

This document is a review generated by EVS

Industrial communication networks - Profiles - Part 3:  
Functional safety fieldbuses - General rules and profile  
definitions

EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

See Eesti standard EVS-EN 61784-3:2016 sisaldab Euroopa standardi EN 61784-3:2016 ingliskeelset teksti.	This Estonian standard EVS-EN 61784-3:2016 consists of the English text of the European standard EN 61784-3:2016.
Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.	This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation.
Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 19.08.2016.	Date of Availability of the European standard is 19.08.2016.
Standard on kättesaadav Eesti Standardikeskusest.	The standard is available from the Estonian Centre for Standardisation.

Tagasisidet standardi sisu kohta on võimalik edastada, kasutades EVS-i veebilehel asuvat tagasiside vormi või saates e-kirja meiliaadressile [standardiosakond@evs.ee](mailto:standardiosakond@evs.ee).

ICS 25.040.40, 35.100.05

Standardite reproduutseerimise ja levitamise õigus kuulub Eesti Standardikeskusele

Andmete paljundamine, taastekitamine, kopeerimine, salvestamine elektroonsesse süsteemi või edastamine ükskõik millises vormis või millisel teel ilma Eesti Standardikeskuse kirjaliku loata on keelatud.

Kui Teil on küsimusi standardite autorikaitse kohta, võtke palun ühendust Eesti Standardikeskusega:  
Aru 10, 10317 Tallinn, Eesti; koduleht [www.evs.ee](http://www.evs.ee); telefon 605 5050; e-post [info@evs.ee](mailto:info@evs.ee)

The right to reproduce and distribute standards belongs to the Estonian Centre for Standardisation

No part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, without a written permission from the Estonian Centre for Standardisation.

If you have any questions about copyright, please contact Estonian Centre for Standardisation:

Aru 10, 10317 Tallinn, Estonia; homepage [www.evs.ee](http://www.evs.ee); phone +372 605 5050; e-mail [info@evs.ee](mailto:info@evs.ee)

EUROPEAN STANDARD  
NORME EUROPÉENNE  
EUROPÄISCHE NORM

EN 61784-3

August 2016

ICS 25.040.40; 35.100.05

Supersedes EN 61784-3:2010

English Version

Industrial communication networks - Profiles -  
Part 3: Functional safety fieldbuses -  
General rules and profile definitions  
(IEC 61784-3:2016)

Réseaux de communication industriels - Profils -  
Partie 3: Bus de terrain de sécurité fonctionnelle -  
Règles générales et définitions de profils  
(IEC 61784-3:2016)

Industrielle Kommunikationsnetze - Profile -  
Teil 3: Funktional sichere Übertragung bei Feldbussen -  
Allgemeine Regeln und Festlegungen für Profile  
(IEC 61784-3:2016)

This European Standard was approved by CENELEC on 2016-06-17. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



European Committee for Electrotechnical Standardization  
Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

## European foreword

The text of document 65C/840/FDIS, future edition 3 of IEC 61784-3, prepared by SC 65C "Industrial networks" of IEC/TC 65 "Industrial-process measurement, control and automation" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 61784-3:2016.

The following dates are fixed:

- latest date by which the document has to be implemented at (dop) 2017-03-17 national level by publication of an identical national standard or by endorsement
- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2019-06-17

This document supersedes EN 61784-3:2010.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC [and/or CEN] shall not be held responsible for identifying any or all such patent rights.

## Endorsement notice

The text of the International Standard IEC 61784-3:2016 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60204-1	NOTE	Harmonized as EN 60204-1.
IEC 61131-2:2007	NOTE	Harmonized as EN 61131-2:2007 (not modified).
IEC 61131-6	NOTE	Harmonized as EN 61131-6.
IEC 61496	NOTE	Harmonized in EN 61496 series.
IEC 61496-1	NOTE	Harmonized as EN 61496-1.
IEC 61508-4:2010	NOTE	Harmonized as EN 61508-4:2010 (not modified).
IEC 61508-5:2010	NOTE	Harmonized as EN 61508-5:2010 (not modified).
IEC 61511	NOTE	Harmonized in EN 61511 series.
IEC 61800-5-2	NOTE	Harmonized as EN 61800-5-2.
IEC 62061:2005	NOTE	Harmonized as EN 62061:2005 (not modified).
IEC/TR 62685	NOTE	Harmonized as CLC/TR 62685.

