

IEC 60601-2-1

Edition 3.0 2009-10

INTERNATIONAL STANDARD

NORME INTERNATIONALE

Medical electrical equipment -

Part 2-1: Particular requirements for the basic safety and essential performance of electron accelerators in the range 1 MeV to 50 MeV

Appareils électromédicaux –

Partie 2-1: Exigences particulières de sécurité de base et de performances essentielles pour les accélérateurs d'électrons dans la gamme de 1 MeV à 50 MeV





THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2009 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester.

If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de la CEI ou du Comité national de la CEI du pays du demandeur. Si vous avez des questions sur le copyright de la CEI ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de la CEI de votre pays de résidence.

IEC Central Office 3, rue de Varembé CH-1211 Geneva 20 Switzerland Email: inmail@iec.ch Web: www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

Catalogue of IEC publications: www.iec.ch/searchpub

The IEC on-line Catalogue enables you to search by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, withdrawn and replaced publications.

IEC Just Published: www.iec.ch/online news/justpub

Stay up to date on all new IEC publications. Just Published details twice a month all new publications released. Available on-line and also by email.

Electropedia: <u>www.electropedia.org</u>

The world's leading online dictionary of electronic and electrical terms containing more than 20 000 terms and definitions in English and French, with equivalent terms in additional languages. Also known as the International Electrotechnical Vocabulary online.

Customer Service Centre: <u>www.iec.ch/webstore/custserv</u>

If you wish to give us your feedback on this publication or need further assistance, please visit the Customer Service Centre FAQ or contact us:

Email: <u>csc@iec.ch</u> Tel.: +41 22 919 02 11 Fax: +41 22 919 03 00

A propos de la CEI

La Commission Electrotechnique Internationale (CEI) est la première organisation mondiale qui élabore et publie des normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications CEI

Le contenu technique des publications de la CEI est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue des publications de la CEI: www.iec.ch/searchpub/cur_fut-f.htm

Le Catalogue en-ligne de la CEI vous permet d'effectuer des recherches en utilisant différents critères (numéro de référence, texte, comité d'études,...). Il donne aussi des informations sur les projets et les publications retirées ou remplacées.

Just Published CEI: www.iec.ch/online_news/justpub

Restez informé sur les nouvelles publications de la CEI. Just Published détaille deux fois par mois les nouvelles publications parues. Disponible en-ligne et aussi par email.

Electropedia: <u>www.electropedia.org</u>

Le premier dictionnaire en ligne au monde de termes électroniques et électriques. Il contient plus de 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans les langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International en ligne.

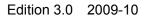
Service Clients: <u>www.iec.ch/webstore/custserv/custserv_entry-f.htm</u>

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions, visitez le FAQ du Service clients ou contactez-nous:

Email: <u>csc@iec.ch</u> Tél.: +41 22 919 02 11

Fax: +41 22 919 03 00





INTERNATIONAL STANDARD

NORME INTERNATIONALE

Medical electrical equipment -

Part 2-1: Particular requirements for the basic safety and essential performance of electron accelerators in the range 1 MeV to 50 MeV

Appareils électromédicaux -

Partie 2-1: Exigences particulières de sécurité de base et de performances essentielles pour les accélérateurs d'électrons dans la gamme de 1 MeV à 50 MeV

INTERNATIONAL ELECTROTECHNICAL COMMISSION

COMMISSION ELECTROTECHNIQUE INTERNATIONALE

PRICE CODE CODE PRIX

ICS 11.040.60

ISBN 978-2-88910-212-9

CONTENTS

FOREWO	RD	4
INTRODU	ICTION	7
201.1	Scope, object and related standards	8
201.2	Normative references	10
201.3	Terms and definitions	11
201.4	General requirements	14
201.5	General requirements for testing ME EQUIPMENT	14
201.6	Classification of ME EQUIPMENT and ME SYSTEMS	15
201.7	ME EQUIPMENT identification, marking and documents	15
201.8	Protection against electrical HAZARDS from ME EQUIPMENT	21
201.9	Protection against MECHANICAL HAZARDS of ME EQUIPMENT and ME SYSTEMS	22
201.10	Protection against unwanted and excessive radiation HAZARDS	25
201.11	Protection against excessive temperatures and other HAZARDS	47
201.12	Accuracy of controls and instruments and protection against hazardous outputs	47
201.13	HAZARDOUS SITUATIONS and fault conditions	47
201.14	PROGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS)	47
201.15	Construction of ME EQUIPMENT	
201.16	ME SYSTEMS	48
201.17	ELECTROMAGNETIC COMPATIBILITY of ME EQUIPMENT and ME SYSTEMS	48
206	Usability	50
Annexes		59
Annex B (informative) Sequence of testing	59
	nformative) ME systems aspects	
Bibliograp	ohy	60
Index of d	lefined terms	61
J • •	1.101 – Limits of Stray X-radiation during Electron Irradiation .2.102.1)	51
	1.102 – Limits of RELATIVE SURFACE DOSE during X-IRRADIATION	52
	1.103 – Elevation view – Application of LEAKAGE RADIATION requirements .2.103 and 201.10.1.2.104)	53
	1.104 – 24 measurement points for averaging LEAKAGE RADIATION during X- (201.10.1.2.103.2.1)	54
	1.105 – Limits of LEAKAGE RADIATION through the BEAM LIMITING DEVICES during IRRADIATION (201.10.1.2.103.2.2)	55

