

English Version

Railway applications - Cybersecurity

Applications ferroviaires - Cybersécurité

Bahnanwendungen - Cybersecurity

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Contents

Page

European foreword.....	6
Introduction.....	7
1 Scope.....	8
2 Normative references.....	8
3 Terms, definitions and abbreviations.....	8
3.1 Terms and definitions.....	8
3.2 Abbreviations.....	24
4 Railway system overview.....	27
4.1 Introduction.....	27
4.2 Railway asset model.....	28
4.3 Railway physical architecture model.....	29
4.4 High-level railway zone model.....	30
5 Cybersecurity within a railway application lifecycle.....	32
5.1 Introduction.....	32
5.2 Railway application and product lifecycles.....	32
5.3 Activities, synchronization, and deliverables.....	32
5.4 Cybersecurity context and cybersecurity management plan.....	36
5.5 Relationship between cybersecurity and essential functions.....	36
5.5.1 General.....	36
5.5.2 Defence in depth.....	36
5.5.3 Security-related application conditions.....	37
5.5.4 Interfaces between cybersecurity and design team.....	38
5.5.5 Interfaces between the safety and the cybersecurity processes.....	38
5.6 Cybersecurity assurance process.....	41
6 System definition and initial risk assessment.....	42
6.1 Introduction.....	42
6.2 Identification of the system under consideration.....	43
6.2.1 Definition of the SuC.....	43
6.2.2 Overall functional description.....	43
6.2.3 Access to the SuC.....	43
6.2.4 Essential functions.....	44
6.2.5 Assets supporting the essential functions.....	44
6.2.6 Threat landscape.....	44
6.3 Initial risk assessment.....	45
6.3.1 Impact assessment.....	45
6.3.2 Likelihood assessment.....	46
6.3.3 Risk evaluation.....	47
6.4 Partitioning of the SuC.....	47
6.4.1 Criteria for zones and conduits breakdown.....	47

6.4.2	Process for zones and conduits breakdown	48
6.5	Output and documentation	49
6.5.1	Description of the system under consideration	49
6.5.2	Documentation of the initial risk assessment	49
6.5.3	Definition of zones and conduits	49
7	Detailed risk assessment.....	49
7.1	General aspects	49
7.2	Establishment of cybersecurity requirements	51
7.2.1	General	51
7.2.2	Threat identification and vulnerability identification	52
7.2.3	Vulnerability identification	54
7.2.4	Risk acceptance principles	55
7.2.5	Derivation of SL-T by explicit risk evaluation	56
7.2.6	Determine initial SL	58
7.2.7	Determine countermeasures from EN IEC 62443-3-3.....	59
7.2.8	Risk estimation and evaluation	60
7.2.9	Determine security level target	61
7.2.10	Cybersecurity requirements specification for zones and conduits	62
8	Cybersecurity requirements.....	63
8.1	Objectives	63
8.2	System security requirements	63
8.3	Apportionment of cybersecurity requirements	79
8.3.1	Objectives	79
8.3.2	Break down of system requirements to subsystem level	80
8.3.3	System requirement allocation at component level	80
8.3.4	Specific consideration for implementation of cybersecurity requirement on components.	81
8.3.5	Requirement breakdown structure as verification	81
8.3.6	Compensating countermeasures	81
9	Cybersecurity assurance and system acceptance for operation.....	83
9.1	Overview	83
9.2	Cybersecurity case	84
9.3	Cybersecurity verification	85
9.3.1	General	85
9.3.2	Cybersecurity integration and verification	85
9.3.3	Assessment of results	87
9.4	Cybersecurity validation	87
9.5	Cybersecurity system acceptance	88
9.5.1	Independence	88
9.5.2	Objectives	88
9.5.3	Activities	88
9.5.4	Cybersecurity handover	88
10	Operational, maintenance and disposal requirements	89
10.1	Introduction	89