ISO 10218-1	NOTE	Harmonized as EN ISO 10218-1.
ISO 12100	NOTE	Harmonized as EN ISO 12100.
ISO 13849	NOTE	Harmonized in EN ISO 13849 series.
ISO 13849-1:2015	NOTE	Harmonized as EN ISO 13849-1:2015 (not modified).

## Annex ZA

(normative)

### **Normative references to international publications with their corresponding European publications**

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 When an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: [www.cenelec.eu](http://www.cenelec.eu).

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 61000-6-7	-	Electromagnetic compatibility (EMC) - Part 6-7: Generic standards - Immunity requirements for equipment intended to perform functions in a safety-related system (functional safety) in industrial locations	EN 61000-6-7	-
IEC 61010-2-201	2013	Safety requirements for electrical equipment for measurement, control and laboratory use - Part 2-201: Particular requirements for control equipment	EN 61010-2-201	2013
-	-		+ AC	2013
IEC 61158	series	Industrial communication networks - Fieldbus specifications	EN 61158	series
IEC 61326-3-1	-	Electrical equipment for measurement, control and laboratory use - EMC requirements - Part 3-1: Immunity requirements for safety-related systems and for equipment intended to perform safety-related functions (functional safety) - General industrial applications	EN 61326-3-1	-
IEC 61326-3-2	-	Electrical equipment for measurement, control and laboratory use - EMC requirements - Part 3-2: Immunity requirements for safety-related systems and for equipment intended to perform safety-related functions (functional safety) - Industrial applications with specified electromagnetic environment	EN 61326-3-2	-
IEC 61508	series	Functional safety of electrical/electronic/programmable electronic safety-related systems	EN 61508	series

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 61508-1	2010	Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 1: General requirements	EN 61508-1	2010
IEC 61508-2	-	Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 2: Requirements for electrical/electronic/programmable electronic safety-related systems	EN 61508-2	-
IEC 61784-1	-	Industrial communication networks - Profiles - Part 1: Fieldbus profiles	EN 61784-1	-
IEC 61784-2	-	Industrial communication networks - Profiles - Part 2: Additional fieldbus profiles for real-time networks based on ISO/IEC 8802-3	EN 61784-2	-
IEC 61784-3-1	-	Industrial communication networks - Profiles - Part 3-1: Functional safety fieldbuses - Additional specifications for CPF 1	EN 61784-3-1	-
IEC 61784-3-2	-	Industrial communication networks - Profiles - Part 3-2: Functional safety fieldbuses - Additional specifications for CPF 2	EN 61784-3-2	-
IEC 61784-3-3	-	Industrial communication networks - Profiles - Part 3-3: Functional safety fieldbuses - Additional specifications for CPF 3	EN 61784-3-3	-
IEC 61784-3-6	-	Industrial communication networks - Profiles - Part 3-6: Functional safety fieldbuses - Additional specifications for CPF 6	EN 61784-3-6	-
IEC 61784-3-8	-	Industrial communication networks - Profiles - Part 3-8: Functional safety fieldbuses - Additional specifications for CPF 8	EN 61784-3-8	-
IEC 61784-3-12	-	Industrial communication networks - Profiles - Part 3-12: Functional safety fieldbuses - Additional specifications for CPF 12	EN 61784-3-12	-
IEC 61784-3-13	-	Industrial communication networks - Profiles - Part 3-13: Functional safety fieldbuses - Additional specifications for CPF 13	EN 61784-3-13	-
IEC 61784-3-14	-	Industrial communication networks - Profiles - Part 3-14: Functional safety fieldbuses - Additional specifications for CPF 14	EN 61784-3-14	-

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC 61784-3-17	- <sup>1)</sup>	Industrial communication networks - Profiles - Part 3-17: Functional safety fieldbuses - Additional specifications for CPF 17	-	-
IEC 61784-3-18	-	Industrial communication networks - Profiles - Part 3-18: Functionnal safety fieldbuses - Additional specifications for CPF 18	EN 61784-3-18	-
IEC 61784-5	series	Industrial communication networks - Profiles - Part 5: Installation of fieldbuses	EN 61784-5	series
IEC 61918 (mod)	2013	Industrial communication networks - Installation of communication networks in industrial premises	EN 61918 + AC	2013 2014
-	-			
IEC 62443	series	Industrial communication networks - Network and system security	EN 62443	series

1) To be published.

