

**Industrial networks - Wireless communication network
and communication profiles - ISA 100.11a**

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(IEC 62734:2014)

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Foreword

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In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 61158 Series	NOTE	Harmonized as EN 61158 Series.
IEC 61499-4:2005	NOTE	Harmonized as EN 61499-4:2006 ¹⁾ (not modified).
IEC 61512-1	NOTE	Harmonized as EN 61512-1.
IEC 61804-3	NOTE	Harmonized as EN 61804-3.
IEC 62264-1:2013	NOTE	Harmonized as EN 62264-1:2013 (not modified).
IEC 62591	NOTE	Harmonized as EN 62591.
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CONTENTS

FOREWORD	31
0 Introduction	33
0.1 General.....	33
0.2 Document structure.....	33
0.3 Potentially relevant patents.....	33
1 Scope	35
2 Normative references	35
3 Terms, definitions, abbreviated terms, acronyms, and conventions.....	36
3.1 Terms and definitions.....	36
3.1.1 (N)-layer and other terms and definitions from the open systems interconnection Basic Reference Model	36
3.1.2 Other terms and definitions.....	45
3.1.3 Symbols for symmetric keys, and for asymmetric keys and certificates	63
3.1.4 Terms used to describe device behavior	64
3.2 Abbreviated terms and acronyms	65
3.3 Conventions.....	71
3.3.1 Service interfaces	71
3.3.2 Table cells	72
3.3.3 Italics.....	72
3.3.4 Bold face	73
3.3.5 Informal declarations of named constants	73
4 Overview	73
4.1 General.....	73
4.2 Interoperability and related issues	73
4.3 Quality of service	74
4.4 Worldwide applicability	74
4.5 Network architecture	74
4.5.1 Interfaces	74
4.5.2 Data structures	75
4.5.3 Network description	76
4.5.4 Generic protocol data unit construction.....	77
4.5.5 Abstract data and concrete representations	78
4.6 Network characteristics.....	80
4.6.1 General	80
4.6.2 Scalability.....	80
4.6.3 Extensibility	81
4.6.4 Simple operation	81
4.6.5 Site-license-exempt operation	81
4.6.6 Robustness in the presence of interference, including from other wireless systems	81
4.6.7 Determinism and contention-free media access	81
4.6.8 Self-organizing networking with support for redundancy	82
4.6.9 Internet-protocol-compatible NL	82
4.6.10 Coexistence with other radio frequency systems.....	82
4.6.11 Time-slotted assigned-channel D-transactions as the basis for communication	84

4.6.12	Robust and flexible security	86
4.6.13	System management	87
4.6.14	Application process using standard objects	87
4.6.15	Tunneling	87
5	System	87
5.1	General.....	87
5.2	Devices.....	88
5.2.1	General	88
5.2.2	Device interworkability.....	88
5.2.3	Profiles	88
5.2.4	Quality of service.....	88
5.2.5	Device worldwide applicability	88
5.2.6	Device description	89
5.2.7	Device addressing	93
5.2.8	Device phases	93
5.2.9	Device energy sources	95
5.3	Networks	95
5.3.1	General	95
5.3.2	Minimal network.....	95
5.3.3	Basic network topologies supported.....	96
5.3.4	Network configurations	99
5.3.5	Gateway, system manager, and security manager	104
5.4	Protocol suite structure	105
5.5	Data flow	106
5.5.1	General	106
5.5.2	Native communications.....	107
5.5.3	Basic data flow	107
5.5.4	Data flow between I/O devices.....	108
5.5.5	Data flow with legacy I/O device	108
5.5.6	Data flow with backbone	112
5.5.7	Data flow between I/O devices via backbone	112
5.5.8	Data flow to a standard-aware control system or device	112
5.6	Time reference.....	113
5.6.1	General	113
5.6.2	Time synchronization	114
5.7	Firmware upgrades	114
5.8	Wireless backbones and other infrastructures	114
6	System management role	114
6.1	General.....	114
6.1.1	Overview	114
6.1.2	Components and architecture	115
6.1.3	Management functions.....	116
6.2	DMAP	116
6.2.1	General	116
6.2.2	Architecture of device management	117
6.2.3	Definition of management objects	117
6.2.4	Management objects in DMAP.....	117
6.2.5	Communications services provided to device management objects	119
6.2.6	Attributes of management objects.....	120

6.2.7	Definitions of management objects in DMAP	121
6.2.8	Functions of device management and layer management	130
6.3	System manager	140
6.3.1	General	140
6.3.2	System management architecture	140
6.3.3	Standard system management object types	141
6.3.4	Security management	142
6.3.5	Addresses and address allocation	143
6.3.6	Firmware upgrade	147
6.3.7	System performance monitoring	148
6.3.8	Device provisioning service	149
6.3.9	Device management services	149
6.3.10	System time services	158
6.3.11	System communication configuration	162
6.3.12	Redundancy management	195
6.3.13	System management protocols	196
6.3.14	Management policies and policy administration	196
6.3.15	Operational interaction with plant operations or maintenance personnel	196
7	Security	196
7.1	General	196
7.2	Security services	197
7.2.1	Overview	197
7.2.2	Keys	198
7.3	PDU security	202
7.3.1	General	202
7.3.2	DPDU security	203
7.3.3	TL security functionality	218
7.4	Joining process	234
7.4.1	General	234
7.4.2	Prerequisites	234
7.4.3	Desired device end state and properties	235
7.4.4	Joining process steps common for symmetric-key and asymmetric-key approaches	235
7.4.5	Symmetric-key joining process	238
7.4.6	Asymmetric-key joining process	248
7.4.7	Joining process and device lifetime failure recovery	264
7.5	Session establishment	266
7.5.1	General	266
7.5.2	Description	266
7.5.3	Application protocol data unit protection using the master key	268
7.5.4	Proxy security management object methods related to the session establishment	268
7.6	Key update	271
7.6.1	General	271
7.6.2	Description	271
7.6.3	Device security management object methods related to T-key update	272
7.6.4	Failure recovery	276
7.7	Functionality of the security manager role	278
7.7.1	Proxy security management object	278

7.7.2	Authorization of network devices and generation or derivation of initial master keys	279
7.7.3	Interaction with device security management objects	279
7.7.4	Management of operational keys	279
7.8	Security policies.....	280
7.8.1	Definition of security policy	280
7.8.2	Policy extent.....	280
7.8.3	Unconstrained security policy choices	281
7.8.4	Policy structures.....	281
7.9	Security functions available to the AL	283
7.9.1	Parameters on transport service requests that relate to security	283
7.9.2	Direct access to cryptographic primitives	284
7.9.3	Symmetric-key cryptography.....	285
7.10	Security statistics collection, threat detection, and reporting	286
7.11	DSMO functionality	287
7.11.1	General	287
7.11.2	DSMO attributes	287
7.11.3	KeyDescriptor.....	288
7.11.4	DSMO alerts.....	293
8	Physical layer	294
8.1	General.....	294
8.2	Default physical layer.....	295
8.2.1	General requirements	295
8.2.2	Additional requirements of IEEE 802.15.4.....	295
8.2.3	Exceptions to the IEEE 802.15.4 physical layer	296
9	Data-link layer	296
9.1	General.....	296
9.1.1	Overview	296
9.1.2	Coexistence strategies in the DL	297
9.1.3	Allocation of digital bandwidth	297
9.1.4	Structure of the DPDU	298
9.1.5	The DL and the IEEE 802.15.4 MAC	298
9.1.6	Routes and graphs	299
9.1.7	Slotted-channel-hopping, slow-channel-hopping, and timeslots	306
9.1.8	Superframes.....	317
9.1.9	DL time keeping.....	329
9.1.10	D-subnet addressing.....	348
9.1.11	DL management service	349
9.1.12	Relationship between DLE and DSC	351
9.1.13	DLE neighbor discovery.....	352
9.1.14	Neighbor discovery and joining – DL considerations	355
9.1.15	Radio link control and quality measurement.....	360
9.1.16	DLE roles and options	365
9.1.17	DLE energy considerations	365
9.2	DDSAP	366
9.2.1	General	366
9.2.2	DD-DATA.request	366
9.2.3	DD-DATA.confirm	368
9.2.4	DD-DATA.indication	368

