Intelligent transport systems - ITS station security services for secure session establishment and authentication between trusted devices (ISO 21177:2023)



#### EESTI STANDARDI EESSÕNA

#### NATIONAL FOREWORD

See Eesti standard EVS-EN ISO 21177:2023 sisaldab Euroopa standardi EN ISO 21177:2023 ingliskeelset teksti.

This Estonian standard EVS-EN ISO 21177:2023 consists of the English text of the European standard EN ISO 21177:2023.

Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.

This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation.

Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 19.04.2023.

Date of Availability of the European standard is 19.04.2023.

Standard on kättesaadav Eesti Standardimis-ja Akrediteerimiskeskusest.

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ICS 03.220.01, 35.030, 35.240.60

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### EUROPEAN STANDARD

#### **EN ISO 21177**

## NORME EUROPÉENNE

### **EUROPÄISCHE NORM**

April 2023

ICS 03.220.01; 35.030; 35.240.60

Supersedes CEN ISO/TS 21177:2019

#### **English Version**

# Intelligent transport systems - ITS station security services for secure session establishment and authentication between trusted devices (ISO 21177:2023)

Systèmes de transport intelligents - Services de sécurité des stations ITS pour l'établissement et l'authentification des sessions sécurisées entre dispositifs de confiance (ISO 21177:2023) Intelligente Verkehrssysteme - Sicherheitsdienste für eine ITS-Station zum sicheren Aufbau von Sitzungen und zur Authentisierung zwischen vertrauenswürdigen Geräten (ISO 21177:2023)

This European Standard was approved by CEN on 20 February 2023.

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CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

#### **European foreword**

This document (EN ISO 21177:2023) has been prepared by Technical Committee ISO/TC 204 "Intelligent transport systems" in collaboration with Technical Committee CEN/TC 278 "Intelligent transport systems" the secretariat of which is held by NEN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by October 2023, and conflicting national standards shall be withdrawn at the latest by October 2023.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes CEN ISO/TS 21177:2019.

This document has been prepared under a Standardization Request given to CEN by the European Commission and the European Free Trade Association.

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According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.

#### **Endorsement notice**

The text of ISO 21177:2023 has been approved by CEN as EN ISO 21177:2023 without any modification.

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#### **Foreword**

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

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This document was prepared by Technical Committee ISO/TC 204, *Intelligent transport systems*, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 278, *Intelligent transport systems*, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

This first edition of ISO 21177 cancels and replaces ISO/TS 21177:2019, which has been technically revised.

The main changes are as follows:

- change proposals presented in ISO/TR 21186-3:2021 have been incorporated, including:
  - CRL request functionality added;
  - session extension functionality added;
- editorial improvements to improve readability and clarity have been made, including:
  - revision of <u>Figure 7</u>, renumbered to <u>Figure 8</u>;
  - insertion of new Figure 7.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a>.

#### Introduction

This document specifies ITS station security services that provide authenticity of the source and confidentiality and integrity of application activities taking place between trusted devices. The two devices taking part in a data exchange establish a cryptographically secure session; as part of establishing this session, each device [or, more precisely, each end entity (EE) which is an application on the device] is sent one or more digital certificates that are cryptographically bound to the other EE and contain statements, made by a trusted third party, about the EE's capabilities, properties and permissions. This allows each EE to have assurance about the properties of the other EE in the session, and this in turn allows each EE to make trust and access control decisions about data that the other EE can access, commands that the other EE can execute, states that the other EE can change, and other types of access that the other EE can request. In other words, the two EEs establish a trust relationship where each EE is trusted by the other EE to carry out specific actions, without requiring one EE to allow the other EE to have arbitrary access.

The mechanisms specified in this document allow each EE to establish trusted facts about the other EE. For these mechanisms to be used, the EE specification needs to include an access control policy, indicating which properties are required to be known to be true about the other EE for that other EE to be allowed to carry out particular actions. In other words, this document provides a means to obtain security-relevant information, but the use of that security-relevant information is to be specified in the specification of the EE.

The trust relation between two devices is illustrated in <u>Figure 1</u>. Two devices cooperate in a trusted way, i.e. exchange information with optional explicit bi-directional protection.



Figure 1 — Interconnection of trusted devices

According to ISO 21217, an ITS station unit (ITS-SU), i.e. the physical implementation of the ITS station (ITS-S) functionality, is a trusted device, and an ITS-SU may be composed of ITS station communication units (ITS-SCUs) that are interconnected via an ITS station-internal network. Thus, an ITS-SCU is the smallest physical entity of an ITS-SU that is referred to as a trusted device.