## CONTENTS

FOREWORD.....	7
0 Introduction .....	9
0.1 General.....	9
0.2 Transition from Edition 2 to extended assessment methods in Edition 3.....	11
0.3 Patent declaration.....	12
1 Scope.....	13
2 Normative references.....	13
3 Terms, definitions, symbols, abbreviated terms and conventions.....	15
3.1 Terms and definitions .....	15
3.2 Symbols and abbreviated terms.....	22
4 Conformance .....	23
5 Basics of safety-related fieldbus systems .....	23
5.1 Safety function decomposition .....	23
5.2 Communication system .....	24
5.2.1 General .....	24
5.2.2 IEC 61158 fieldbuses .....	24
5.2.3 Communication channel types .....	25
5.2.4 Safety function response time .....	25
5.3 Communication errors .....	26
5.3.1 General .....	26
5.3.2 Corruption.....	26
5.3.3 Unintended repetition .....	26
5.3.4 Incorrect sequence .....	26
5.3.5 Loss .....	27
5.3.6 Unacceptable delay.....	27
5.3.7 Insertion .....	27
5.3.8 Masquerade .....	27
5.3.9 Addressing.....	27
5.4 Deterministic remedial measures .....	27
5.4.1 General .....	27
5.4.2 Sequence number .....	27
5.4.3 Time stamp .....	27
5.4.4 Time expectation.....	28
5.4.5 Connection authentication .....	28
5.4.6 Feedback message .....	28
5.4.7 Data integrity assurance.....	28
5.4.8 Redundancy with cross checking .....	28
5.4.9 Different data integrity assurance systems.....	29
5.5 Typical relationships between errors and safety measures .....	29
5.6 Communication phases .....	30
5.7 FSCP implementation aspects .....	31
5.8 Data integrity considerations .....	31
5.8.1 Calculation of the residual error rate.....	31
5.8.2 Total residual error rate and SIL .....	33
5.9 Relationship between functional safety and security.....	34
5.10 Boundary conditions and constraints.....	35

5.10.1	Electrical safety .....	35
5.10.2	Electromagnetic compatibility (EMC) .....	35
5.11	Installation guidelines.....	36
5.12	Safety manual.....	36
5.13	Safety policy .....	36
6	Communication Profile Family 1 (FOUNDATION™ Fieldbus) – Profiles for functional safety .....	37
7	Communication Profile Family 2 (CIP™) and Family 16 (SERCOS®) – Profiles for functional safety .....	37
8	Communication Profile Family 3 (PROFIBUS™, PROFINET™) – Profiles for functional safety .....	37
9	Communication Profile Family 6 (INTERBUS®) – Profiles for functional safety .....	38
10	Communication Profile Family 8 (CC-Link™) – Profiles for functional safety .....	38
10.1	Functional Safety Communication Profile 8/1 .....	38
10.2	Functional Safety Communication Profile 8/2 .....	39
11	Communication Profile Family 12 (EtherCAT™) – Profiles for functional safety .....	39
12	Communication Profile Family 13 (Ethernet POWERLINK™) – Profiles for functional safety .....	40
13	Communication Profile Family 14 (EPA®) – Profiles for functional safety .....	40
14	Communication Profile Family 17 (RAPIEnet™) – Profiles for functional safety .....	40
15	Communication Profile Family 18 (SafetyNET p™ Fieldbus) – Profiles for functional safety .....	41
Annex A (informative)	Example functional safety communication models.....	42
A.1	General.....	42
A.2	Model A (single message, channel and FAL, redundant SCLs) .....	42
A.3	Model B (full redundancy).....	42
A.4	Model C (redundant messages, FALs and SCLs, single channel).....	43
A.5	Model D (redundant messages and SCLs, single channel and FAL).....	43
Annex B (normative)	Safety communication channel model using CRC-based error checking .....	45
B.1	Overview.....	45
B.2	Channel model for calculations .....	45
B.3	Bit error probability Pe.....	46
B.4	Cyclic redundancy checking .....	47
B.4.1	General .....	47
B.4.2	Considerations concerning CRC polynomials .....	48
Annex C (informative)	Structure of technology-specific parts.....	50
Annex D (informative)	Assessment guideline .....	52
D.1	Overview.....	52
D.2	Channel types.....	52
D.2.1	General .....	52
D.2.2	Black channel .....	52
D.2.3	White channel .....	52
D.3	Data integrity considerations for white channel approaches.....	53
D.3.1	General .....	53
D.3.2	Models B and C .....	53
D.3.3	Models A and D .....	54
D.4	Verification of safety measures.....	55