10.2	Vulnerability management	89
10.3	Security patch management	90
10.3.1	General	90
10.3.2	Patching systems while ensuring operational requirements.....	91
Annex A (informative)	Handling conduits.....	94
Annex B (informative)	Handling legacy systems	97
Annex C (informative)	Cybersecurity design principles	103
Annex D (informative)	Safety and security	132
Annex E (informative)	Risk acceptance methods	136
Annex F (informative)	Railway architecture and zoning	144
Annex G (informative)	Cybersecurity deliverables content.....	161
Bibliography		164

Figures

Figure 1 — Segregation of IT and OT	27
Figure 2 — Railway asset model (example).....	28
Figure 3 — Railway physical architecture model (example)	29
Figure 4 — Generic high-level railway zone model (example).....	31
Figure 5 — Defence in depth with example of measures.....	37
Figure 6 — Synchronisation between cybersecurity team and other stakeholders	40
Figure 7 — Relationship Threat Risk Assessment and Security Assurance.....	41
Figure 8 — Initial risk assessment flowchart	42
Figure 9 — Detailed risk assessment flowchart	52
Figure 10 — Explicit risk evaluation flowchart.....	58
Figure 11 — Handling of SL-C	82
Figure 12 — Cybersecurity assurance	83
Figure 13 — Cybersecurity case concept.....	84
Figure 14 — Cybersecurity assurance during integration and validation activities	86
Figure 15 — General vulnerability handling flowchart.....	90
Figure 16 — Vulnerability and outage time during system update (maintenance phase) [example]	92
Figure 17 — Vulnerability and outage time during system update with observation phases [example]	93
Figure A.1 — Zones and conduits example	95
Figure D.1 — Security as an environmental condition for safety	133
Figure F.1 — Adopted generic high-level railway zone model (example).....	151
Figure F.2 — Example of a railway system zone model	152

Tables

Table 1 — Security-related activities within a railway application lifecycle (EN 50126-1)	32
Table 2 — Examples of function related supporting assets in regard to the Defence in Depth layers ...	37
Table 3 — Qualitative Impact Assessment example.....	45
Table 4 — Likelihood assessment matrix – Example.....	46
Table 5 — Risk matrix example.....	47
Table 6 — System Security Requirements and Foundational Classes.....	65
Table E.1 — Risk acceptance categories according to EN 50126-1	136
Table E.2 — Mapping severity categories according to EN 50126-1 to cybersecurity severity.....	137
Table E.3 — Likelihood assessment criteria	137
Table E.4 — Mapping Likelihood to accessibility and Probability	138

Table E.5 — Impact assessment matrix – Example 2	139
Table E.6 — Likelihood assessment matrix – Example 2	140
Table E.7 — Risk acceptance matrix – Example 2	140
Table E.8 — Impact assessment matrix – Example 3	141
Table E.9 — Likelihood assessment matrix – Example 3	142
Table E.10 — Likelihood conversion table – Example 3	142
Table E.11 — Risk acceptance matrix – Example 3	142
Table E.12 — Risk severity / Mitigation matrix – Example 3	143
Table F.1 — Railway system glossary	144
Table F.2 — Example – Evaluating groups of criticalities for landside-landside communication	148
Table F.3 — Example – Zone criticality definition for landside-landside communication	148
Table F.4 — Example – Landside-landside communication matrix basic structure	149
Table F.5 — Example – Communication matrix - landside to landside	150
Table F.6 — Example – Rolling stock zone model	153
Table F.7 — Example – Communication matrix - rolling stock to rolling stock	154
Table F.8 — Example – Communication matrix - landside to rolling stock	157
Table F.9 — Example – Communication matrix - rolling stock to landside	158

European foreword

This document (CLC/TS 50701:2023) has been prepared by CLC/TC 9X “Electrical and electronic applications for railways”.

This document supersedes CLC/TS 50701:2021.