Figure 201.106 – Measurement points for averaging LEAKAGE RADIATION during ELECTRON IRRADIATION (201.10.1.2.103.2.2)	
Figure 201.107 – 24 measurement points for averaging LEAKAGE RADIATION outside area <i>M</i> (201.10.1.2.103.3)	
Figure 201.108 – ME EQUIPMENT movements and scales	

Table 201.101 – Colours of indicator lights and their meaning for ME EQUIPMENT.......16

Table 201.102 – Data required in the technical description to support Clause 201.10 SITE TEST compliance	18
Table 201.103 – Clauses and subclauses in this particular standard that require the provision of information in the ACCOMPANYING DOCUMENTS, INSTRUCTIONS FOR USE and the technical description	20
able 201.104 – Limits of STRAY X-RADIATION during ELECTRON IRRADIATION (see	
able 201.105 – Limits of RELATIVE SURFACE DOSE during X-IRRADIATION (see igure 201.102)	
outment is a preview of net area of the	Ś

MEDICAL ELECTRICAL EQUIPMENT -

Part 2-1: Particular requirements for the basic safety and essential performance of electron accelerators in the range 1 MeV to 50 MeV

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committee; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 60601-2-1 has been prepared by IEC subcommittee 62C: Equipment for radiotherapy, nuclear medicine and radiation dosimetry, of IEC technical committee 62: Electrical equipment in medical practice.

This third edition cancels and replaces the second edition published in 1998 and its Amendment 1 (2002). It constitutes a technical revision.

This third edition addresses the following issues not covered in previous editions:

- alignment with the new relevant collateral standards;
- new technologies in radiotherapy, including:
 - stereotactic radiosurgery (SRS) and stereotactic radiotherapy (SRT);
 - intensity modulated radiotherapy (IMRT);
 - electronic imaging devices (e.g. EPID);
 - moving beam radiotherapy (dynamic therapy).

The text of this particular standard is based on the following documents:

FDIS	Report on voting
62C/474/FDIS	62C/480/RVD

Full information on the voting for the approval of this particular standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

In this standard, the following print types are used:

- Requirements and definitions: roman type.
- Test specifications: italic type.
- Informative material appearing outside of tables, such as notes, examples and references: in smaller type.
 Normative text of tables is also in a smaller type.
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS PARTICULAR STANDARD OR AS NOTED: SMALL CAPITALS.

In referring to the structure of this standard, the term

- "clause" means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- "subclause" means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term "Clause" followed by the clause number. References to subclauses within this particular standard are by number only.

In this standard, the conjunctive "or" is used as an "inclusive or" so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- "shall" means that compliance with a requirement or a test is mandatory for compliance with this standard;
- "should" means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- "may" is used to describe a permissible way to achieve compliance with a requirement or test.

A list of all parts of the IEC 60601 series, published under the general title: *Medical electrical equipment* can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed, •
- withdrawn,
- replaced by a revised edition, or
- amended. •

- 6 -

INTRODUCTION

The use of ELECTRON ACCELERATORS for RADIOTHERAPY purposes may expose PATIENTS to danger if the ME EQUIPMENT fails to deliver the required dose to the PATIENT, or if the ME EQUIPMENT design does not satisfy standards of electrical and mechanical safety. The ME EQUIPMENT may also cause danger to persons in the vicinity if the ME EQUIPMENT itself fails to contain the RADIATION adequately and/or if there are inadequacies in the design of the TREATMENT ROOM.