D.4.1	General .....	55
D.4.2	Implementation .....	55
D.4.3	"De-energize to trip" principle .....	55
D.4.4	Safe state .....	55
D.4.5	Transmission errors .....	55
D.4.6	Safety reaction and response times .....	55
D.4.7	Combination of measures .....	56
D.4.8	Absence of interference .....	56
D.4.9	Additional fault causes (white channel) .....	56
D.4.10	Reference test beds and operational conditions .....	56
D.4.11	Conformance tester .....	56
Annex E (informative)	Examples of implicit vs. explicit FSCP safety measures .....	57
E.1	General .....	57
E.2	Example fieldbus message with safety PDUs .....	57
E.3	Model with completely explicit safety measures .....	57
E.4	Model with explicit A-code and implicit T-code safety measures .....	58
E.5	Model with explicit T-code and implicit A-code safety measures .....	58
E.6	Model with split explicit and implicit safety measures .....	59
E.7	Model with completely implicit safety measures .....	60
E.8	Addition to Annex B – impact of implicit codes on properness .....	60
Annex F (informative)	Extended models for estimation of the total residual error rate .....	61
F.1	Applicability .....	61
F.2	General models for black channel communications .....	61
F.3	Identification of generic safety properties .....	62
F.4	Assumptions for residual error rate calculations .....	62
F.5	Residual error rates .....	63
F.5.1	Explicit and implicit mechanisms .....	63
F.5.2	Residual error rate calculations .....	63
F.6	Data integrity .....	65
F.6.1	Probabilistic considerations .....	65
F.6.2	Deterministic considerations .....	65
F.7	Authenticity .....	66
F.7.1	General .....	66
F.7.2	Residual error rate for authenticity ( $RR_A$ ) .....	67
F.8	Timeliness .....	68
F.8.1	General .....	68
F.8.2	Residual error rate for timeliness ( $RR_T$ ) .....	70
F.9	Masquerade .....	71
F.9.1	General .....	71
F.9.2	Other terms used to calculate residual error rate for masquerade rejection ( $RR_M$ ) .....	71
F.10	Calculation of the total residual error rates .....	71
F.10.1	Based on the summation of the residual error rates .....	71
F.10.2	Based on other quantitative proofs .....	72
F.11	Total residual error rate and SIL .....	72
F.12	Configuration and parameterization for an FSCP .....	73
F.12.1	General .....	73
F.12.2	Configuration and parameterization change rate .....	75
F.12.3	Residual error rate for configuration and parameterization .....	75

