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Osa 1: Üldised nõuded**

**Drilling and foundation equipment - Safety - Part 1:  
Common requirements**

## EESTI STANDARDI EESSÕNA

## NATIONAL FOREWORD

|   |  |
|---|--|
| See Eesti standard EVS-EN 16228-1:2014 sisaldab Euroopa standardi EN 16228-1:2014 inglisekeelset teksti.            | This Estonian standard EVS-EN 16228-1:2014 consists of the English text of the European standard EN 16228-1:2014.                  |
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English Version

## Drilling and foundation equipment - Safety - Part 1: Common requirements

Machines de forage et de fondation - Sécurité - Partie 1:  
Prescriptions communes

Geräte für Bohr- und Gründungsarbeiten - Sicherheit - Teil  
1: Gemeinsame Anforderungen

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# Contents

Page

|  |    |
|--|----|
| Foreword.....  | 6  |
| Introduction.....  | 7  |
| 1 Scope .....  | 8  |
| 2 Normative references .....   | 8  |
| 3 Terms and definitions .....  | 11 |
| 4 List of significant hazards .....  | 15 |
| 5 Safety requirements and/or protective measures .....                               | 19 |
| 5.1 General.....   | 19 |
| 5.2 Requirements for strength and stability .....                                    | 20 |
| 5.2.1 Loads .....  | 20 |
| 5.2.2 Structural calculations .....  | 21 |
| 5.2.3 Rigid body stability.....  | 22 |
| 5.2.4 Floating ship, barge or pontoon.....   | 31 |
| 5.3 Electrotechnical systems.....  | 31 |
| 5.3.1 General.....   | 31 |
| 5.3.2 Battery installation.....  | 31 |
| 5.4 Hydraulic and pneumatic systems.....   | 32 |
| 5.4.1 Hydraulic systems .....  | 32 |
| 5.4.2 Pneumatic systems .....  | 32 |
| 5.4.3 Hoses, pipes and fittings under pressure .....                                 | 32 |
| 5.5 Failure of the power supply .....  | 33 |
| 5.6 Uncontrolled motion.....   | 33 |
| 5.7 Brakes of the carrier machine .....  | 33 |
| 5.7.1 Brakes for travelling .....  | 33 |
| 5.7.2 Brakes for slewing.....  | 33 |
| 5.8 Winches, draw-works and ropes .....  | 33 |
| 5.8.1 General.....   | 33 |
| 5.8.2 Winches and pulleys .....  | 34 |
| 5.8.3 Pulley and drum diameters.....   | 35 |
| 5.8.4 Ropes and rope end terminations.....   | 35 |
| 5.8.5 Roller and leaf chains.....  | 35 |
| 5.9 Masts, derricks and feed beams .....   | 36 |
| 5.10 Indicating/limiting devices for inclination .....                               | 36 |
| 5.10.1 Inclination of leader, mast or boom .....                                     | 36 |
| 5.10.2 Inclination of the carrier.....   | 36 |
| 5.10.3 Stroke limiting devices.....  | 36 |
| 5.11 Ergonomics for control stations and servicing points.....                       | 36 |
| 5.12 Access to operating positions, intervention and servicing points .....          | 37 |
| 5.13 Platforms on masts and leaders. ....  | 37 |
| 5.13.1 General.....  | 37 |
| 5.13.2 Working platform for lifting personnel .....                                  | 37 |
| 5.13.3 Movable platform .....  | 39 |
| 5.14 Operating position(s) .....   | 39 |
| 5.14.1 General.....  | 39 |
| 5.14.2 Visibility .....  | 41 |
| 5.15 Control systems.....  | 41 |
| 5.15.1 General.....  | 41 |
| 5.15.2 Required performance levels for safety related parts of control systems ..... | 41 |
| 5.15.3 Starting .....  | 43 |
| 5.15.4 Stopping .....  | 43 |