9.3	Data DPDU and ACK/NAK DPDU	369
9.3.1	General	369
9.3.2	Octet and bit ordering	370
9.3.3	Media access control headers	371
9.3.4	MAC acknowledgment DPDU	378
9.3.5	DL auxiliary subheader	381
9.4	DL management information base	396
9.4.1	General	396
9.4.2	DL management object attributes	396
9.4.3	DLMO attributes (indexed OctetStrings)	416
9.5	DLE methods	445
9.5.1	Method for synchronized cutover of DLE attributes	445
9.5.2	Methods to access indexed OctetString attributes	445
9.6	DL alerts	447
9.6.1	DL_Connectivity alert	447
9.6.2	NeighborDiscovery alert	449
10	Network layer	450
10.1	General	450
10.2	NL functionality overview	450
10.2.1	General	450
10.2.2	Addressing	451
10.2.3	Address translation	451
10.2.4	Network protocol data unit headers	453
10.2.5	Fragmentation and reassembly	453
10.2.6	Routing	456
10.2.7	Routing examples	462
10.3	NLE data services	470
10.3.1	General	470
10.3.2	N-DATA.request	471
10.3.3	N-DATA.confirm	472
10.3.4	N-DATA.indication	472
10.4	NL management object	473
10.4.1	NL management information base	473
10.4.2	Structured management information bases	477
10.4.3	NL management object methods	478
10.5	NPDU formats	481
10.5.1	General	481
10.5.2	Basic header format for NL	483
10.5.3	Contract-enabled network header format	484
10.5.4	Full header (IPv6) format	486
10.5.5	Fragmentation header format	488
11	Transport layer	489
11.1	General	489
11.2	TLE reference model	490
11.3	Transport security entity	490
11.3.1	General	490
11.3.2	Securing the TL	490
11.4	Transport data entity	491
11.4.1	General	491

11.4.2	UDP over IPv6.....	492
11.4.3	UDP header transmission and compression.....	492
11.4.4	TSAPs and UDP ports	495
11.4.5	Good network citizenship.....	496
11.5	TPDU encoding.....	496
11.5.1	General	496
11.5.2	Header compression – User datagram protocol encoding	496
11.5.3	TPDU security header.....	498
11.6	TL model	498
11.6.1	General	498
11.6.2	Data services.....	498
12	Application layer.....	507
12.1	General.....	507
12.2	Energy considerations	508
12.3	Legacy control system considerations	508
12.4	Overview of object-oriented modeling	509
12.4.1	General	509
12.4.2	Object-to-object communication concept.....	509
12.4.3	AL structure	510
12.4.4	UAP structure	510
12.5	Object model	511
12.6	Object attribute model.....	512
12.6.1	General	512
12.6.2	Attributes of standard objects	513
12.6.3	Attribute classification.....	513
12.6.4	Attribute accessibility.....	514
12.7	Method model	514
12.8	Alert model	515
12.9	Alarm state model.....	515
12.10	Event state model.....	516
12.10.1	General	516
12.10.2	State table and transitions	516
12.11	Alert reporting.....	517
12.11.1	General	517
12.11.2	Alert types	517
12.11.3	Alert report information	518
12.11.4	Alarm state recovery.....	519
12.12	Communication interaction model	519
12.12.1	General	519
12.12.2	Buffered unidirectional publication communication.....	519
12.12.3	Queued unidirectional communication	520
12.12.4	Queued bidirectional communication	520
12.12.5	Communication service contract	528
12.13	AL addressing.....	529
12.13.1	General	529
12.13.2	Object addressing.....	529
12.13.3	Object attribute addressing.....	530
12.13.4	Object attribute addressing.....	530
12.13.5	Object method addressing	532

12.14	Management objects	532
12.15	User objects.....	533
12.15.1	General	533
12.15.2	Industry-independent objects	533
12.16	Data types	566
12.16.1	Basic data types	566
12.16.2	Derived atomic data types	566
12.16.3	Industry-independent standard data structures	566
12.17	Application services provided by application sublayer	573
12.17.1	General	573
12.17.2	Publish/subscribe application communication model	574
12.17.3	Scheduled periodic buffered communication	575
12.17.4	Client/server interactions	580
12.17.5	Unscheduled acyclic queued unidirectional messages (source/sink)	596
12.17.6	Client/server and source/sink commonalities	603
12.18	AL flow use of lower layer services	609
12.18.1	General	609
12.18.2	AL use of TDSAPs.....	609
12.18.3	Mapping AL service primitives to TL service primitives	609
12.19	AL management.....	610
12.19.1	General	610
12.19.2	Application sublayer handling of malformed application protocol data units	610
12.19.3	Application sublayer management object attributes.....	611
12.19.4	Application sublayer management object methods.....	613
12.19.5	Application sublayer management object alerts	614
12.19.6	DMAP services invoked by application sublayer.....	615
12.19.7	Process industries standard objects.....	616
12.19.8	Factory automation industries profile	627
12.20	Process control industry standard data structures	628
12.20.1	General	628
12.20.2	Status for analog information	628
12.20.3	Value and status for analog information	629
12.20.4	Value and status for binary information.....	629
12.20.5	Process control mode	630
12.20.6	Scaling	631
12.21	Additional tables	631
12.21.1	Process control profile standard objects	631
12.21.2	Services	632
12.22	Coding.....	632
12.22.1	General	632
12.22.2	Coding rules for application protocol data units.....	632
12.22.3	Coding of application data	646
12.22.4	Time-related data types	653
12.23	Syntax	656
12.23.1	Application protocol data unit.....	656
12.23.2	Alert reports and acknowledgments	663
12.23.3	Service feedback code	665
12.23.4	Read, write, and execute	667

12.23.5	Tunnel	667
12.23.6	End of contained module	668
12.24	Detailed coding examples (informative).....	668
12.24.1	Read	668
12.24.2	Tunnel	668
13	Provisioning.....	669
13.1	General.....	669
13.2	Terms and definitions for devices with various roles or states	669
13.3	Provisioning procedures.....	671
13.4	Pre-installed symmetric keys	671
13.5	Provisioning using out-of-band mechanisms	672
13.6	Provisioning networks	672
13.6.1	General	672
13.6.2	Provisioning over-the-air using asymmetric cryptography.....	673
13.6.3	Provisioning over-the-air using an open symmetric join key	674
13.7	State transition diagrams	675
13.8	Device management application protocol objects used during provisioning	679
13.9	Management objects.....	682
13.9.1	Device provisioning object.....	682
13.9.2	Device provisioning object methods and alerts	687
13.10	Device provisioning service object	688
13.10.1	Device provisioning service object attributes	688
13.10.2	Device provisioning service object structured attributes	692
13.10.3	Device provisioning service object methods.....	694
13.10.4	Device provisioning service object alerts	695
13.10.5	Summary of attributes that can be provisioned	696
13.11	Provisioning functions (informative)	696
13.11.1	General	696
13.11.2	Examples of provisioning methods.....	697
Annex A (informative)	User layer/application profiles	700
A.1	Overview	700
A.2	User layer	700
A.3	Application profile	700
Annex B (normative)	Communication role profiles	702
B.1	Overview	702
B.1.1	General	702
B.1.2	Purpose	702
B.1.3	System size	702
B.1.4	Abbreviations and special symbols	702
B.1.5	Role profiles	702
B.2	System	703
B.3	System manager.....	703
B.4	Security manager.....	704
B.5	Physical layer	705
B.6	Data-link layer	705
B.6.1	General	705
B.6.2	Role profiles	706
B.7	Network layer.....	710
B.8	Transport layer	711

B.9	Application layer	711
B.10	Provisioning	712
B.11	Gateway (informative)	712
Annex C	(informative) Background information	714
C.1	Industrial needs	714
C.2	Usage classes	714
C.2.1	General	714
C.2.2	Class examples	715
C.2.3	Other uploading and downloading alarms (human or automated action)	716
C.3	The Open Systems Interconnection Basic Reference Model	716
C.3.1	Overview	716
C.3.2	Application layer	717
C.3.3	Transport layer	718
C.3.4	Network layer	718
C.3.5	Data-link layer	718
C.3.6	Physical layer	718
Annex D	(normative) Configuration defaults	720
D.1	General	720
D.2	System management	720
D.3	Security	721
D.4	Data-link layer	721
D.5	Network layer	723
D.6	Transport layer	723
D.7	Application layer	723
D.8	Provisioning	725
D.9	Gateway (informative)	726
Annex E	(informative) Use of backbone networks	727
E.1	General	727
E.2	Recommended characteristics	727
E.3	Internet protocol backbones	727
E.3.1	Methods of IPv6 protocol data unit transmission	727
E.3.2	Backbone router peer device discovery	728
E.3.3	Security	728
Annex F	(normative) Basic security concepts – Notation and representation	730
F.1	Strings and string operations	730
F.2	Integers, octets, and their representation	730
F.3	Entities	730
Annex G	(informative) Using certificate chains for over-the-air provisioning	731
Annex H	(normative) Security building blocks	732
H.1	Symmetric key cryptographic building blocks	732
H.1.1	Overview	732
H.1.2	Symmetric key domain parameters	732
H.1.3	Block cipher	732
H.1.4	Mode of operation	732
H.1.5	Cryptographic hash function	732
H.1.6	Keyed hash function for message authentication	732
H.1.7	Specialized keyed hash function for message authentication	733
H.1.8	Challenge domain parameters	733