Bibliography .....	76
Figure 1 – Relationships of IEC 61784-3 with other standards (machinery).....	9
Figure 2 – Relationships of IEC 61784-3 with other standards (process) .....	10
Figure 3 – Transition from Edition 2 to Edition 3 assessment methods .....	11
Figure 4 – Safety communication as a part of a safety function .....	24
Figure 5 – Example model of a functional safety communication system .....	25
Figure 6 – Example of safety function response time components .....	26
Figure 7 – Conceptual FSCP protocol model .....	31
Figure 8 – FSCP implementation aspects .....	31
Figure 9 – Example application 1 ( $m=4$ ) .....	33
Figure 10 – Example application 2 ( $m = 2$ ).....	33
Figure 11 – Zones and conduits concept for security according to IEC 62443.....	35
Figure A.1 – Model A .....	42
Figure A.2 – Model B .....	43
Figure A.3 – Model C .....	43
Figure A.4 – Model D .....	44
Figure B.1 – Communication channel with perturbation.....	45
Figure B.2 – Binary symmetric channel (BSC) .....	46
Figure B.3 – Example of a block with a message part and a CRC signature .....	47
Figure B.4 – Block codes for error detection .....	48
Figure B.5 – Proper and improper CRC polynomials .....	49
Figure D.1 – Basic Markov model.....	54
Figure E.1 – Example safety PDUs embedded in a fieldbus message.....	57
Figure E.2 – Model with completely explicit safety measures .....	57
Figure E.3 – Model with explicit A-code and implicit T-code safety measures .....	58
Figure E.4 – Model with explicit T-code and implicit A-code safety measures .....	59
Figure E.5 – Model with split explicit and implicit safety measures .....	59
Figure E.6 – Model with completely implicit safety measures .....	60
Figure F.1 – Black channel from an FSCP perspective.....	61
Figure F.2 – Model for authentication considerations .....	66
Figure F.3 – Fieldbus and internal address errors .....	67
Figure F.4 – Example of slowly increasing message latency .....	69
Figure F.5 – Example of an active network element failure.....	70
Figure F.6 – Example application 1 ( $m = 4$ ) .....	72
Figure F.7 – Example application 2 ( $m = 2$ ) .....	72
Figure F.8 – Example of configuration and parameterization procedures for FSCP .....	74
Table 1 – Overview of the effectiveness of the various measures on the possible errors .....	30
Table 2 – Definition of items used for calculation of the residual error rates .....	32
Table 3 – Typical relationship of residual error rate to SIL.....	34
Table 4 – Typical relationship of residual error on demand to SIL.....	34
Table 5 – Overview of profile identifier usable for FSCP 6/7 .....	38

Table B.1 – Example dependency $d_{min}$ and block bit length n .....	48
Table C.1 – Common subclause structure for technology-specific parts.....	50
Table F.1 – Typical relationship of residual error rate to SIL .....	73
Table F.2 – Typical relationship of residual error on demand to SIL.....	73