|        |  |    |
|--------|--|----|
| 5.16   | Control devices.....   | 43 |
| 5.16.1 | General .....  | 43 |
| 5.16.2 | Inadvertent actuation of controls .....  | 44 |
| 5.16.3 | Controls for extending the crawlers (tracks) of the carrier machine .....                                  | 44 |
| 5.17   | Remotely controlled and automated drilling and foundation equipment.....                                   | 44 |
| 5.17.1 | General .....  | 44 |
| 5.17.2 | Operating position.....  | 45 |
| 5.17.3 | Emergency stop.....  | 45 |
| 5.17.4 | Control system .....   | 45 |
| 5.18   | Unmanned, automatically operated drilling and foundation equipment .....                                   | 45 |
| 5.19   | Retrieval, transportation, lifting and towing of the drilling and foundation equipment and its parts ..... | 45 |
| 5.19.1 | Common use .....   | 45 |
| 5.19.2 | Retrieval/Towing.....  | 46 |
| 5.19.3 | Tie-down.....  | 46 |
| 5.19.4 | Lifting points.....  | 46 |
| 5.19.5 | Transportation .....   | 46 |
| 5.20   | Handling of drilling tools .....   | 46 |
| 5.21   | Isolation of energy sources.....   | 46 |
| 5.22   | Hot and cold surfaces and sharp edges .....  | 46 |
| 5.23   | Protection against moving parts .....  | 47 |
| 5.23.1 | General .....  | 47 |
| 5.23.2 | Moving parts involved in the process .....   | 47 |
| 5.23.3 | Transmission parts .....   | 50 |
| 5.23.4 | Drilling and foundation equipment using threaded drill string connections .....                            | 50 |
| 5.23.5 | Clamps and rod breaking clamps used in the drilling process.....   | 51 |
| 5.23.6 | Tools handling system.....   | 51 |
| 5.24   | Falling or ejected objects .....   | 51 |
| 5.25   | Lighting.....  | 52 |
| 5.25.1 | Working light.....   | 52 |
| 5.25.2 | Illumination when tramming or slewing.....   | 52 |
| 5.25.3 | Lighting inside the cab .....  | 52 |
| 5.26   | Fire prevention.....   | 52 |
| 5.26.1 | General .....  | 52 |
| 5.26.2 | Fire extinguishers.....  | 53 |
| 5.26.3 | Installation of fire extinguishers .....   | 53 |
| 5.26.4 | Fire prevention for fuel and hydraulic circuits .....  | 53 |
| 5.27   | Noise and vibration .....  | 53 |
| 5.27.1 | General .....  | 53 |
| 5.27.2 | Noise.....   | 53 |
| 5.27.3 | Vibration .....  | 54 |
| 5.28   | Exhaust gases and dust .....   | 54 |
| 5.28.1 | Engine exhausts .....  | 54 |
| 5.28.2 | Dust.....  | 54 |
| 5.29   | Maintenance.....   | 55 |
| 5.30   | Warning devices .....  | 55 |
| 6      | Verification of the safety requirements and/or protective measures .....                                   | 55 |
| 6.1    | General .....  | 55 |
| 6.2    | Testing.....   | 61 |
| 6.2.1  | General .....  | 61 |
| 6.2.2  | Tests .....  | 61 |
| 7      | Information for use.....   | 62 |
| 7.1    | Marking.....   | 62 |
| 7.1.1  | Data plate for drilling and foundation equipment .....   | 62 |
| 7.1.2  | Data plate for working platforms for lifting personnel and movable platforms.....                          | 63 |
| 7.2    | Indicators.....  | 63 |
| 7.2.1  | Information indicators.....  | 63 |