H.2	Asymmetric-key cryptographic building blocks	733
H.2.1	General	733
H.2.2	Elliptic curve domain parameters	733
H.2.3	Elliptic curve point representation	733
H.2.4	Elliptic curve public-key pair	733
H.3	Keying information	733
H.3.1	General	733
H.3.2	Elliptic curve cryptography implicit certificates	734
H.3.3	Elliptic curve cryptography manual certificates.....	734
H.3.4	Additional information	735
H.4	Key agreement schemes.....	735
H.4.1	Symmetric-key key agreement scheme	735
H.4.2	Asymmetric-key key agreement scheme	735
H.5	Keying information schemes	735
H.5.1	Implicit certificate scheme	735
H.5.2	Manual certificate scheme	736
H.6	Challenge domain parameter generation and validation	736
H.6.1	Overview	736
H.6.2	Challenge domain parameter generation	736
H.6.3	Challenge domain parameter verification	736
H.7	Challenge validation primitive	737
H.8	Secret key generation (SKG) primitive	737
H.9	Block-cipher-based cryptographic hash function	738
H.10	Elliptic curve cryptography manual certificate scheme.....	739
H.10.1	Overview	739
H.10.2	Elliptic curve cryptography manual certificate generation transformation.....	740
H.10.3	Elliptic curve cryptography manual certificate processing transformation	740
Annex I (informative)	Definition templates.....	742
I.1	Object type template	742
I.2	Standard object attributes template.....	742
I.3	Standard object methods	743
I.4	Standard object alert reporting template	744
I.5	Data structure definition	745
Annex J (informative)	Operations on attributes.....	747
J.1	Operations on attributes.....	747
J.1.1	General	747
J.1.2	Attribute classification.....	747
J.1.3	Retrieving, setting, and resetting attributes.....	747
J.1.4	Retrieving and setting structured attributes.....	748
J.1.5	Resetting structured attribute values.....	750
J.1.6	Deleting structured attribute values	750
J.2	Synchronized cutover	751
Annex K (normative)	Standard object types	752
Annex L (informative)	Standard data types	757
Annex M (normative)	Identification of tunneled legacy fieldbus protocols	759
Annex N (informative)	Tunneling and native object mapping	760
N.1	Overview	760
N.2	Tunneling.....	760

N.3	Foreign protocol application communication.....	760
N.4	Native object mapping	761
N.5	Tunneling and native object mapping tradeoffs	761
Annex O (informative)	Generic protocol translation	762
O.1	Overview	762
O.2	Publish	762
O.3	Subscribe	763
O.4	Client.....	764
O.5	Server.....	765
Annex P (informative)	Exemplary GIAP adaptations for this standard.....	766
P.1	General.....	766
P.2	Parameters	766
P.3	Session.....	766
P.4	Lease	766
P.5	Device list report.....	767
P.6	Topology report	767
P.7	Schedule report	767
P.8	Device health report.....	767
P.9	Neighbor health report	767
P.10	Network health report.....	767
P.11	Time	767
P.12	Client/server	767
P.12.1	General	767
P.12.2	Native access	767
P.12.3	Foreign access	768
P.13	Publish/subscribe.....	768
P.13.1	General	768
P.13.2	Native access	768
P.13.3	Foreign access	769
P.14	Bulk transfer	769
P.15	Alert.....	769
P.16	Gateway configuration	770
P.17	Device configuration	770
Annex Q (informative)	Exemplary GIAP adaptations for IEC 62591	771
Q.1	General.....	771
Q.1.1	Overview	771
Q.1.2	Reference.....	771
Q.1.3	Addressing	771
Q.1.4	Stack interface	771
Q.1.5	Tunneling	772
Q.1.6	Entities	772
Q.1.7	Delayed response.....	772
Q.2	Parameters	772
Q.3	Session.....	772
Q.4	Lease	773
Q.5	Device list report.....	773
Q.6	Topology report	773
Q.7	Schedule report	774
Q.8	Device health report.....	774

Q.9	Neighbor health report	775
Q.10	Network health report.....	775
Q.11	Time	776
Q.12	Client/server	776
Q.13	Publish/subscribe.....	777
Q.13.1	General	777
Q.13.2	Lease establishment.....	777
Q.13.3	Buffering.....	778
Q.14	Bulk transfer	778
Q.15	Alert.....	778
Q.16	Gateway configuration	779
Q.17	Device configuration	779
Annex R (informative)	Host system interface to standard-compliant devices via a gateway.....	780
R.1	Background	780
R.1.1	Host system integration reference model.....	780
R.1.2	Asset management tools	780
R.1.3	Configuration tools	780
R.1.4	Distributed control system	781
R.1.5	Gateway	781
R.2	Device application data integration with host systems.....	781
R.2.1	General	781
R.2.2	Native protocol integration via mapping	781
R.2.3	Legacy device protocol integration via tunneling	781
R.3	Host system configuration tool.....	781
R.3.1	General	781
R.3.2	Host configuration using electronic device description language.....	781
R.3.3	Host configuration using field device tool/device type manager.....	782
R.4	Field device/distributed control systems integration.....	783
R.4.1	General	783
R.4.2	Foundation Fieldbus High Speed Ethernet.....	783
R.4.3	Modbus	783
R.4.4	Open connectivity for industrial automation	783
R.5	Gateway	784
R.5.1	General	784
R.5.2	Devices supported.....	784
R.5.3	Data subscription.....	784
R.5.4	Data publication.....	784
R.5.5	Client/server access	784
R.5.6	Alerts reception	784
R.6	Asset management application support.....	784
R.6.1	General	784
R.6.2	Field device tool / device type manager	785
R.6.3	HART	785
R.6.4	OPC	785
Annex S (informative)	Symmetric-key operation test vectors	786
S.1	DPDU samples	786
S.1.1	General	786
S.1.2	DPDU with expected DMIC32	786

S.1.3	DPDU with expected ENC-DMIC32	786
S.2	TPDU samples	787
S.2.1	General	787
S.2.2	TPDU with expected ENC-TMIC-32:	787
S.2.3	TPDU with expected TMIC-32:.....	787
Annex T (informative)	Data-link and network headers for join requests	789
T.1	Overview	789
T.2	MAC header (MHR)	789
T.3	DL header (DHR).....	789
T.4	NL header.....	790
Annex U (informative)	Gateway role.....	791
U.1	General.....	791
U.1.1	Overview	791
U.1.2	Notional gateway protocol suite diagrams for native devices and adapters	792
U.1.3	Gateway scenarios	792
U.1.4	Basic gateway model.....	794
U.2	Notional GIAP	795
U.2.1	Summary of interfaces and primitives	795
U.2.2	Sequence of primitives	798
U.2.3	Detailed description of parameters	803
U.2.4	Detailed description of interfaces.....	805
U.3	Example uses of WISN standard services and objects	839
U.3.1	Tunneling	839
U.3.2	Bulk transfer	852
U.3.3	Alerts.....	853
U.3.4	Native publish/subscribe and client/server access	855
U.3.5	Time management.....	856
U.3.6	Security	857
U.3.7	Configuration	857
U.3.8	Provisioning and joining.....	858
Annex V (informative)	Compliance with ETSI EN 300 328 v1.8.1	859
Bibliography	863
Figure 1	– Standard-compliant network	76
Figure 2	– Typical single-layer PDU without fragmenting or blocking.....	77
Figure 3	– Full multi-layer PDU structure used by this standard	77
Figure 4	– Physical devices versus roles	90
Figure 5	– Notional representation of device phases	94
Figure 6	– Simple star topology	96
Figure 7	– Simple hub-and-spoke topology	97
Figure 8	– Mesh topology.....	98
Figure 9	– Simple star-mesh topology.....	99
Figure 10	– Example where network and D-subnet overlap.....	100
Figure 11	– Example where network and D-subnet differ.....	101
Figure 12	– Network with multiple gateways.....	102
Figure 13	– Basic network with backup gateway	103