This particular standard establishes requirements to be complied with by MANUFACTURERS in the design and construction of ELECTRON ACCELERATORS for use in RADIOTHERAPY; it does not attempt to define their optimum performance requirements. Its purpose is to identify those features of design that are regarded, at the present time, as essential for the safe operation of such ME EQUIPMENT. It places limits on the degradation of ME EQUIPMENT performance beyond which it can be presumed that a fault condition exists and where an INTERLOCK then operates to prevent continued operation of the ME EQUIPMENT.

Clause 201.10 contains limits beyond which INTERLOCKS prevent, INTERRUPT or TERMINATE IRRADIATION in order to insure ESSENTIAL PERFORMANCE is maintained to avoid an unsafe condition. TYPE TESTS that are performed by the MANUFACTURER, and/or SITE TESTS, which are not necessarily performed by the MANUFACTURER, are SPECIFIED for each requirement. It is understood that SITE TESTS may or may not be required of the MANUFACTURER, per the agreement between the MANUFACTURER and end user.

Given that before installation a MANUFACTURER cannot provide SITE TEST data, data available from SITE TESTS should be incorporated in the ACCOMPANYING DOCUMENTS, in the form of a SITE TEST report, by those who test the ME EQUIPMENT at installation.

This International Standard was first published in 1981. It was amended in 1984 and 1990. A second edition was published in 1998 and amended in 2002. This third edition is prompted by the need to align this particular standard with the third edition of the general standard, IEC 60601-1:2005.

IEC 60976 and IEC/TR 60977 are closely related to this standard. The former specifies test methods and reporting formats for performance tests of ELECTRON ACCELERATORS for use in RADIOTHERAPY, with the aim of providing uniform methods for conducting such tests. The latter is not a standard per se, but suggests performance values, measured per the methods specified in IEC 60976, that could be achievable with present technology.

MEDICAL ELECTRICAL EQUIPMENT –

Part 2-1: Particular requirements for the basic safety and essential performance of electron accelerators in the range 1 MeV to 50 MeV

201.1 Scope, object and related standards

Clause 1 of the general standard¹⁾ applies, except as follows:

201.1.1 Scope

Replacement:

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of ELECTRON ACCELERATORS, hereafter referred to as ME EQUIPMENT, in the range 1 MeV to 50 MeV, used for treatment of PATIENTS.

This particular standard, with the inclusion of TYPE TESTS and SITE TESTS, applies respectively to the manufacture and some installation aspects of ELECTRON ACCELERATORS

- intended for RADIOTHERAPY in human medical practice, including those in which the selection and DISPLAY of operating parameters can be controlled automatically by PROGRAMMABLE ELECTRONIC SUBSYSTEMS (PESS),
- that, under NORMAL CONDITIONS and in NORMAL USE, deliver a RADIATION BEAM of X-RADIATION and/or ELECTRON RADIATION having
 - NOMINAL ENERGY in the range 1 MeV to 50 MeV,
 - maximum ABSORBED DOSE RATES between 0,001 Gy \times s^{-1} and 1 Gy \times s^{-1} at 1 m from the RADIATION SOURCE,
 - NORMAL TREATMENT DISTANCES (NTDs) between 0,5 m and 2 m from the RADIATION SOURCE,

and

- intended to be
 - for NORMAL USE, operated under the authority of appropriately licensed or QUALIFIED PERSONS by OPERATORS having the required skills for a particular medical application, for particular specified clinical purposes, e.g. STATIONARY RADIOTHERAPY or MOVING BEAM RADIOTHERAPY,
 - maintained in accordance with the recommendations given in the INSTRUCTIONS FOR USE,
 - subject to regular quality assurance performance and calibration checks by a QUALIFIED PERSON.

NOTE 1 In this particular standard, all references to installation refer to installation in the RESPONSIBLE ORGANIZATION'S premises.

NOTE 2 In this particular standard, all references to ABSORBED DOSE refer to ABSORBED DOSE in water.

¹⁾ The general standard is IEC 60601-1:2005, Medical electrical equipment – Part 1: General requirements for basic safety and essential performance.

IEC 61271 gives guidance on the designation of ME EQUIPMENT movements; the marking of scales, their zero positions and the direction of movement with increasing value (see 201.7.4.101).

IEC 60676 specifies methods of testing and disclosure of functional performance of medical ELECTRON ACCELERATORS. The standard is intended to facilitate comparisons of acceleratorbased ME EQUIPMENTS of different manufacture. IEC 60676 contains no safety requirements, and is therefore not required for compliance with this particular standard. It should also be noted (as stated in the Introduction to IEC 60976:2007) that tests specified in IEC 60976 are not necessarily appropriate for ensuring that any individual medical ELECTRON ACCELERATOR conforms to the declared functional performance during the course of its working lifetime.