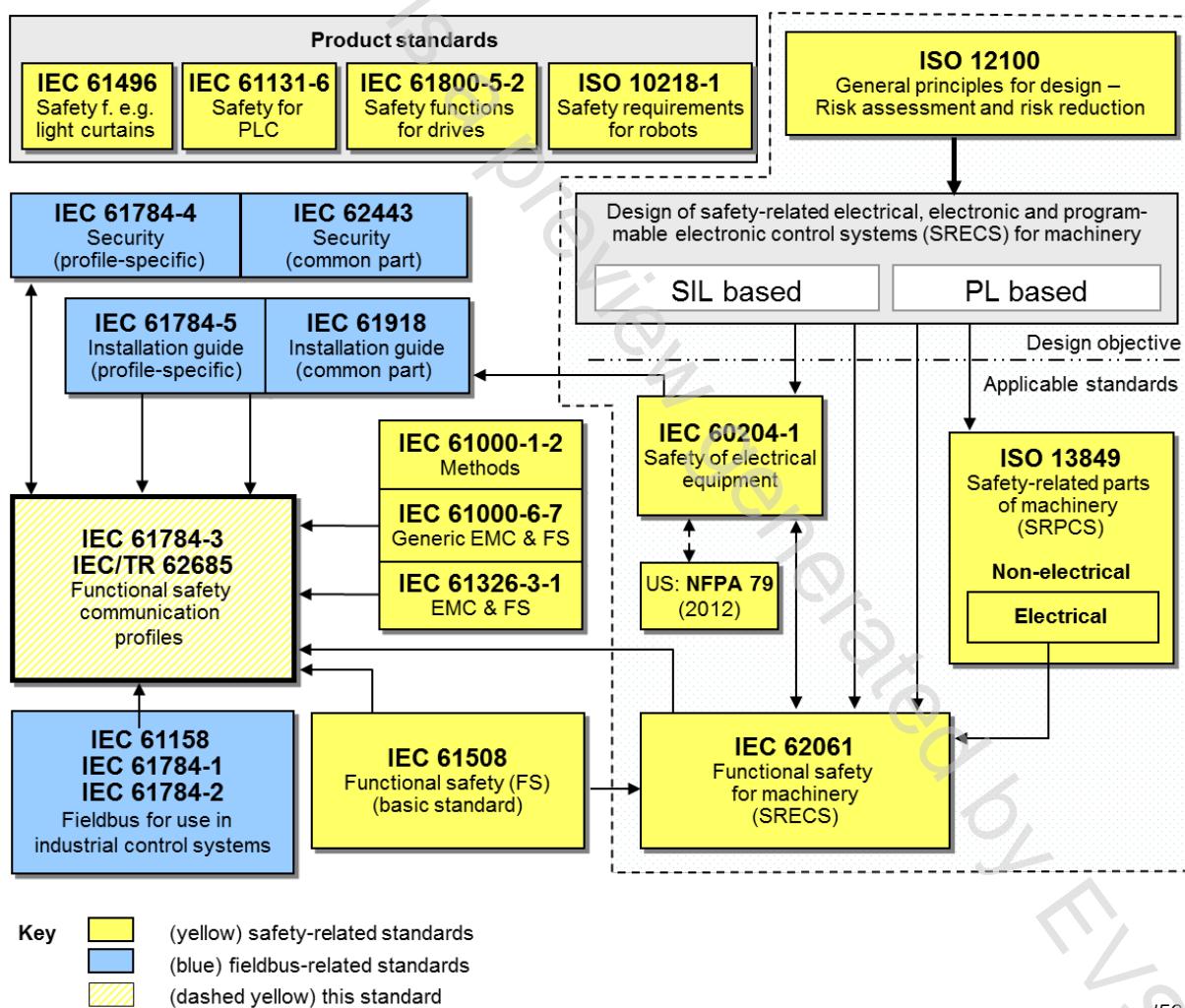
## 0 Introduction

### 0.1 General

The IEC 61158 fieldbus standard together with its companion standards IEC 61784-1 and IEC 61784-2 defines a set of communication protocols that enable distributed control of automation applications. Fieldbus technology is now considered well accepted and well proven. Thus fieldbus enhancements continue to emerge, addressing applications for areas such as real time, safety-related and security-related applications.

This standard explains the relevant principles for functional safety communications with reference to IEC 61508 series and specifies several safety communication layers (profiles and corresponding protocols) based on the communication profiles and protocol layers of IEC 61784-1, IEC 61784-2 and the IEC 61158 series. It does not cover electrical safety and intrinsic safety aspects.