|         |  |     |
|---------|--|-----|
| 7.2.2   | Warning signs for residual hazards .....   | 63  |
| 7.2.3   | Warning devices .....  | 63  |
| 7.3     | Instruction books for drilling and foundation equipment .....  | 63  |
| 7.3.1   | General.....   | 63  |
| 7.3.2   | Operator's manual .....  | 64  |
| 7.3.3   | Maintenance instructions .....   | 69  |
| 7.3.4   | Spare parts list.....  | 70  |
| Annex A | (informative) List of drilling and foundation equipment.....   | 71  |
| A.1     | General.....   | 71  |
| A.2     | Illustrations.....   | 72  |
| Annex B | (normative) Noise test code .....  | 124 |
| B.1     | General.....   | 124 |
| B.2     | Operation of the drilling and foundation equipment during noise tests .....                                  | 124 |
| B.2.1   | General.....   | 124 |
| B.2.2   | Multiple power units .....   | 124 |
| B.2.3   | Fan speed .....  | 125 |
| B.2.4   | Different types of drilling and foundation equipment.....  | 125 |
| B.3     | Determination of the sound power level .....   | 125 |
| B.3.1   | Basic noise emission standards .....   | 125 |
| B.3.2   | Determination according to EN ISO 3744.....  | 127 |
| B.4     | Measurement of emission sound pressure level at the operator's position .....                                | 127 |
| B.4.1   | General.....   | 127 |
| B.4.2   | Performance of test at a fixed operator's position.....  | 127 |
| B.4.3   | Performance of test for operator's and assistant(s) position for remote-controlled machines .....            | 128 |
| B.4.4   | Acceptance criteria of measurements.....   | 128 |
| B.5     | Uncertainty of measurements .....  | 128 |
| B.6     | Information to be recorded and reported .....  | 128 |
| B.7     | Noise declaration .....  | 129 |
| Annex C | (normative) Whole-body and hand-arm vibration test.....  | 130 |
| C.1     | General.....   | 130 |
| C.2     | Measurement.....   | 130 |
| Annex D | (informative) Symbols and signs .....  | 131 |
| D.1     | Introduction .....   | 131 |
| D.2     | General safety and warning signs .....   | 131 |
| D.3     | General control symbols.....   | 133 |
| D.4     | Symbols for information .....  | 134 |
| D.5     | Symbols to be used for the control of the engine, fuel, brake transmission systems and hydraulic system..... | 135 |
| D.6     | Symbols to be used for the control of the drilling operation .....   | 135 |
| D.6.1   | General symbols .....  | 135 |
| D.6.2   | Symbols for general machine functions .....  | 136 |
| D.6.3   | Rod handling system .....  | 137 |
| D.6.4   | Mast erection and positioning.....   | 138 |
| D.6.5   | Winch and slip rope drum.....  | 141 |
| D.6.6   | Tramming.....  | 141 |
| D.7     | Miscellaneous symbols.....   | 142 |
| Annex E | (normative) Instruction selecting and fitting of wire rope grips for free fall application .....             | 143 |
| E.1     | General.....   | 143 |
| E.2     | Installation .....   | 143 |
| E.3     | Number of grips .....  | 143 |
| E.4     | Tightening torque .....  | 143 |
| E.5     | Detachable connections .....   | 144 |
| Annex F | (normative) Ground pressure calculation for crawler mounted drilling and foundation equipment.....           | 145 |
| F.1     | General.....   | 145 |
| F.2     | Calculation of ground pressures .....  | 145 |

|   |            |
|---|------------|
| <b>Annex G (normative) Test conditions of the stopping performances of the rotation of the drilling head .....</b>                        | <b>148</b> |
| <b>G.1 General .....</b>  | <b>148</b> |
| <b>G.2 Conditions of measure.....</b>   | <b>148</b> |
| <b>G.3 Measures implementation .....</b>  | <b>148</b> |
| <b>G.3.1 General .....</b>  | <b>148</b> |
| <b>G.3.2 Measurements .....</b>   | <b>149</b> |
| <b>G.3.3 Data to be recorded.....</b>   | <b>149</b> |
| <b>G.3.4 Evaluation of results .....</b>  | <b>149</b> |
| <b>Annex ZA (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 2006/42/EC .....</b> | <b>150</b> |
| <b>Bibliography.....</b>  | <b>151</b> |

## Foreword

This document (EN 16228-1:2014) has been prepared by Technical Committee CEN/TC 151 "Construction equipment and building material machines - Safety", the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by November 2014 and conflicting national standards shall be withdrawn at the latest by November 2014.