Figure 14 – Network with backbone	104
Figure 15 – Network with backbone – Device roles	105
Figure 16 – Reference model used by this standard	106
Figure 17 – Basic data flow	107
Figure 18 – Data flow between I/O devices	108
Figure 19 – Data flow with legacy I/O device	109
Figure 20 – Data flow with backbone-resident device	110
Figure 21 – Data flow between I/O devices via backbone subnet	111
Figure 22 – Data flow to standard-aware control system	112
Figure 23 – Management architecture	115
Figure 24 – DMAP	118
Figure 25 – Example of management SAP flow through standard protocol suite	120
Figure 26 – System manager architecture concept	141
Figure 27 – UAP-system manager interaction during contract establishment	163
Figure 28 – Contract-related interaction between DMO and SCO	166
Figure 29 – Contract source, destination, and intermediate devices	179
Figure 30 – Contract establishment example	188
Figure 31 – Contract ID usage in source	189
Figure 32 – Contract termination	193
Figure 33 – Contract modification with immediate effect	195
Figure 34 – Examples of DPDU and TPDU scope	197
Figure 35 – Keys and associated lifetimes	199
Figure 36 – Key lifetimes	201
Figure 37 – DPDU structure	204
Figure 38 – DLE and DLS processing for a D-transaction initiator	205
Figure 39 – Received DPDUs – DLE and DSC	207
Figure 40 – TPDU structure and protected coverage	219
Figure 41 – TMIC parameters	220
Figure 42 – TL and TSC interaction, outgoing TPDU	221
Figure 43 – TL and TSC interaction, incoming TPDU	222
Figure 44 – Example: Overview of the symmetric-key joining process	239
Figure 45 – Example: Overview of the symmetric-key joining process of a backbone device	240
Figure 46 – Asymmetric-key-authenticated key agreement scheme	250
Figure 47 – Example: Overview of the asymmetric-key joining process for a device with a DL	253
Figure 48 – Example: Overview of the asymmetric-key joining process of a backbone device	254
Figure 49 – Device state transitions for joining process and device lifetime	266
Figure 50 – High-level example of session establishment	267
Figure 51 – Key update protocol overview	272
Figure 52 – Device key establishment and key update state transition	278
Figure 53 – DL protocol suite and PhPDU/DPDU structure	298
Figure 54 – Graph routing example	301

Figure 55 – Inbound and outbound graphs.....	303
Figure 56 – Slotted-channel-hopping	307
Figure 57 – Slow-channel-hopping	308
Figure 58 – Hybrid operation.....	308
Figure 59 – Radio spectrum usage	309
Figure 60 – Predefined channel-hopping-pattern1	311
Figure 61 – Two groups of DLEs with different channel-hopping-pattern-offsets.....	312
Figure 62 – Interleaved channel-hopping-pattern1 with sixteen different channel-hopping-pattern-offsets	313
Figure 63 – Example timeslot allocation for slotted-channel-hopping.....	314
Figure 64 – Example timeslot allocation for slow-channel-hopping	315
Figure 65 – Hybrid mode with slotted-channel-hopping and slow-channel-hopping.....	316
Figure 66 – Combining slow-channel-hopping and slotted-channel-hopping	316
Figure 67 – Example of a three-timeslot superframe and how it repeats.....	317
Figure 68 – Superframes and links.....	317
Figure 69 – Multiple superframes with aligned timeslots.....	318
Figure 70 – Example superframe for slotted-channel-hopping	322
Figure 71 – Example superframe for slow-channel-hopping	323
Figure 72 – Components of a slow-channel-hopping superframe.....	323
Figure 73 – Example configuration for avoiding collisions among routers	324
Figure 74 – Hybrid configuration	325
Figure 75 – Timeslot allocation and message queue	327
Figure 76 – 250 ms alignment intervals.....	330
Figure 77 – Timeslot durations and timing.....	331
Figure 78 – Clock source acknowledges receipt of a Data DPDU	336
Figure 79 – Transaction timing attributes	338
Figure 80 – Dedicated and shared transaction timeslots	339
Figure 81 – Unicast transaction	340
Figure 82 – PDU wait time (PWT)	343
Figure 83 – Duocast support in the standard.....	344
Figure 84 – Duocast transaction	345
Figure 85 – Shared timeslots with active CSMA/CA	346
Figure 86 – Transaction during slow-channel-hopping periods	347
Figure 87 – DL management SAP flow through standard protocol suite.....	350
Figure 88 – PhPDU and DPDU structure	369
Figure 89 – Typical ACK/NAK DPDU layout	378
Figure 90 – Relationship among DLMO indexed attributes	416
Figure 91 – Address translation process	453
Figure 92 – Fragmentation process.....	455
Figure 93 – Reassembly process	456
Figure 94 – Processing of an NSDU received from a TLE	458
Figure 95 – Processing of a received NPDU	459
Figure 96 – Processing of a NPDU received by a NLE from the backbone	461

Figure 97 – Delivery of a received NPDU at its final destination NLE	462
Figure 98 – Routing from a field device direct to a field-connected gateway without backbone routing	463
Figure 99 – Protocol suite diagram for routing from a field device direct to a field-connected gateway without backbone routing	464
Figure 100 – Routing an NPDU from a field device to a gateway via a backbone router	465
Figure 101 – Protocol suite diagram for routing an APDU from a field device to a gateway via a backbone router	466
Figure 102 – Routing from a field device on one D-subnet to another field device on a different D-subnet	467
Figure 103 – Protocol suite diagram for routing from an I/O device on one D-subnet to another I/O device on a different D-subnet.....	468
Figure 104 – Example of routing over an Ethernet backbone network	469
Figure 105 – Example of routing over a fieldbus backbone network	470
Figure 106 – Distinguishing between NPDU header formats	482
Figure 107 – TLE reference model	490
Figure 108 – UDP pseudo-header for IPv6.....	492
Figure 109 – TPDU structure	496
Figure 110 – User application objects in a UAP	510
Figure 111 – Alarm state model	516
Figure 112 – Event model	517
Figure 113 – A successful example of multiple outstanding requests, with response concatenation	521
Figure 114 – An example of multiple outstanding unordered requests, with second write request initially unsuccessful	523
Figure 115 – An example of multiple outstanding ordered requests, with second write request initially unsuccessful	524
Figure 116 – Send window example 1, with current send window smaller than maximum send window	526
Figure 117 – Send window example 2, with current send window the same size as maximum send window, and non-zero usable send window width	526
Figure 118 – Send window example 3, with current send window the same size as maximum send window, and usable send window width of zero	527
Figure 119 – General addressing model.....	529
Figure 120 – UAP management object state diagram.....	536
Figure 121 – Alert report reception state diagram	538
Figure 122 – Alert-reporting example	538
Figure 123 – UploadDownload object download state diagram	555
Figure 124 – UploadDownload object upload state diagram	555
Figure 125 – Publish sequence of service primitives	576
Figure 126 – Client/server model two-part interactions.....	581
Figure 127 – Client/server model four-part interactions: Successful delivery	581
Figure 128 – Client/server model four-part interactions: Request delivery failure	582
Figure 129 – Client/server model four-part interactions: Response delivery failure	582
Figure 130 – AlertReport and AlertAcknowledge, delivery success.....	597
Figure 131 – AlertReport, delivery failure	597

Figure 132 – AlertReport, acknowledgment failure	598
Figure 133 – Concatenated response for multiple outstanding write requests (no message loss)	605
Figure 134 – Management and handling of malformed APDUs received from device X	611
Figure 135 – The provisioning network.....	673
Figure 136 – State transition diagrams outlining provisioning steps during a device lifecycle	675
Figure 137 – State transition diagram showing various paths to joining a secured network.....	678
Figure 138 – Provisioning objects and interactions	680
Figure C.1 – OSI Basic Reference Model.....	716
Figure O.1 – Generic protocol translation publish diagram	762
Figure O.2 – Generic protocol translation subscribe diagram	763
Figure O.3 – Generic protocol translation client/server transmission diagram.....	764
Figure O.4 – Generic protocol translation client/server reception diagram.....	765
Figure R.1 – Host integration reference model	780
Figure R.2 – Configuration using an electronic device definition.....	782
Figure R.3 – Configuration using FDT/DTM approach	783
Figure U.1 – Gateway scenarios	793
Figure U.2 – Basic gateway model	794
Figure U.3 – Internal sequence of primitives for session interface.....	798
Figure U.4 – Internal sequence of primitives for lease management interface	798
Figure U.5 – Internal sequence of primitives for system report interfaces.....	799
Figure U.6 – Internal sequence of primitives for time interface	799
Figure U.7 – Internal sequence of primitives for client/server interface initiated from gateway to an adapter device	800
Figure U.8 – Internal sequence of primitives for publish interface initiated from gateway to an adapter device	800
Figure U.9 – Internal sequence of primitives for subscribe interface initiated from an adapter device	801
Figure U.10 – Internal sequence of primitives for publisher timer initiated from gateway to an adapter device	801
Figure U.11 – Internal sequence of primitives for subscriber timers initiated from an adapter device	801
Figure U.12 – Internal sequence of primitives for the bulk transfer interface	802
Figure U.13 – Internal sequence of primitives for the alert subscription interface	802
Figure U.14 – Internal sequence of primitives for the alert notification interface	803
Figure U.15 – Internal sequence of primitives for gateway management interfaces	803
Figure U.16 – Tunnel object model	839
Figure U.17 – Distributed tunnel endpoints	840
Figure U.18 – Multicast, broadcast, and one-to-many messaging.....	841
Figure U.19 – Tunnel object buffering	842
Figure U.20 – Publish/subscribe publisher CoSt flowchart.....	845
Figure U.21 – Publish/subscribe publisher periodic flowchart.....	845
Figure U.22 – Publish/subscribe subscriber common periodic and CoSt flowchart.....	846
Figure U.23 – Network address mappings.....	847