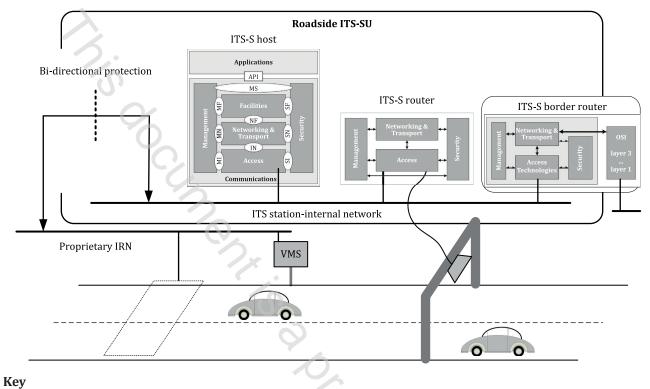
NOTE 1 ISO 21217 fully covers the functionality of EN 302 665, [16] which is a predecessor of ISO 21217.

NOTE 2 An ITS-SU can be composed of ITS-SCUs from different vendors where each ITS-SCU is linked to a different ITS-SCU configuration and management centre specified in ISO 24102-2 and ISO 17419. Station-internal management communications between ITS-SCUs of the same ITS-SU are specified in ISO 24102-4. The European C-ITS regulation refers to the "ITS-SCU configuration and management centre" as "C-ITS station operator" meaning the entity responsible for the operation of a C-ITS station. The C-ITS station operator can be responsible for the operation of one single C-ITS station (fixed or mobile), or a C-ITS infrastructure composed of a number of fixed C-ITS stations, or a number of mobile ITS stations.

Four implementation contexts of communication nodes in ITS communications networks are identified in the ITS station and communication architecture of ISO 21217, each comprised of ITS-SUs taking on a particular role: personal, vehicular, roadside or central. These ITS-SUs are ITS-secured communication nodes as required in ISO 21217 that participate in a wide variety of ITS services related to, for example, sustainability, road safety and transportation efficiency. See also Figure 2, Figure 3, Figure 4 and Figure 5.

Over the last decade, ITS services have arisen that require secure access to data from sensor and control networks (SCN), for example, from in-vehicle networks (IVN) and from infrastructure/roadside

networks (IRN), some of which require secure local access to time-critical information; see <u>Figure 2</u> and <u>Figure 3</u>.



VMS Variable Message Sign

Figure 2 — Example of a roadside ITS-SU connected with proprietary IRN

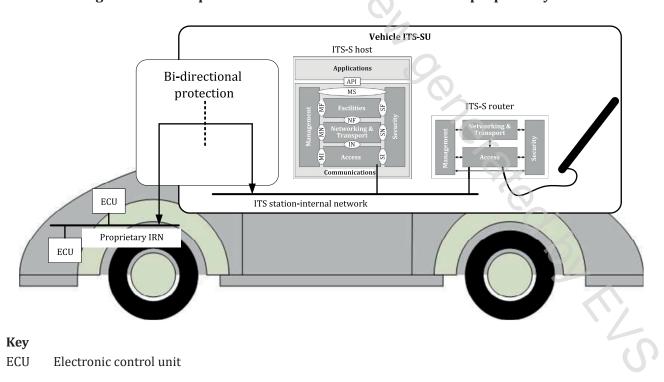
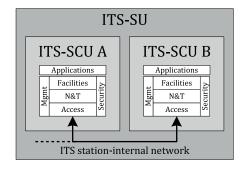


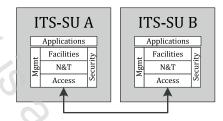
Figure 3 — Example of a vehicle ITS-SU connected with proprietary IVN



Key

N&T Networking & Transport

Figure 4 — Interconnection of ITS-SCUs in an ITS-SU



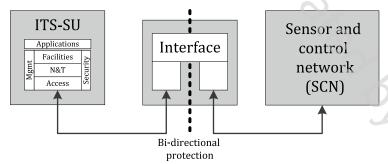
Key

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Figure 5 — Interconnection of ITS-SUs

By applying basic security means specified in this document, the ITS-SUs can establish secure application sessions. Establishment of sessions either requires prior knowledge about a session partner or can be achieved by means of a service announcement as specified in ISO 22418. Further on, the broadcasting of messages is secured by means of authenticating the sender of such a message, applicable for the service advertisement message (SAM) specified in ISO 16460 and used in ISO 22418. Additionally, other security means may be applied, e.g. encryption of messages.

A further trust relation in the ITS domain is between an ITS-SU consisting of one or several ITS-SCUs and a sensor and control network (SCN). Trust is achieved by applying security means in an interface as illustrated in Figure 6 with details specified in this document.



Key

N&T Networking & Transport

Figure 6 — Interface between ITS-SU and sensor and control network

The interface presented in <u>Figure 6</u> may be a stand-alone device, or may be integrated in the ITS-SU, or may be part of the SCN. Examples of SCNs are "in-vehicle networks" (IVN) and "infrastructure/roadside networks" (IRN).