This document supersedes EN 791:1995+A1:2009, EN 996:1995+A3:2009.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this document.

This European Standard is divided into several parts and covers drilling and foundation equipment.

Part 1 contains requirements that are/may be common to all drilling and foundation equipment. Other parts contain additional requirements for specific machines that supplement or modify the requirements of part 1. Compliance with the clauses of part 1 together with those of a relevant specific part of this standard giving requirements for a particular machine provides one means of conforming with the essential health and safety requirements of the Directive concerned.

When a relevant specific part does not exist, part 1 can help to establish the requirements for the machine, but will not by itself provide a means of conforming to the relevant essential health and safety requirements of the Directive.

This European Standard, EN 16228, *Drilling and foundation equipment – Safety*, consists of the following parts:

- *Part 1: Common requirements*
- *Part 2: Mobile drill rigs for civil and geotechnical engineering, quarrying and mining*
- *Part 3: Horizontal directional drilling equipment (HDD)*
- *Part 4: Foundation equipment*
- *Part 5: Diaphragm walling equipment*
- *Part 6: Jetting, grouting and injection equipment*
- *Part 7: Interchangeable auxiliary equipment*

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.



## Introduction

This European Standard is a type C standard as stated in EN ISO 12100.

The machinery concerned and the extent to which hazards are covered are indicated in the scope of this standard.

When provisions of this type C standard are different from those which are stated in type A or B standards, the provisions of this type C standard take precedence over the provisions of the other standards, for drilling and foundation equipment that have been designed and built according to the provisions of this type C standard.

## 1 Scope

This European Standard specifies the common safety requirements for drilling and foundation equipment.

Part 1 of this European Standard deals with the significant hazards common to drilling and foundation equipment (see Annex A), when they are used as intended and under the conditions of misuse which are reasonably foreseeable by the manufacturer associated with the whole life time of the machine (transport, assembly, dismantling, equipment in service and out of service, maintenance, moving on site, storage, disabling and scrapping).

NOTE 1 The requirements specified in this part of the standard are common to two or more families of drilling and foundation equipment.

This document gives safety requirements for all types of drilling and foundation equipment and is intended to be used in conjunction with one of parts 2 to 7. These machine specific parts do not repeat the requirements from part 1 but supplement or modify the requirements for the type of drilling and foundation equipment in question.

For multipurpose machinery, the parts of the standard that cover the specific functions and applications are used, e.g. a drilling machine also used as a piling machine will use the relevant requirements of EN 16228-1, EN 16228-2, and EN 16228-4.

The following machines are excluded from the scope of this standard:

- tunnelling machines, unshielded tunnel boring machines and rodless shaft boring machines for rock according to prEN 16191;
- raise boring machines;
- drill rigs used in oil and gas industry.

NOTE 2 Specific requirements for offshore applications are not covered by this European Standard.

Where a drilling or foundation equipment of fixed configuration that is not intended to be separated is assembled using a carrier based on earth-moving equipment, agricultural equipment, or a crane, then the completed assembly will conform to the requirements specified in this drilling and foundation equipment standard.

Drilling and foundation equipment within the scope of EN 16228 parts 1 to 6 may include interchangeable auxiliary equipment within the scope of EN 16228-7, either as an integral part of its construction or as interchangeably fitted equipment.

If drilling and foundation equipment is intended to be used in a potentially explosive atmosphere, additional requirements will need to be met which are not covered by this standard.