Figure U.24 – Connection_Info usage in protocol translation.....	848
Figure U.25 – Transaction_Info usage in protocol translation.....	849
Figure U.26 – Interworkable tunneling mechanism overview diagram.....	850
Figure U.27 – Bulk transfer model.....	853
Figure U.28 – Alert model.....	854
Figure U.29 – Alert cascading.....	855
Figure U.30 – Native publish/subscribe and client/server access.....	856
Table 1 – Standard management object types in DMAP.....	118
Table 2 – Metadata_attribute data structure.....	121
Table 3 – Alert types for communication diagnostic category.....	123
Table 4 – Alert types for security alert category.....	123
Table 5 – Alert types for device diagnostic alert category.....	123
Table 6 – Alert types for process alert category.....	123
Table 7 – ARMO attributes (1 of 3).....	125
Table 8 – ARMO alerts.....	128
Table 9 – Alarm_Recovery method.....	129
Table 10 – DMO attributes (1 of 8).....	131
Table 11 – DMO alerts.....	139
Table 12 – System management object types.....	142
Table 13 – DSO attributes.....	144
Table 14 – Address_Translation_Row data structure.....	145
Table 15 – Read_Address_Row method.....	145
Table 16 – Input argument usage for Read_Address_Row method.....	147
Table 17 – Output argument usage for Read_Address_Row method.....	147
Table 18 – Attributes of SMO in system manager.....	149
Table 19 – Proxy_System_Manager_Join method.....	151
Table 20 – Proxy_System_Manager_Contract method.....	153
Table 21 – Effect of different join commands on attribute sets.....	155
Table 22 – Attributes of DMSO in the system manager.....	155
Table 23 – System_Manager_Join method.....	156
Table 24 – System_Manager_Contract method.....	158
Table 25 – Attributes of STSO in the system manager.....	162
Table 26 – Attributes of SCO in the system manager.....	165
Table 27 – SCO method for contract establishment, modification, or renewal (1 of 8).....	169
Table 28 – Input argument usage for SCO method for contract establishment, modification, or renewal.....	177
Table 29 – Output argument usage for SCO method for contract establishment, modification, or renewal.....	178
Table 30 – Contract_Data data structure (1 of 3).....	181
Table 31 – New_Device_Contract_Response data structure (1 of 2).....	185
Table 32 – SCO method for contract termination, deactivation and reactivation.....	191
Table 33 – DMO method to notify of contract termination.....	192
Table 34 – DMO method to notify of contract modification.....	194

Table 35 – Security levels.....	202
Table 36 – Structure of the security control field	202
Table 37 – Sec.DpduPrep.Request elements	208
Table 38 – Sec.DpduPrep.Response elements	209
Table 39 – Sec.DAckCheck.Request elements.....	209
Table 40 – Sec.DAckCheck.Response elements	210
Table 41 – Sec.DInitialCheck.Request elements	211
Table 42 – Sec.DInitialCheck.Response elements	212
Table 43 – Sec.DAckPrep.Request elements	213
Table 44 – Sec.DAckPrep.Response elements	214
Table 45 – Structure of the WISN DPDU nonce	215
Table 46 – Structure of the 32-bit truncated TAI time used in the D-nonce	215
Table 47 – TSC pseudo-header structure.....	220
Table 48 – Sec.TpduOutCheck.Request elements	223
Table 49 – Sec.TpduOutCheck.Response elements	223
Table 50 – Sec.TpduSecure.Request elements.....	224
Table 51 – Sec. TpduSecure.Response elements	225
Table 52 – Sec.TpduInCheck.Request elements	226
Table 53 – Sec.TpduInCheck.Response elements	227
Table 54 – Sec.TpduVerify.Request elements.....	228
Table 55 – Sec.TpduVerify.Response elements.....	229
Table 56 – Structure of TL security header	229
Table 57 – Structure of the TPDU nonce	230
Table 58 – Structure of 32-bit truncated nominal TAI time used in the T-nonce	230
Table 59 – Proxy_Security_Sym_Join method	242
Table 60 – Security_Sym_Join method	243
Table 61 – Security_Confirm method	243
Table 62 – Security_Sym_Join_Request data structure.....	244
Table 63 – Security_Sym_Join_Response data structure	245
Table 64 – Structure of compressed security level field.....	246
Table 65 – Master key security level	247
Table 66 – Security_Sym_Confirm data structure.....	247
Table 67 – Implicit certificate format	249
Table 68 – Usage_serial_number structure	249
Table 69 – Proxy_Security_Pub_Join method	256
Table 70 – Security_Pub_Join method	257
Table 71 – Proxy_Security_Pub_Confirm method	258
Table 72 – Security_Pub_Confirm method	258
Table 73 – Network_Information_Confirmation method	259
Table 74 – Format of asymmetric join request internal structure	260
Table 75 – Format of the protocol control field	260
Table 76 – Format of asymmetric join response internal structure	261
Table 77 – Format of first join confirmation internal structure	262

Table 78 – Format of join confirmation response internal structure.....	263
Table 79 – Joining process and device lifetime state machine	265
Table 80 – Security_New_Session method	268
Table 81 – Security_New_Session_Request data structure.....	269
Table 82 – Security_New_Session_Response data structure	270
Table 83 – New_Key method	273
Table 84 – Security_Key_and_Policies data structure	274
Table 85 – Security_Key_Update_Status data structure	276
Table 86 – T-key and D-key state transition	277
Table 87 – Attributes of PSMO in the system manager	278
Table 88 – Structure of policy field	281
Table 89 – Key_Type	281
Table 90 – Key_Usage.....	282
Table 91 – Granularity	282
Table 92 – DSMO attributes.....	287
Table 93 – KeyDescriptor.....	289
Table 94 – T-keyLookupData OctetString fields	290
Table 95 – Delete key method	291
Table 96 – Key_Policy_Update method.....	292
Table 97 – DSMO alerts.....	294
Table 98 – Timing requirements.....	295
Table 99 – Graph table on ND20.....	301
Table 100 – Graph table on ND21.....	301
Table 101 – Approximating nominal timing with 32 KiHz clock	332
Table 102 – DL_Config_Info structure.....	358
Table 103 – CountryCode	364
Table 104 – DD-DATA.request parameters	367
Table 105 – DD-DATA.confirm parameters.....	368
Table 106 – Value set for status parameter.....	368
Table 107 – DD-DATA.indication parameters	368
Table 108 – ExtDLUInt, one-octet variant.....	371
Table 109 – ExtDLUInt, two-octet variant	371
Table 110 – Data DPDU MHR.....	372
Table 111 – Data DPDU DHDR.....	374
Table 112 – Data DPDU DMXHR	374
Table 113 – DROUT structure, compressed variant	375
Table 114 – DROUT structure, uncompressed variant.....	376
Table 115 – DADDR structure.....	377
Table 116 – ACK/NAK DPDU MHR	378
Table 117 – ACK/NAK DPDU DHR	379
Table 118 – ACK/NAK DPDU DHDR	380
Table 119 – Advertisement DAUX structure	381
Table 120 – Advertisement selections elements.....	382

Table 121 – Advertisement selections	383
Table 122 – Advertisement time synchronization elements	383
Table 123 – Advertisement time synchronization structure	383
Table 124 – Join superframe information subfields	385
Table 125 – Join superframe information structure	385
Table 126 – Superframe derived from advertisement	386
Table 127 – Join information elements	387
Table 128 – Join information structure	387
Table 129 – Defaults for links created from advertisements	388
Table 130 – dlmo.Neighbor entry created from advertisements	389
Table 131 – dlmo.Graph entry created from advertisements	389
Table 132 – dlmo.Route entry created from advertisements	390
Table 133 – Solicitation header subfields	392
Table 134 – Solicitation header structure	393
Table 135 – Solicitation DAUX fields	393
Table 136 – Solicitation DAUX structure	393
Table 137 – Activate link DAUX fields	395
Table 138 – Activate link DAUX structure	395
Table 139 – Report received signal quality DAUX fields	395
Table 140 – Report received signal quality DAUX structure	396
Table 141 – DLMO attributes (1 of 7)	396
Table 142 – D-subnet filter octets	406
Table 143 – dlmo.TaiAdjust OctetString fields	406
Table 144 – dlmo.TaiAdjust OctetString structure	407
Table 145 – dlmo.EnergyDesign OctetString fields	407
Table 146 – dlmo.EnergyDesign OctetString structure	407
Table 147 – dlmo.DeviceCapability OctetString fields	408
Table 148 – dlmo.DeviceCapability OctetString structure	408
Table 149 – dlmo.DiscoveryAlert fields	410
Table 150 – dlmo.DiscoveryAlert structure	410
Table 151 – dlmo.Candidates OctetString fields	411
Table 152 – dlmo.Candidates structure	412
Table 153 – dlmo.SmoothFactors OctetString fields	413
Table 154 – dlmo.SmoothFactors structure	413
Table 155 – dlmo.QueuePriority fields	414
Table 156 – dlmo.QueuePriority structure	414
Table 157 – dlmo.ChannelDiag fields	415
Table 158 – dlmo.ChannelDiag structure	416
Table 159 – dlmo.Ch fields	418
Table 160 – dlmo.Ch structure	418
Table 161 – Transaction receiver template fields	421
Table 162 – Transaction receiver template structure	421
Table 163 – Transaction initiator template fields	422

