential, and this number will grow (see the US National ITS Reference Architecture,^[19] for example). It is critical that ultimately all ITS-SUs in a given area be able to be engaged in these distributed services. This, in turn, requires vehicle ITS-SUs to have real-time access to vehicle data, and roadside ITS-SUs to have real-time access to infrastructure data. All ITS-SUs need to be capable of secure software updates.

According to ISO 21217, an ITS-SCU of an ITS-SU can communicate with devices that, in a strict sense, are not compliant with the architecture specified in ISO 21217. However, in order to have trusted communications, a certain minimum level of security measures need to be shared between an ITS-SCU and such an external device. Examples of such external devices are a node in the Internet, or a node in a sensor and control network. In this document, the assumption is made that ITS-S application processes operating on ITS-SUs are issued with certificates by a Certificate Authority (CA), and that the CA is a trusted third party in the sense that before issuing the certificate to the ITS-S application process, it ensures that the ITS-SU on which the ITS-S application process is resident meets the minimum security requirements for that application. This allows peer ITS-S application processes which observe that an ITS-S application process possesses a valid certificate to have a level of assurance that the ITS-S application process is in fact secure and trustworthy.

The subject of this document thus is three-fold.

- 1) Specification of ITS station security services for enabling trust between ITS-S application processes running on different ITS-SCUs of the same ITS-SU, i.e. establishing a trusted processing platform, considering also trust inside an ITS-SCU:
 - protection of applications from the actions of other applications;
 - protection of shared information;
 - protection of shared processing resources such as communications software and hardware, which includes methods of prioritization and restricted access.
- 2) Specification of ITS station security services for enabling trust between ITS-S application processes running on the same ITS-SU.
- 3) Extension of these ITS security services for enabling trust between an ITS-SCU and devices being part of a sensor and control network.

Such security services include, for example, the basic security features of:

- a) authentication and authorization;

- b) confidentiality and privacy;
- c) data integrity;
- d) non-repudiation.

Tasks related to communications are:

- e) establishing secure sessions for bi-directional communications, e.g. based on service advertisement as specified in ISO 22418;
- f) authenticating a sender of broadcast messages, e.g. CAM, DENM, BSM, SPaT, MAP, FSAM, WSA, etc.;
- g) encrypting messages.

NOTE 3 Tasks f) and g) above related to communications are already specified in other standards, see IEEE 1609.2 and several related standards from ETSI, for example.

The International Organization for Standardization (ISO) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent.

ISO takes no position concerning the evidence, validity and scope of this patent right.

The holder of this patent right has assured ISO that he/she is willing to negotiate licences under reasonable and non-discriminatory terms and conditions with applicants throughout the world. In this respect, the statement of the holder of this patent right is registered with ISO. Information may be obtained from the patent database available at www.iso.org/patents.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those in the patent database. ISO shall not be held responsible for identifying any or all such patent rights.

Intelligent transport systems — ITS station security services for secure session establishment and authentication between trusted devices

1 Scope

This document contains specifications for a set of ITS station security services required to ensure the authenticity of the source and integrity of information exchanged between trusted entities, i.e.:

- between devices operated as bounded secured managed entities, i.e. "ITS Station Communication Units" (ITS-SCU) and "ITS station units" (ITS-SU) as specified in ISO 21217; and
- between ITS-SUs (composed of one or several ITS-SCUs) and external trusted entities such as sensor and control networks.

These services include the authentication and secure session establishment which are required to exchange information in a trusted and secure manner.

These services are essential for many intelligent transport system (ITS) applications and services including time-critical safety applications, automated driving, remote management of ITS stations (ISO 24102-2), and roadside/infrastructure-related services.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ETSI TS 102 941, *Intelligent Transport Systems (ITS); Security; Trust and privacy management*

ETSI TS 103 097, *Intelligent Transport Systems (ITS); Security; Security header and certificate formats*

IEEE 1609.2 including Amendment 1, *IEEE Standard for Wireless Access in Vehicular Environments—Security Services for Applications and Management Messages*

IEEE 1003.1:2017, *IEEE Standard for Information Technology--Portable Operating System Interface (POSIX(R)) Base Specifications, Issue 7*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <https://www.electropedia.org/>

3.1

access control PDU

protocol data unit (PDU) generated by the security subsystem for purposes of establishing the authorization status of a peer ITS-S application process