NOTE 3 IEC/TR 60977, Medical electrical equipment – Medical electron accelerators – Guidelines for functional performance characteristics, is a related technical report that provides performance guidelines. It shall not be construed as a standard.

201.1.2 Object

Replacement:

The object of this particular standard is to establish particular BASIC SAFETY and ESSENTIAL PERFORMANCE requirements for ELECTRON ACCELERATORS in the range 1 MeV to 50 MeV and to specify tests to check compliance to those requirements.

NOTE The adoption of this standard helps to ensure that the ME EQUIPMENT

- maintains PATIENT safety during ME EQUIPMENT movements and failure of the SUPPLY MAINS,
- delivers the pre-selected RADIATION TYPE, NOMINAL ENERGY, and ABSORBED DOSE,
- delivers the RADIATION in accordance with the pre-selected relationship of the RADIATION BEAM to the PATIENT, by utilizing STATIONARY RADIOTHERAPY, MOVING BEAM RADIOTHERAPY, RADIATION BEAM modifying devices, etc., without causing unnecessary risk to the PATIENT, the OPERATOR, other persons or the environment.

201.1.3 Collateral standards

Addition:

Collateral standards published after the date of publication of this standard shall only apply subject to further amendment to this standard.

This particular standard refers to those applicable collateral standards that are listed in clause 2 of the general standard and clause 201.2 of this particular standard.

IEC 60601-1-6 apply as modified in Clauses 206. IEC 60601-1-3, IEC 60601-1-8 and 60601-1-10² do not apply. All other published collateral standards in the IEC 60601-1 series apply as published.

201.1.4 Particular standards

Replacement:

In the IEC 60601 series, particular standards may modify, replace or delete requirements contained in the general standard and collateral standards as appropriate for the particular ME EQUIPMENT under consideration, and may add other BASIC SAFETY and ESSENTIAL PERFORMANCE requirements.

² IEC 60601-1-10, Medical electrical equipment – Part 1-10: General requirements for basic safety and essential performance – Collateral Standard: Requirements for the development of physiologic closed-loop controllers

A requirement of a particular standard takes priority over the general standard.

For brevity, IEC 60601-1 is referred to in this particular standard as the general standard. Collateral standards are referred to by their document number.

The numbering of sections, clauses and subclauses of this particular standard corresponds to that of the general standard with the prefix "201" (e.g. 201.1 in this standard addresses the content of Clause 1 of the general standard) or applicable collateral standard with the prefix "20x" where x is the final digit(s) of the collateral standard document number (e.g. 202.4 in this particular standard addresses the content of Clause 4 of the 60601-1-2 collateral standard, 203.4 in this particular standard addresses the content of Clause 4 of the 60601-1-3 collateral standard, etc.). The changes to the text of the general standard are specified by the use of the following words:

"Replacement" means that the clause or subclause of the general standard or applicable collateral standard is replaced completely by the text of this particular standard.

"Addition" means that the text of this particular standard is additional to the requirements of the general standard or applicable collateral standard.

"Amendment" means that the clause or subclause of the general standard or applicable collateral standard is amended as indicated by the text of this particular standard.

Subclauses, figures or tables which are additional to those of the general standard are numbered starting from 201.101. However due to the fact that definitions in the general standard are numbered 3.1 through 3.139, additional definitions in this standard are numbered beginning from 201.3.201. Additional annexes are lettered AA, BB, etc., and additional items aa), bb), etc.

Subclauses, figures or tables which are additional to those of a collateral standard are numbered starting from 20x, where "x" is the number of the collateral standard, e.g. 202 for IEC 60601-1-2, 203 for IEC 60601-1-3, etc.

The term "this standard" is used to make reference to the general standard, any applicable collateral standards and this particular standard taken together.

Where there is no corresponding clause or subclause in this particular standard, the clause or subclause of the general standard or applicable collateral standard, although possibly not relevant, applies without modification; where it is intended that any part of the general standard or applicable collateral standard, although possibly relevant, is not to be applied, a statement to that effect is given in this particular standard.

201.2 Normative references

Clause 2 of the general standard applies, except as follows:

NOTE Informative references are listed in the bibliography on page 60.

Addition:

IEC/TR 60788:2004, *Medical electrical equipment – Glossary of defined terms* (available in English only)

IEC 61217:1996, Radiotherapy equipment – Coordinates, movements and scales