CLC/TS 50701:2023 includes the following significant technical changes with respect to CLC/TS 50701:2021:

- 3.1: Addition or update of the definition of the following terms: air-gapped network, attack vector, availability, code of practice, cybersecurity case, data diode, host, host device, intrusion, privilege, railway operator, security device, security event, security objective, SCADA system, validation, virtual routing and forwarding,
- 4.4: Update of legend of Figure 4.
- 5.3: Update of Table 1 content.
- 5.5.4: Recommendation added: to perform common design reviews between cybersecurity team and design team.
- 5.5.5: Addition of Figure 6.
- 6.2.6: MITRE ATT&ACK for ICS added as example of threat library.
- 7.2.3.1: Note added: vulnerabilities are not always within hardware or software, they can also come from configuration, organization and processes.
- 7.2.4.2: Requirement added: demonstration of applicability of code of practice shall be provided.
- 7.2.4.3: Requirement added: demonstration of applicability of reference system shall be provided.
- 8.2: “SR 1.4” railway note updated.
- B.4.6: Recommendation added: passive network monitoring is recommended as active network monitoring may disrupt the availability of OT network.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Introduction

The aim of this document is to introduce the requirements as well as recommendations to address cybersecurity within the railway sector.

Due to digitization and the need for more performance and better maintainability, previously isolated industrial systems are now connected to large networks and increasingly use standard protocols and commercial components. Because of this evolution, cybersecurity becomes a key topic for these industrial systems, including critical systems such as railway systems.

The purpose of this document is to provide a specification that can be used to demonstrate that the system under consideration is appropriately cyber secured, has set appropriate Target Security Levels and achieved them, and that the cyber security is maintained during its operation and maintenance by demonstrating conformance to this TS.

This document intends to:

- provide requirements and guidance on cybersecurity activities and deliverables
- be adaptable and applicable to various system lifecycles
- be applicable for both safety and non-safety related systems
- identify interfaces between cybersecurity and other disciplines contributing to railway system lifecycles
- be compatible and consistent with EN 50126-1 when it is applied to the system under consideration
- due to lifecycle differences between safety and cybersecurity, separate safety approval and cybersecurity acceptance as much as possible
- identify the key synchronization points related to cybersecurity between system integrator and asset owner
- provide harmonized and standardized way to express technical cybersecurity requirements
- provide cybersecurity design principles promoting simple and modular systems
- allow the usage of market products such as industrial COTS compliant with the IEC/EN IEC 62443 series.

1 Scope

This document provides railway operators, system integrators and product suppliers, with guidance and specifications on how cybersecurity will be managed in the context of EN 50126-1 RAMS lifecycle process. This document aims at the implementation of a consistent approach to the management of the security of the railway systems. This document can also be applied to the security assurance of systems and components/equipment developed independently of EN 50126-1:2017.

This document applies to Communications, Signalling and Processing domain, to Rolling Stock and to Fixed Installations domains. It provides references to models and concepts from which requirements and recommendations can be derived and that are suitable to ensure that the residual risk from security threats is identified, supervised and managed to an acceptable level by the railway system duty holder. It presents the underlying security assumptions in a structured manner.

This document does not address functional safety requirements for railway systems but rather additional requirements arising from threats and related security vulnerabilities and for which specific measures and activities need to be taken and managed throughout the lifecycle. The aim of this document is to ensure that the RAMS characteristics of railway systems / subsystems / equipment cannot be reduced, lost or compromised in the case of cyber attacks.

The security models, the concepts and the risk assessment process described in this document are based on or derived from the IEC/EN IEC 62443 series. This document is consistent with the application of security management requirements contained within IEC 62443-2-1, which in turn are based on EN ISO/IEC 27001 and EN ISO 27002.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 50126-1, *Railway Applications - The Specification and Demonstration of Reliability, Availability, Maintainability and Safety (RAMS) - Part 1: Generic RAMS Process*

EN IEC 62443-3-2, *Security for industrial automation and control systems - Part 3-2: Security risk assessment for system design*

EN IEC 62443-3-3, *Industrial communication networks - Network and system security - Part 3-3: System security requirements and security levels*

IEC 62443-2-1, *Industrial communication networks - Network and system security - Part 2-1: Establishing an industrial automation and control system security program*

3 Terms, definitions and abbreviations

3.1 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online Browsing Platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <https://www.electropedia.org/>

NOTE The correspondence of the terms IACS, Solution and System used in the IEC/EN IEC 62443 series with the terms in this document might need further clarification in future issues of this document. Particularly, when using EN IEC 62443 definitions and requirements, the term “IACS” is understood and replaced by “railway application” or “railway system” as relevant in the context.