Table 164 – Transaction initiator template structure	422
Table 165 – Default transaction responder template, used during joining process	423
Table 166 – Default transaction initiator template, used during joining process	423
Table 167 – Default transaction responder template, used during joining process	424
Table 168 – dlmo.Neighbor fields	426
Table 169 – dlmo.Neighbor structure	427
Table 170 – ExtendGraph fields	428
Table 171 – ExtGraph structure	428
Table 172 – dlmo.NeighborDiagReset fields	429
Table 173 – dlmo.NeighborDiagReset structure	429
Table 174 – dlmo.Superframe fields	430
Table 175 – dlmo.Superframe structure	431
Table 176 – dlmo.SuperframeIdle fields	435
Table 177 – dlmo.SuperframeIdle structure	435
Table 178 – dlmo.Graph	436
Table 179 – dlmo.Graph structure	436
Table 180 – dlmo.Link fields	437
Table 181 – dlmo.Link structure	438
Table 182 – dlmo.Link[].Type structure	439
Table 183 – Allowed dlmo.Link[].Type combinations	440
Table 184 – Values for dlmo.Link[].Schedule	441
Table 185 – dlmo.Route fields	441
Table 186 – dlmo.Route structure	442
Table 187 – dlmo.NeighborDiag fields	443
Table 188 – Diagnostic summary OctetString fields	443
Table 189 – Diagnostic summary OctetString structure	444
Table 190 – Diagnostic ClockDetail OctetString fields	444
Table 191 – Diagnostic ClockDetail OctetString structure	445
Table 192 – Read_Row method	446
Table 193 – Write_Row method	446
Table 194 – Write_Row_Now method	447
Table 195 – dlmo.AlertPolicy fields	448
Table 196 – dlmo.AlertPolicy OctetString structure	448
Table 197 – DL_Connectivity alert	449
Table 198 – DL_Connectivity alert OctetString	449
Table 199 – NeighborDiscovery alert	450
Table 200 – Link-local address structure	451
Table 201 – Address translation table (ATT)	452
Table 202 – Example of a routing table	457
Table 203 – N-DATA.request elements	471
Table 204 – N-DATA.confirm elements	472
Table 205 – N-DATA.indication elements	473
Table 206 – NLMO attributes (1 of 3)	474

Table 207 – Contract table structure	477
Table 208 – Route table elements.....	478
Table 209 – Address translation table structure	478
Table 210 – NLMO structured MIB manipulation methods	480
Table 211 – Alert to indicate dropped PDU/PDU error.....	481
Table 212 – Common header patterns	483
Table 213 – Basic NL header format.....	483
Table 214 – Contract-enabled NL header format.....	485
Table 215 – 6LoWPAN_IPHC encoding format.....	485
Table 216 – IPv6 NL header format	486
Table 217 – Full NL header in the DL.....	487
Table 218 – NL header format for fragmented NPDU's	488
Table 219 – Format of first fragment header	488
Table 220 – Format of second and subsequent fragment headers.....	489
Table 221 – UDP header encoding	493
Table 222 – UDP 6LoWPAN_NHC-for-UDP encoding octet.....	497
Table 223 – Optimal UDP header encoding.....	497
Table 224 – UDP header encoding with checksum and compressed port numbers.....	498
Table 225 – T-DATA.request elements.....	499
Table 226 – T-DATA.confirm elements	500
Table 227 – T-DATA.confirm status codes	500
Table 228 – T-DATA.indication elements	501
Table 229 – TLMO attributes (1 of 2)	502
Table 230 – TL management object methods – Reset.....	504
Table 231 – TL management object methods – Halt.....	504
Table 232 – TL management object methods – PortRangeInfo.....	505
Table 233 – TL management object methods – GetPortInfo	505
Table 234 – TL management object methods – GetNextPortInfo	506
Table 235 – TL management object alert types – Illegal use of port.....	506
Table 236 – TL management object alert types – TPDU received on unregistered port	507
Table 237 – TL management object alert types – TPDU does not match security policies	507
Table 238 – State table for alarm transitions	515
Table 239 – State table for event transitions	516
Table 240 – UAP management object attributes (1 of 2)	534
Table 241 – State table for UAP management object	536
Table 242 – UAP management object methods	536
Table 243 – Alert-receiving object attributes	537
Table 244 – State table for handling an AlertReport reception.....	538
Table 245 – AlertReceiving object methods	539
Table 246 – UploadDownload object attributes (1 of 4)	540
Table 247 – UploadDownload object methods.....	545
Table 248 – UploadDownload object StartDownload method.....	546

Table 249 – UploadDownload object DownloadData method	547
Table 250 – UploadDownload object EndDownload method	549
Table 251 – UploadDownload object StartUpload method	550
Table 252 – UploadDownload object UploadData method	551
Table 253 – UploadDownload object EndUpload method	552
Table 254 – Download state table for unicast operation mode (1 of 2)	553
Table 255 – Upload state table for unicast operation mode (1 of 2)	556
Table 256 – Concentrator object attributes (1 of 2)	558
Table 257 – Concentrator object methods	559
Table 258 – Dispersion object attributes (1 of 2)	560
Table 259 – Dispersion object methods	561
Table 260 – Tunnel object attributes (1 of 3)	562
Table 261 – Tunnel object methods	564
Table 262 – Interface object attributes	565
Table 263 – Interface object methods	565
Table 264 – Data type: ObjectAttributeIndexAndSize	567
Table 265 – Data type: Communication association endpoint (1 of 2)	568
Table 266 – Data type: Communication contract data	570
Table 267 – Data type: Alert communication endpoint	571
Table 268 – Data type: Tunnel endpoint	571
Table 269 – Data type: Alert report descriptor	572
Table 270 – Data type: Process control alarm report descriptor for analog with single reference condition	572
Table 271 – Data type: ObjectIDandType	573
Table 272 – Data type: UnscheduledCorrespondent	573
Table 273 – AL services	574
Table 274 – Publish service	578
Table 275 – Read service	584
Table 276 – Write service	589
Table 277 – Execute service	593
Table 278 – AlertReport service	599
Table 279 – AlertAcknowledge service	602
Table 280 – Tunnel service	606
Table 281 – Application flow characteristics	609
Table 282 – AL service primitive to TL service primitive mapping	610
Table 283 – ASLMO attributes (1 of 2)	612
Table 284 – Application sublayer management object methods	613
Table 285 – Reset method	614
Table 286 – ASLMO alerts	615
Table 287 – Analog input object attributes	618
Table 288 – Analog input object methods	619
Table 289 – Analog input alerts	620
Table 290 – Analog output attributes (1 of 2)	621

Table 291 – Analog output object methods	622
Table 292 – Analog output alerts	623
Table 293 – Binary input object attributes	624
Table 294 – Binary input object methods	625
Table 295 – Binary input alerts	625
Table 296 – Binary output attributes	626
Table 297 – Binary output object methods	627
Table 298 – Binary output alerts	627
Table 299 – Status octet	629
Table 300 – Data type: Process control value and status for analog value	629
Table 301 – Data type: Process control value and status for binary value	630
Table 302 – Data type: Process control mode	630
Table 303 – Data type: Process control mode bitstring	630
Table 304 – Data type: Process control scaling	631
Table 305 – Process control standard objects	631
Table 306 – Services	632
Table 307 – Application messaging format	632
Table 308 – Concatenated APDUs in a single TSDU	633
Table 309 – Object addressing	633
Table 310 – Four-bit addressing mode APDU header construction	634
Table 311 – Eight-bit addressing mode APDU header construction	634
Table 312 – Sixteen-bit addressing mode APDU header construction	634
Table 313 – Inferred addressing use case example	635
Table 314 – Inferred addressing mode APDU header construction	635
Table 315 – Six-bit attribute identifier, not indexed	636
Table 316 – Six-bit attribute identifier, singly indexed, with 7-bit index	636
Table 317 – Six-bit attribute identifier, singly indexed, with 15-bit index	636
Table 318 – Six-bit attribute identifier, doubly indexed, with two 7-bit indices	637
Table 319 – Six-bit attribute identifier, doubly indexed, with two 15-bit indices	637
Table 320 – Six-bit attribute identifier, doubly indexed, with first index seven bits long and second index fifteen bits long	637
Table 321 – Six-bit attribute identifier, doubly indexed, with first index fifteen bits long and second index seven bits long	637
Table 322 – Twelve-bit attribute identifier, not indexed	638
Table 323 – Twelve-bit attribute identifier, singly indexed with 7-bit index	638
Table 324 – Twelve-bit attribute identifier, singly indexed with 15-bit index	638
Table 325 – Twelve-bit attribute identifier, doubly indexed with two 7-bit indices	638
Table 326 – Twelve-bit attribute identifier, doubly indexed with two 15-bit indices	639
Table 327 – Twelve-bit attribute identifier, doubly indexed with first index 7 bits long and second index 15 bits long	639
Table 328 – Twelve-bit attribute identifier, doubly indexed with the first index 15 bits long and the second index 7 bits long	639
Table 329 – Twelve-bit attribute identifier, reserved form	639
Table 330 – Coding rules for read service request	640