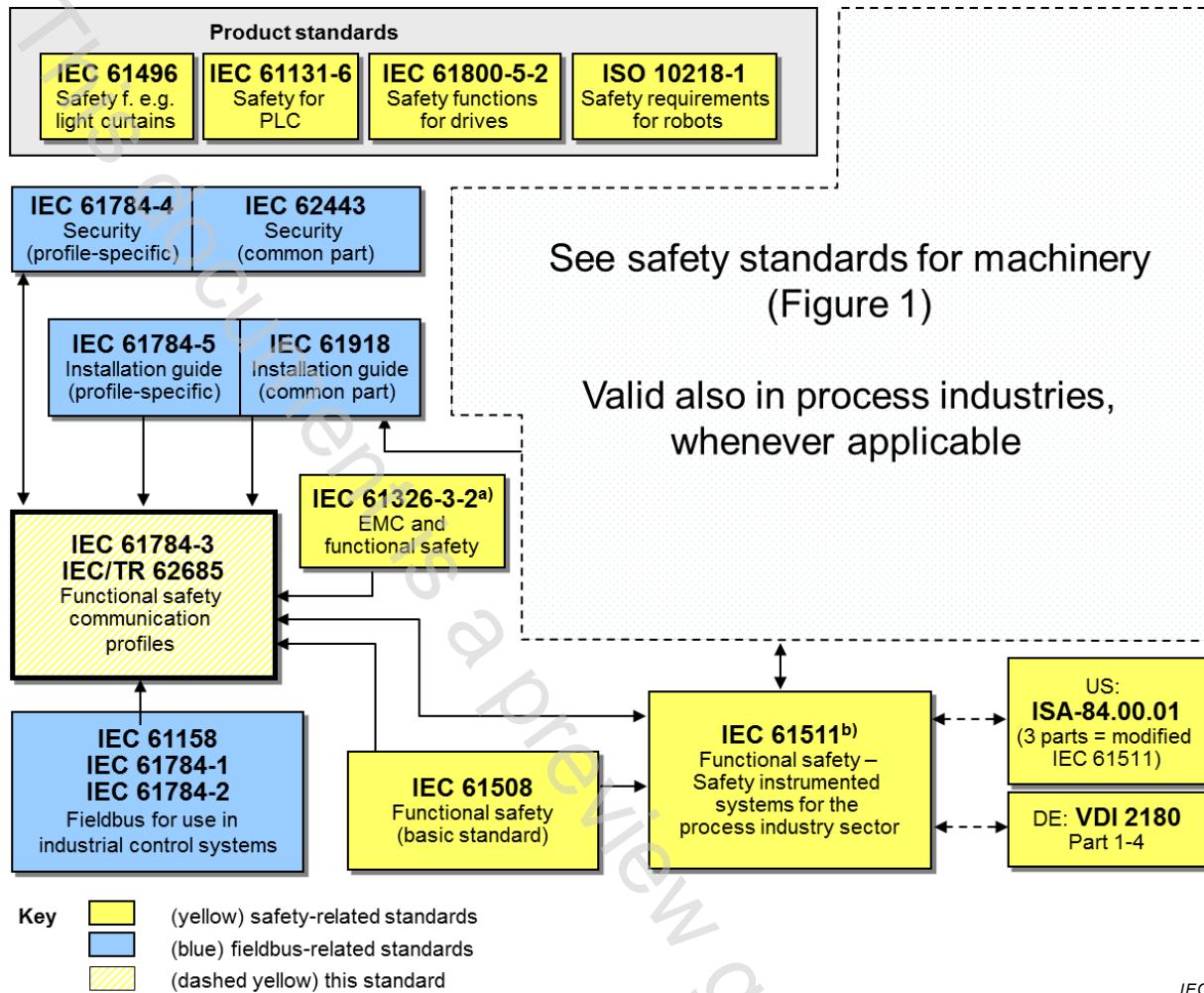
Figure 1 shows the relationships between this standard and relevant safety and fieldbus standards in a machinery environment.



NOTE Subclauses 6.7.6.4 (high complexity) and 6.7.8.1.6 (low complexity) of IEC 62061 specify the relationship between PL (Category) and SIL.

Figure 1 – Relationships of IEC 61784-3 with other standards (machinery)

Figure 2 shows the relationships between this standard and relevant safety and fieldbus standards in a process environment.



<sup>a</sup> For specified electromagnetic environments; otherwise IEC 61326-3-1 or IEC 61000-6-7.

<sup>b</sup> EN ratified.

**Figure 2 – Relationships of IEC 61784-3 with other standards (process)**

Safety communication layers which are implemented as parts of safety-related systems according to IEC 61508 series provide the necessary confidence in the transportation of messages (information) between two or more participants on a fieldbus in a safety-related system, or sufficient confidence of safe behaviour in the event of fieldbus errors or failures.

Safety communication layers specified in this standard do this in such a way that a fieldbus can be used for applications requiring functional safety up to the Safety Integrity Level (SIL) specified by its corresponding functional safety communication profile.

The resulting SIL claim of a system depends on the implementation of the selected functional safety communication profile (FSCP) within this system – implementation of a functional safety communication profile in a standard device is not sufficient to qualify it as a safety device.