## 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 474-1:2006+A4:2013, *Earth-moving machinery — Safety — Part 1: General requirements*

EN 474-5:2006+A3:2013, *Earth-moving machinery — Safety — Part 5: Requirements for hydraulic excavators*

EN 795:2012, *Personal fall protection equipment — Anchor devices*

EN 953:1997+A1:2009, *Safety of machinery — Guards — General requirements for the design and construction of fixed and movable guards*

EN 1037:1995+A1:2008, *Safety of machinery — Prevention of unexpected start-up*

EN 13309:2010, *Construction machinery — Electromagnetic compatibility of machines with internal power supply*

EN 13411-6:2004+A1:2008, *Terminations for steel wire ropes — Safety — Part 6: Asymmetric wedge socket*

EN 13411-7:2006+A1:2008, *Terminations for steel wire ropes — Safety — Part 7: Symmetric wedge socket*

EN 60204-1:2006, *Safety of machinery — Electrical equipment of machines — Part 1: General requirements<sup>1)</sup>*

EN ISO 2860:2008, *Earth-moving machinery — Minimum access dimensions (ISO 2860:1992)*

EN ISO 2867:2011, *Earth-moving machinery — Access systems (ISO 2867:2011)*

EN ISO 3411:2007, *Earth-moving machinery — Physical dimensions of operators and minimum operator space envelope (ISO 3411:2007)*

EN ISO 3449:2008, *Earth-moving machinery — Falling-object protective structures — Laboratory tests and performance requirements (ISO 3449:2005)*

EN ISO 3450:2011, *Earth-moving machinery — Wheeled or high-speed rubber-tracked machines — Performance requirements and test procedures for brake systems (ISO 3450:2011)*

EN ISO 3457:2008, *Earth-moving machinery — Guards — Definitions and requirements (ISO 3457:2003)*

EN ISO 3744:2010, *Acoustics — Determination of sound power levels and sound energy levels of noise sources using sound pressure — Engineering methods for an essentially free field over a reflecting plane (ISO 3744:2010)*

EN ISO 3747:2010, *Acoustics — Determination of sound power levels and sound energy levels of noise sources using sound pressure — Engineering/survey methods for use in situ in a reverberant environment (ISO 3747:2010)*

EN ISO 4413:2010, *Hydraulic fluid power — General rules and safety requirements for systems and their components (ISO 4413:2010)*

EN ISO 4414:2010, *Pneumatic fluid power — General rules and safety requirements for systems and their components (ISO 4414:2010)*

EN ISO 4871:2009, *Acoustics — Declaration and verification of noise emission values of machinery and equipment (ISO 4871:1996)*

EN ISO 6682:2008, *Earth-moving machinery — Zones of comfort and reach for controls (ISO 6682:1986, including Amd 1:1989)*

EN ISO 7731:2008, *Ergonomics — Danger signals for public and work areas — Auditory danger signals (ISO 7731:2003)*

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<sup>1)</sup> This document is impacted by a Corrigendum issued in 2010.

EN ISO 7096:2008, *Earth-moving machinery — Laboratory evaluation of operator seat vibration* (ISO 7096:2000)

EN ISO 9614-2:1996, *Acoustics — Determination of sound power levels of noise sources using sound intensity - Part 2: Measurement by scanning* (ISO 9614-2:1996)

EN ISO 11201:2010, *Acoustics — Noise emitted by machinery and equipment — Determination of emission sound pressure levels at a work station and at other specified positions in an essentially free field over a reflecting plane with negligible environmental corrections* (ISO 11201:2010)

EN ISO 11203:2009, *Acoustics — Noise emitted by machinery and equipment — Determination of emission sound pressure levels at a work station and at other specified positions from the sound power level* (ISO 11203:1995)

EN ISO 12100:2010, *Safety of machinery — General principles for design — Risk assessment and risk reduction* (ISO 12100:2010)

EN ISO 13856-1:2013, *Safety of machinery — Pressure-sensitive protective devices — Part 1: General principles for design and testing of pressure-sensitive mats and pressure-sensitive floors* (ISO 13856-1:2013)

EN ISO 13856-2:2013, *Safety of machinery — Pressure-sensitive protective devices — Part 2: General principles for design and testing of pressure-sensitive edges and pressure-sensitive bars* (ISO 13856-2:2013)