Table 331 – Coding rules for read service response with 7-bit size field.....	640
Table 332 – Coding rules for read service response with 15-bit size field.....	640
Table 333 – Coding rules for write service request with 7-bit size field.....	641
Table 334 – Coding rules for write service request with 15-bit size field.....	641
Table 335 – Coding rules for write service response.....	641
Table 336 – Coding rules for execute service request with 7-bit size field.....	642
Table 337 – Coding rules for execute service request with 15-bit size field.....	642
Table 338 – Coding rules for execute service response with 7-bit size field.....	642
Table 339 – Coding rules for execute service response with 15-bit size field.....	643
Table 340 – Coding rules for tunnel service request with 7-bit size field.....	643
Table 341 – Coding rules for tunnel service request with 15-bit size field.....	643
Table 342 – Coding rules for tunnel service response with 7-bit size field.....	643
Table 343 – Coding rules for tunnel service response with 15-bit size field.....	644
Table 344 – Coding rules for AlertReport service with 7-bit associated-data size field.....	644
Table 345 – Coding rules for AlertReport service with 15-bit associated-data size field.....	644
Table 346 – Coding rules for AlertAcknowledge service.....	645
Table 347 – Coding rules for publish service for a native sequence of values.....	645
Table 348 – Coding rules for publish service – non-native (for tunnel support).....	645
Table 349 – Coding rules for concatenate service.....	645
Table 350 – General coding rule for size-invariant application data.....	646
Table 351 – General coding rule for size-varying application data of 0..255 octets.....	646
Table 352 – Coding rules for Unsigned8.....	648
Table 353 – Coding rules for Unsigned16.....	648
Table 354 – Coding rules for Unsigned32.....	649
Table 355 – Coding rules for Unsigned64.....	649
Table 356 – Coding rules for Unsigned128.....	650
Table 357 – Coding rules for single-precision float.....	651
Table 358 – Coding rules for double-precision float.....	651
Table 359 – Coding rules for VisibleString.....	652
Table 360 – Coding rules for OctetString.....	652
Table 361 – Coding rules for BitString.....	653
Table 362 – Coding rules for TAINetworkTime, and for TAITimeDifference when interpreted as a modulo difference.....	654
Table 363 – Coding rules for TAITimeRounded.....	654
Table 364 – Coding example: Read request for a non-indexed attribute.....	668
Table 365 – Coding example: Read response for a non-indexed attribute.....	668
Table 366 – Coding example: Tunnel service request.....	668
Table 367 – Factory default settings.....	676
Table 368 – Device provisioning object (1 of 6).....	682
Table 369 – Reset_To_Default method.....	687
Table 370 – Write symmetric join key method.....	688
Table 371 – Device provisioning service object (1 of 4).....	689
Table 372 – DPSOWhiteListTbl data structure (1 of 2).....	693

Table 373 – Array manipulation table	695
Table 374 – DPSO alert to indicate join by a device not on the WhiteList.....	695
Table 375 – DPSO alert to indicate inadequate device join capability.....	696
Table B.1 – Protocol layer device roles	703
Table B.2 – Over-the-air upgrades	703
Table B.3 – Session support profiles.....	704
Table B.4 – Baseline profiles	705
Table B.5 – PhL roles	705
Table B.6 – DL required for listed roles.....	706
Table B.7 – Role profiles: General DLMO attributes.....	707
Table B.8 – Role profiles: dlmo.Device_Capability	707
Table B.9 – Role profiles: dlmo.Ch (channel-hopping).....	708
Table B.10 – Role profiles: dlmo.TsTemplate	708
Table B.11 – Role profiles: dlmo.Neighbor	708
Table B.12 – Role profiles: dlmo.NeighborDiag.....	709
Table B.13 – Role profiles: dlmo.Superframe	709
Table B.14 – Role profiles: dlmo.Graph	709
Table B.15 – Role profiles: dlmo.Link.....	710
Table B.16 – Role profiles: dlmo.Route.....	710
Table B.17 – Role profiles: dlmo.Queue_Priority.....	710
Table B.18 – Routing table size	711
Table B.19 – Address table size.....	711
Table B.20 – Port support size	711
Table B.21 – APs.....	711
Table B.22 – Role profiles: I/O, routers, gateways, and backbone routers.....	712
Table B.23 – Role profile: Gateway.....	712
Table B.24 – Role profile: Gateway native access.....	712
Table B.25 – Role profile: Gateway interworkable tunnel mechanism.....	713
Table C.1 – Usage classes	715
Table D.1 – System management configuration defaults.....	720
Table D.2 – Security configuration defaults	721
Table D.3 – DLE configuration defaults	722
Table D.4 – NLE configuration defaults	723
Table D.5 – TLE configuration defaults	723
Table D.6 – ALE configuration defaults	724
Table D.7 – Provisioning configuration defaults	726
Table D.8 – Gateway configuration defaults.....	726
Table I.1 – Table of standard object types	742
Table I.2 – Template for standard object attributes	743
Table I.3 – Template for standard object methods.....	744
Table I.4 – Template for standard object alert reporting	745
Table I.5 – Template for data structures.....	746
Table J.1 – Scheduled_Write method template	748

Table J.2 – Read_Row method template	749
Table J.3 – Write_Row method template	749
Table J.4 – Reset_Row method template	750
Table J.5 – Delete_Row method template	751
Table K.1 – Standard object types	753
Table K.2 – Standard object instances	755
Table L.1 – Standard data types	757
Table M.1 – Identification of tunneled legacy fieldbus protocols	759
Table T.1 – Sample MHR for join request.....	789
Table T.2 – Sample DHR for join request.....	790
Table T.3 – Network header for join messages	790
Table U.1 – Summary of notional gateway high-side interface examples.....	796
Table U.2 – Primitive G_Session parameter usage	805
Table U.3 – GS_Status for G_Session confirm.....	807
Table U.4 – Primitive G_Lease parameter usage	808
Table U.5 – GS_Lease_Type for G_Lease request	809
Table U.6 – GS_Status for G_Lease confirm.....	810
Table U.7 – Primitive G_Device_List_Report parameter usage	811
Table U.8 – GS_Status for G_Device_List_Report confirm	812
Table U.9 – Primitive G_Topology_Report parameter usage	812
Table U.10 – Primitive G_Schedule_Report parameter usage	814
Table U.11 – Primitive G_Device_Health_Report parameter usage	816
Table U.12 – Primitive G_Neighbor_Health_Report parameter usage	817
Table U.13 – Primitive G_Network_Health_Report parameter usage	819
Table U.14 – Primitive G_Time parameter usage	821
Table U.15 – GS_Status for G_Time confirm	821
Table U.16 – Primitive G_Client_Server parameter usage.....	822
Table U.17 – GS_Status for G_Client_Server confirm	823
Table U.18 – Primitive G_Publish parameter usage	825
Table U.19 – GS_Status for G_Publish confirm.....	826
Table U.20 – Primitive G_Subscribe parameter usage	826
Table U.21 – GS_Status for G_Subscribe confirm.....	827
Table U.22 – Primitive G_Publish_Timer parameter usage.....	827
Table U.23 – Primitive G_Subscribe_Timer parameter usage.....	827
Table U.24 – Primitive G_Publish_Watchdog parameter usage	828
Table U.25 – Primitive G_Bulk_Open parameter usage.....	829
Table U.26 – GS_Status for G_Bulk_Open confirm	830
Table U.27 – Primitive G_Bulk_Transfer parameter usage	830
Table U.28 – GS_Status for G_Bulk_Transfer confirm	830
Table U.29 – Primitive G_Bulk_Close parameter usage	831
Table U.30 – Primitive G_Alert_Subscription parameter usage	832
Table U.31 – GS_Status for G_Alert_Subscription confirm	833
Table U.32 – Primitive G_Alert_Notification parameter usage	833

Table U.33 – Primitive G_Read_Gateway_Configuration parameter usage	834
Table U.34 – GS_Attribute_Identifier values for G_Read_Gateway_Configuration request	835
Table U.35 – Primitive G_Write_Gateway_Configuration parameter usage	835
Table U.36 – GS_Attribute_Identifier values for G_Write_Gateway_Configuration request	836
Table U.37 – GS_Status for G_Write_Gateway_Configuration confirm	836
Table U.38 – Primitive G_Write_Device_Configuration parameter usage	837
Table U.39 – GS_Status for G_Write_Device_Configuration confirm	838
Table U.40 – Primitive G_Read_Device_Configuration parameter usage	838
Table U.41 – Example of gateway configuration management attributes	858

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0 Introduction

0.1 General

This standard provides specifications in accordance with the OSI Basic Reference Model, ISO/IEC 7498–1, (e.g., PhL, DL, etc.), and also provides security and management (including network and device configuration) specifications for wireless devices serving Annex C's usage classes 1 through 5, and potentially class 0, for fixed, portable, and moving devices.