Related use cases of these ITS services have largely been derived from regulatory requirements and ITS operational needs, and they include:

- secure real-time access to time-critical vehicle-related data for safety of life and property applications, e.g. collision avoidance, emergency electronic brake light and event determination;
- secure local access to detailed real-time data for efficiency applications (traffic management), e.g. intersection interaction, congestion avoidance and dynamic priorities;
- protection of private data, e.g. in compliance with the European "General Data Protection Regulation" (GDPR);<sup>[18]</sup>
- local access to certified real-time data for sustainability applications, e.g. dynamic emission zones (controlled zones as currently standardized by CEN/TC 278), intersection priorities based on emissions, and interactive optimum vehicle settings to minimize fuel consumption.

There are many use cases of ITS services currently identified where real-time exchange of time-critical information between ITS-SUs in close proximity is essential, and this number will grow (see the US National ITS Reference Architecture,[19] for example). It is critical that ultimately all ITS-SUs in a given area be able to be engaged in these distributed services. This, in turn, requires vehicle ITS-SUs to have real-time access to vehicle data, and roadside ITS-SUs to have real-time access to infrastructure data. All ITS-SUs need to be capable of secure software updates.

According to ISO 21217, an ITS-SCU of an ITS-SU can communicate with devices that, in a strict sense, are not compliant with the architecture specified in ISO 21217. However, in order to have trusted communications, a certain minimum level of security measures need to be shared between an ITS-SCU and such an external device. Examples of such external devices are a node in the Internet, or a node in a sensor and control network. In this document, the assumption is made that ITS-S application processes operating on ITS-SUs are issued with certificates by a Certificate Authority (CA), and that the CA is a trusted third party in the sense that before issuing the certificate to the ITS-S application process, it ensures that the ITS-SU on which the ITS-S application process is resident meets the minimum security requirements for that application. This allows peer ITS-S application processes which observe that an ITS-S application process possesses a valid certificate to have a level of assurance that the ITS-S application process is in fact secure and trustworthy.

The subject of this document thus is three-fold.

- 1) Specification of ITS station security services for enabling trust between ITS-S application processes running on different ITS-SCUs of the same ITS-SU, i.e. establishing a trusted processing platform, considering also trust inside an ITS-SCU:
  - protection of applications from the actions of other applications;
  - protection of shared information;
  - protection of shared processing resources such as communications software and hardware, which includes methods of prioritization and restricted access.
- 2) Specification of ITS station security services for enabling trust between ITS-S application processes running on the same ITS-SU.
- 3) Extension of these ITS security services for enabling trust between an ITS-SCU and devices being part of a sensor and control network.

Such security services include, for example, the basic security features of:

a) authentication and authorization;

- b) confidentiality and privacy;
- c) data integrity;
- d) non-repudiation.

Tasks related to communications are:

- e) establishing secure sessions for bi-directional communications, e.g. based on service advertisement as specified in ISO 22418;
- f) authenticating a sender of broadcast messages, e.g. CAM, DENM, BSM, SPaT, MAP, FSAM, WSA, etc.;
- g) encrypting messages.

NOTE 3 Tasks f) and g) above related to communications are already specified in other standards, see IEEE 1609.2 and several related standards from ETSI, for example.

The International Organization for Standardization (ISO) draws attention to the fact that it is claimed that compliance with this document may involve the use of a patent.

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# Intelligent transport systems — ITS station security services for secure session establishment and authentication between trusted devices

#### 1 Scope

This document contains specifications for a set of ITS station security services required to ensure the authenticity of the source and integrity of information exchanged between trusted entities, i.e.:

- between devices operated as bounded secured managed entities, i.e. "ITS Station Communication Units" (ITS-SCU) and "ITS station units" (ITS-SU) as specified in ISO 21217; and
- between ITS-SUs (composed of one or several ITS-SCUs) and external trusted entities such as sensor and control networks.

These services include the authentication and secure session establishment which are required to exchange information in a trusted and secure manner.

These services are essential for many intelligent transport system (ITS) applications and services including time-critical safety applications, automated driving, remote management of ITS stations (ISO 24102-2), and roadside/infrastructure-related services.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ETSI TS 102 941, Intelligent Transport Systems (ITS); Security; Trust and privacy management

ETSI TS 103 097, Intelligent Transport Systems (ITS); Security; Security header and certificate formats

IEEE 1609.2including Amendment 1, IEEE Standard for Wireless Access in Vehicular Environments— Security Services for Applications and Management Messages

IEEE 1003.1:2017, IEEE Standard for Information Technology--Portable Operating System Interface (POSIX(R)) Base Specifications, Issue 7

#### 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at <a href="https://www.electropedia.org/">https://www.electropedia.org/</a>

#### 3.1

#### access control PDU

protocol data unit (PDU) generated by the security subsystem for purposes of establishing the authorization status of a peer ITS-S application process