EN ISO 13856-3:2013, *Safety of machinery — Pressure-sensitive protective devices — Part 3: General principles for design and testing of pressure-sensitive bumpers, plates, wires and similar devices* (ISO 13856-3:2013)

EN ISO 13732-1:2008, *Ergonomics of the thermal environment — Methods for the assessment of human responses to contact with surfaces — Part 1: Hot surfaces* (ISO 13732-1:2006)

EN ISO 13849-1:2008, *Safety of machinery — Safety-related parts of control systems — Part 1: General principles for design* (ISO 13849-1:2006)

EN ISO 13850:2008, *Safety of machinery — Emergency stop — Principles for design* (ISO 13850:2006)

EN ISO 13857:2008, *Safety of machinery — Safety distances to prevent hazard zones being reached by upper and lower limbs* (ISO 13857:2008)

EN ISO 14122-4:2010, *Safety of machinery — Permanent means of access to machinery — Part 4: Fixed ladders* (ISO 14122-4:2004)<sup>2)</sup>

ISO 2631-1:1997, *Mechanical vibration and shock — Evaluation of human exposure to whole-body vibration — Part 1: General requirements*<sup>3)</sup>

ISO 3795:1989, *Road vehicles, and tractors and machinery for agriculture and forestry — Determination of burning behaviour of interior materials*

ISO 4302:1981, *Cranes — Wind load assessment*

ISO 4309:2010, *Cranes — Wire ropes — Care and maintenance, inspection and discard*

ISO 5006:2006, *Earth-moving machinery — Operator's field of view — Test method and performance criteria*

<sup>2)</sup> This document is impacted by stand-alone Amendment 1 published in 2010.

<sup>3)</sup> This document is impacted by stand-alone Amendment 1 published in 2010.

ISO 6405-1:2004, *Earth-moving machinery — Symbols for operator controls and other displays — Part 1: Common symbols*<sup>4)</sup>

ISO 7000:2012, *Graphical symbols for use on equipment — Registered symbols*

ISO 9533:2010, *Earth-moving machinery — Machine-mounted audible travel alarms and forward horns — Test methods and performance criteria*

ISO 10265:2008, *Earth-moving machinery — Crawler machines — Performance requirements and test procedures for braking systems*

ISO 10532:1995, *Earth-moving machinery — Machine-mounted retrieval device — Performance requirements*

ISO 10567:2007, *Earth-moving machinery — Hydraulic excavators — Lift capacity*

ISO 10968:2004, *Earth-moving machinery — Operator's controls*

ISO 12117-2:2008, *Earth-moving machinery — Laboratory tests and performance requirements for protective structures of excavators — Part 2: Roll-over protective structures (ROPS) for excavators of over 6 t*

ISO 12508:1994, *Earth-moving machinery — Operator station and maintenance areas — Bluntness of edges*

ISO 15817:2012, *Earth-moving machinery — Safety requirements for remote operator control systems*

### 3 Terms and definitions

For the purpose of this document, the terms and definitions given in EN ISO 12100:2010 and the following apply.

#### 3.1

##### **drilling and foundation equipment**

integrated machine, interchangeable equipment and machine equipped with interchangeable equipment designed for one or more of the following applications:

- preparing holes into soil and rock, for construction, exploration, water wells, soil investigation, or
- preparing, installing or retracting of longitudinal elements for foundations, retaining-walls, slurry-walls, soil improvement, or
- preparing and installing contiguous panels for retaining-walls and cut-off walls, or
- installing elements for ground improvement as drainage or injection, or
- installing elements for soil or rock nailing

Note 1 to entry: If drilling and foundation equipment will be used for different applications, it may consist of an assembly of machines and components (see Annex A and EN 16228 parts 2 to 7).

#### 3.2

##### **drill rig**

machine for drilling in soil or rock utilising either percussive, rotary or vibration principles (or a combination of principles) which may involve the addition of drill rods, tubes, casings or augers etc, normally threaded, as the hole extends

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<sup>4)</sup> This document is impacted by stand-alone Amendment 1, *Additional Symbols*, published in 2010.