This standard is intended to provide reliable and secure wireless operation for non-critical monitoring, alerting, supervisory control, open loop control, and closed loop control applications. This standard defines a protocol suite, including system management, gateway considerations, and security specifications, for low-data-rate wireless connectivity with fixed, portable, and slowly-moving devices, often operating under severe energy and power constraints. The application focus is the performance needs of process automation monitoring and control where end-to-end communication latencies on the order of at least 100 ms can be tolerated.

To meet the needs of industrial wireless users and operators, the technology specified in this document provides robustness in the presence of interference found in harsh industrial environments or caused by wireless systems not covered by this international standard. As described in Clause 4, this standard addresses coexistence with other wireless devices anticipated in the industrial workspace, such as cell phones and devices based on IEC 62591 (based on WirelessHART™¹), IEC 62601 (based on WIA-PA), IEEE 802.11 (WiFi), IEEE 802.15, IEEE 802.16 (WiMax), and other relevant standards. Furthermore, this standard supports interoperability of devices compliant with this international standard, as described in Clause 5, in those aspects of operation that are covered by this international standard.

This standard does not define or specify plant infrastructure or its security or performance characteristics. However, it is important that the security of the plant infrastructure be assured by the end user.

0.2 Document structure

This document is organized into clauses focused on unique network functions and protocol suite layers. The clauses describe system, system management, security management, physical layer, data-link layer, network layer, transport layer, application layer, and provisioning. Generic considerations that apply to protocol gateways are also included, though specifications of specific protocol gateways are not. Each clause describes a functionality or protocol layer and dictates the behavior required for proper operation. When a clause describes behaviors related to another function or layer, a reference to the appropriate other clause is supplied for further information.

The mandatory and optional communication protocols defined by this document are referred to as native protocols, while those protocols used by other networks such as legacy fieldbus communication protocols are referred to as foreign protocols.

0.3 Potentially relevant patents

The International Electrotechnical Commission (IEC) draws attention to the fact that it is claimed that compliance with this document may involve the use of multiple patents:

- a) concerning elliptic curve (asymmetric) cryptography, given in 7.4.6 and 7.2.2.3;

¹ Property of the HART Communication Foundation. This information is given for the convenience of users of the standard and does not constitute an endorsement of the trademark holder or any related products. Compliance to this profile does not require use of the registered trademark. Use of the trademarks requires permission of the trade name holder.

- b) concerning synchronizing clocks and assessing link quality, given in 9.1.9.3 and 9.1.15;
- c) concerning unspecified subject areas;
- d) concerning wireless provisioning, and selection and routing among multiple gateways.

IEC takes no position concerning the evidence, validity and scope of these patent rights.

The holders of these patent rights have assured the IEC that they are willing to negotiate licences either free of charge (free) or under reasonable and non-discriminatory terms and conditions (RAND) with applicants throughout the world. In this respect, the statements of the following holders of those patent rights are registered with IEC.

Information on these patent rights and their licensing may be obtained from:

<p>a) Certicom Corporation 4701 Tahoe Blvd, Bldg A L4W 0B5 Mississauga, ON CANADA</p> <p>Attn: Patent licensing</p> <p>Licensing terms: presumably RAND</p> <p>Relevant patents: unknown; not stated by patent holder</p>	<p>b) NIVIS LLC 1000 Circle 75 Pkwy, Suite 300 Atlanta, GA 30339-6051 USA</p> <p>Attn: Patent licensing</p> <p>Licensing terms: RAND</p> <p>Relevant patents: – US 20100027437 – US 20100098204</p>
<p>c) General Electric 1 Research Cir Schenectady, NY 12309-1027 USA</p> <p>Attn: Patent licensing</p> <p>Licensing terms: presumably RAND, reciprocity</p> <p>Relevant patents: unknown; not stated by patent holder</p>	<p>d) Yokogawa Electric Corporation 2-9-32 Nakachou, Musashina-shi Tokyo JAPAN</p> <p>Attn: Patent licensing</p> <p>Licensing terms: RAND, reciprocity</p> <p>Relevant patents: – JP 4129749 – US 8005514 – US 8031727 – US 8305927 – US 2009080394</p>
<p>The above patent holders, patents, and licensing terms are those declared to the IEC as relevant to IEC 62734, as of the date of preparation of this text.</p>	

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights other than those identified above. IEC shall not be held responsible for identifying any or all such patent rights.

ISO (<http://www.iso.org/patents>) and IEC (<http://patents.iec.ch>) maintain on-line databases of patents relevant to their standards. Users are encouraged to consult these databases for the most up-to-date information concerning patents.

**INDUSTRIAL NETWORKS –
WIRELESS COMMUNICATION NETWORK
AND COMMUNICATION PROFILES –
ISA 100.11A**

1 Scope

This International Standard specifies a method of reliable and secure wireless operation for non-critical monitoring, alerting, supervisory control, open loop control, and closed loop control applications. This standard defines a protocol suite, including system management, gateway considerations, and security specifications, for low-data-rate wireless connectivity with fixed, portable, and slowly-moving devices, often operating under severe energy and power constraints. The application focus of this standard is the performance needs of process automation monitoring and control, where end-to-end communication delays on the order of 100 ms can be tolerated.

This standard specifies the following:

- physical layer service definition and protocol specification;
- data-link layer service definition and protocol specification;
- network layer service definition and protocol specification;
- transport layer service definition and protocol specification;
- application layer service definition and protocol specification, including support for protocol tunneling and gateways;
- security and security management;
- provisioning and configuration;
- network management; and
- additive communication role profiles (i.e., one or more can be selected concurrently).

Functionality above the application layer of the OSI Basic Reference Model, such as the so-called User Layer and different profiles for functionality at that layer, is not addressed. However, it is discussed briefly in Annex A.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE See the Bibliography for non-normative references.

ISO/IEC 646, *Information technology – ISO 7-bit coded character set for information interchange*

ISO/IEC 10731, *Information technology – Open Systems Interconnection – Basic Reference Model – Conventions for the definition of OSI services*

ISO/IEC 18033-3, *Information technology – Security techniques – Encryption algorithms – Part 3: Block ciphers*

- ISO/IEC 19772, *Information technology - Security techniques - Authenticated encryption*
- ANSI X9.63:2011, *Public Key Cryptography for the Financial Services Industry - Key Agreement and Key Transport Using Elliptic Curve Cryptography*
- IETF RFC 2460:1998, *Internet Protocol, Version 6 (IPv6) Specification*
- IETF RFC 2464, *Transmission of IPv6 Packets over Ethernet Networks*
- IETF RFC 2529, *Transmission of IPv6 over IPv4 Domains without Explicit Tunnels*
- IETF RFC 3168, *The Addition of Explicit Congestion Notification (ECN) to IP*
- IETF RFC 4213, *Basic Transition Mechanisms for IPv6 Hosts and Routers*
- IETF RFC 4291:2006, *IP Version 6 Addressing Architecture*
- IETF RFC 4944, *Transmission of IPv6 Packets over IEEE 802.15.4 Networks*
- IETF RFC 6282:2011, *Compression Format for IPv6 Datagrams over IEEE 802.15.4-Based Networks*
- IETF RFC 6298, *Computing TCP's Retransmission Timer*
- IEEE 802.15.4™:2011², *IEEE Standard for Information technology — Telecommunications and information exchange between systems — Local and metropolitan area networks — Specific requirements - Part 15.4: Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for Low-Rate Wireless Personal Area Networks (LR-WPANs)*
- SEC 1:2009, *Elliptic Curve Cryptography, version 2*, available at <http://www.secg.org>
- SEC 4, *Elliptic Curve Qu-Vanstone Implicit Certificate Scheme (ECQV), version 0.97*, available at <http://www.secg.org>

3 Terms, definitions, abbreviated terms, acronyms, and conventions

For the purposes of this document, the following terms, definitions, abbreviations, acronyms and conventions apply.

3.1 Terms and definitions

3.1.1 (N)-layer and other terms and definitions from the open systems interconnection Basic Reference Model

3.1.1.1

abstract syntax

specification of (N)-PDUs by using notation rules which are independent of the encoding technique used to represent them

[SOURCE: ISO/IEC 7498-1:1994 as corrected and reprinted in 1996, 7.1.1.2, modified – generalized to any layer]

² Property of IEEE, <http://www.ieee.org>.