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Information Technology — Open Trusted Technology ProviderTM Standard (O-TTPS) — Mitigating maliciously tainted and counterfeit products

Technologies de l'information — Norme de fournisseur de technologie de confiance ouverte (O-TTPS) — Atténuation des produits contrefaits et malicieusement contaminés

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Contents

1 Introduction 1
1.1 Objectives 1
1.2 Overview 1
1.3 Conformance 3
1.4 Terminology 3
1.5 Future Directions 4

2 Business Context and Overview 5
2.1 Business Environment Summary 5
2.1.1 Operational Scenario 5
2.2 Business Rationale 7
2.2.1 Business Drivers 7
2.2.2 Objectives and Benefits 8
2.3 Recognizing the COTS ICT Context 9
2.4 Overview 11
2.4.1 O-TTPF Framework Overview 11
2.4.2 Standard Overview 11
2.4.3 Relationship with Other Standards 12

3 O-TTPS – Tainted and Counterfeit Risks 13

4 O-TTPS – Requirements for Addressing the Risks of Tainted and Counterfeit Products 15
4.1 Technology Development 16
4.1.1 PD: Product Development/Engineering Method 16
4.1.1.1 PD_DES: Software/Firmware/Hardware Design Process 16
4.1.1.2 PD_CFM: Configuration Management 17
4.1.1.3 PD_MPP: Well-defined Development/Engineering Method Process and Practices 17
4.1.1.4 PD_QAT: Quality and Test Management 17
4.1.1.5 PD_PSM: Product Sustainment Management 18
4.1.2 SE: Secure Development/Engineering Method 18
4.1.2.1 SE_TAM: Threat Analysis and Mitigation 18
4.1.2.2 SE_RTP: Run-time Protection Techniques 19
4.1.2.3 SE_VAR: Vulnerability Analysis and Response 19
4.1.2.4 SE_PPR: Product Patching and Remediation 20
4.1.2.5 SE_SEP: Secure Engineering Practices 20

4.1.2.6 SE_MTL: Monitor and Assess the Impact of Changes in the Threat Landscape 20
4.2 Supply Chain Security 21
4.2.1 SC: Supply Chain Security 21
4.2.1.1 SC_RSM: Risk Management 21
4.2.1.2 SC_PHS: Physical Security 22
4.2.1.3 SC_ACC: Access Controls 22
4.2.1.4 SC_ESS: Employee and Supplier Security and Integrity 23
4.2.1.5 SC_BPS: Business Partner Security 23
4.2.1.6 SC_STR: Supply Chain Security Training 24
4.2.1.7 SC_ISS: Information Systems Security 24
4.2.1.8 SC_TTC: Trusted Technology Components 24
4.2.1.9 SC_STH: Secure Transmission and Handling 25
4.2.1.10 SC_OSH: Open Source Handling 25
4.2.1.11 SC_CTM: Counterfeit Mitigation 26
4.2.1.12 SC_MAL: Malware Detection 26

List of Tables

Table 1: O-TTPS Constituents and their Roles 6
Table 2: Threat Mapping 14

List of Figures

Figure 1: Constituents 6
Figure 2: Product Life Cycle – Categories and Activities 15

Preface

The Open Group

The Open Group is a global consortium that enables the achievement of business objectives through IT standards. With more than 400 member organizations, The Open Group has a diverse membership that spans all sectors of the IT community – customers, systems and solutions suppliers, tool vendors, integrators, and consultants, as well as academics and researchers – to:

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This Document

The Open Group Trusted Technology Forum (OTTF or Forum) is a global initiative that invites industry, government, and other interested participants to work together to evolve this Standard and other OTTF deliverables.

This Standard is the Open Trusted Technology Provider Standard (O-TTPS). The Standard has been developed by the OTTF and approved by The Open Group, through The Open Group Company Review process. There are two distinct elements that should be understood with respect to this Standard: the O-TTPF (Framework) and the O-TTPS (Standard).

The O-TTPF (Framework): The Framework is an evolving compendium of organizational guidelines and best practices relating to the integrity of Commercial Off-the-Shelf (COTS) Information and Communication Technology (ICT) products and the security of the supply chain throughout the entire product life cycle. An early version of the Framework was published as a White Paper in February 2011 (see Referenced Documents). The Framework serves as the basis for this Standard, future updates, and additional standards. The content of the Framework is the result of industry collaboration and research as to those commonly used commercially

reasonable practices that increase product integrity and supply chain security. The members of the OTTF will continue to collaborate with industry and governments and update the Framework as the threat landscape changes and industry practices evolve.

The O-TTPS (Standard): The O-TTPS is an open standard containing a set of guidelines that when properly adhered to have been shown to enhance the security of the global supply chain and the integrity of COTS ICT products. It provides a set of guidelines, requirements, and recommendations that help assure against maliciously tainted and counterfeit products throughout the COTS ICT product life cycle encompassing the following phases: design, sourcing, build, fulfillment, distribution, sustainment, and disposal.

Using the guidelines documented in the Framework as a basis, the OTTF is taking a phased approach and staging O-TTPS releases over time. This staging will consist of standards that focus on mitigating specific COTS ICT risks from emerging threats. As threats change or market needs evolve, the OTTF intends to update the O-TTPS (Standard) by releasing addenda to address specific threats or market needs.

The Standard is aimed at enhancing the integrity of COTS ICT products and helping customers to manage sourcing risk. The authors of this Standard recognize the value that it can bring to governments and commercial customers worldwide, particularly those who adopt procurement and sourcing strategies that reward those vendors who follow the O-TTPS best practice requirements and recommendations.

Note: Any reference to “providers” is intended to refer to COTS ICT providers. The use of the word “component” is intended to refer to either hardware or software components.

Intended Audience

This Standard is intended for organizations interested in helping the industry evolve to meet the threats in the delivery of trustworthy COTS ICT products. It is intended to provide enough context and information on business drivers to enable its audience to understand the value in adopting the guidelines, requirements, and recommendations specified within. It also allows providers, suppliers, and integrators to begin planning how to implement the Standard in their organizations. Additionally, acquirers and customers can begin recommending the adoption of the Standard to their providers and integrators.

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Referenced Documents

The following documents are referenced in this Standard:

- 2007 Defense Science Board Task Force on Mission Impact of Foreign Influence on DoD Software, September 2007; findings and recommendations located at: www.acq.osd.mil/dsb/reports/ADA486949.pdf.
- Electronic Industry Citizenship Coalition (EICC) Code of Conduct; refer to: www.eicc.info.
- ISO/IEC 15408: Information Technology – Security Techniques – Evaluation Criteria for IT Security (Common Criteria).
- ISO/IEC 27000:2009: Information Technology – Security Techniques – Information Security Management Systems – Overview and Vocabulary.
- ISO/IEC Directives, Part 2: Rules for the Structure and Drafting of International Standards.
- NIST 800-12: An Introduction to Computer Security: The NIST Handbook.
- White Paper: Open Trusted Technology Provider Framework (O-TTPF), W113, published by The Open Group, February 2011; refer to: www.opengroup.org/bookstore/catalog/w113.htm.