ELEKTRILISED MEDITSIINISEADMED OSA 1: ÜLDISED NÕUDED ESMASELE OHUTUSELE JA OLULISTELE TOIMIMISNÄITAJATELE

Medical electrical equipment
Part 1: General requirements for basic safety and
essential performance
(IEC 60601-1:2005)



EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

See Eesti standard EVS-EN 60601-1:2006+ A1:2013+A12:2014 sisaldab Euroopa standardi EN 60601-1:2006 ja selle parandusi Cor 1 ja cor 2 ning AC:2010, standardi muudatuse A1:2013 ja selle paranduse AC:2014 ning standardi muudatuse A12:2014 ingliskeelset teksti. Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.

Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 20.10.2006, muudatus A1 04.10.2013 ja muudatus A2 03.10.2014.

Standard on kättesaadav Eesti Standardikeskusest.

This Estonian standard EVS-EN 60601-1:2006+ A1:2013+A12:2014 consists of the English text of the European standard EN 60601-1:2006, its corrigendums cor 1, cor 2 and AC:2010, Amendment A1:2013 with corrigendum AC:2014 and Amendment A12:2014.

This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation.

Date of Availability of the European standard is 20.10.2006, for Amendment A1 04.10.2013 and for Amendment A2 03.10.2014.

The standard is available from the Estonian Centre for Standardisation.

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ICS 11.040

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EUROPEAN STANDARD

EN 60601-1

NORME EUROPÉENNE

EUROPÄISCHE NORM

October 2006

ICS 11.040

Supersedes EN 60601-1:1990 + amendments

English version

Medical electrical equipment Part 1: General requirements for basic safety and essential performance

(IEC 60601-1:2005)

Appareils électromédicaux Partie 1: Exigences générales pour la sécurité de base et les performances essentielles (CEI 60601-1:2005) Medizinische elektrische Geräte Teil 1: Allgemeine Festlegungen für die Sicherheit einschließlich der wesentlichen Leistungsmerkmale (IEC 60601-1:2005)

This European Standard was approved by CENELEC on 2006-09-12. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the Central Secretariat has the same status as the official versions.

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CENELEC

European Committee for Electrotechnical Standardization Comité Européen de Normalisation Electrotechnique Europäisches Komitee für Elektrotechnische Normung

Central Secretariat: rue de Stassart 35, B - 1050 Brussels

Foreword

The text of document 62A/505A//FDIS, future edition 3 of IEC 60601-1, prepared by SC 62A, Common aspects of electrical equipment used in medical practice, of IEC TC 62, Electrical equipment in medical practice, was submitted to the IEC-CENELEC parallel vote and was approved by CENELEC as EN 60601-1 on 2006-09-12.

The following date was fixed:

 latest date by which the EN has to be implemented at national level by publication of an identical national standard or by endorsement

(dop) 2007-07-01

This European Standard supersedes EN 60601-1:1990 and its amendments. However, EN 60601-1:1990 remains valid until all the parts 2 that are used in conjunction with it have been withdrawn. No date of withdrawal of conflicting national standards (dow) has therefore been fixed. However, when Part 1 is used for appliances not covered by a part 2, EN 60601-1:1990 is not to be used after 2009-09-12.

This EN 60601-1:2006 has been significantly restructured compared to EN 60601-1:1990. Requirements in the electrical section have been further aligned with those for information technology equipment covered by EN 60950-1 and a requirement for including a RISK MANAGEMENT PROCESS has been added. For an expanded description of this revision, see Clause A.3.

This European Standard has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association and covers essential requirements of EC Directives 90/385/EEC and 93/42/EEC. See Annex ZZ.

In this standard the following print types are used:

- requirements and definitions: in roman type;
- test specifications: in italic type;
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text
 of tables is also in a smaller type;
- TERMS USED THROUGHOUT THIS STANDARD THAT HAVE BEEN DEFINED IN CLAUSE 3 AND ALSO GIVEN IN THE INDEX: IN SMALL CAPITALS.

In referring to the structure of this standard, the term

- "clause" means one of the seventeen numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 7 includes subclauses 7.1, 7.2, etc.);
- "subclause" means a numbered subdivision of a clause (e.g. 7.1, 7.2 and 7.2.1 are all subclauses of Clause 7).

References to clauses within this standard are preceded by the term "Clause" followed by the clause number. References to subclauses within this standard are by number only. In this standard, the conjunctive "or" is used as an "inclusive or" so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex G of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb:

- "shall" means that compliance with a requirement or a test is mandatory for compliance with this standard;
- "should" means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- "may" is used to describe a permissible way to achieve compliance with a requirement or test.

An asterisk (*) as the first character of a title or at the beginning of a paragraph or table title indicates that there is guidance or rationale related to that item in Annex A.

Annexes ZA and ZZ have been added by CENELEC.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60073	NOTE	Harmonized as EN 60073:2002 (not modified).
IEC 60086-1	NOTE	Harmonized as EN 60086-1:2001 (not modified).
IEC 60127-6	NOTE	Harmonized as EN 60127-6:1994 (not modified).
IEC 60309-1	NOTE	Harmonized as EN 60309-1:1999 (not modified).
IEC 60317-43	NOTE	Harmonized as EN 60317-43:1997 (not modified).
IEC 60601-1-1	NOTE	Harmonized as EN 60601-1-1:2001 (not modified).
IEC 60601-1-4	NOTE	Harmonized as EN 60601-1-4:1996 + A1:1999 (not modified).
IEC 60601-2-49	NOTE	Harmonized as EN 60601-2-49:2001 (not modified).
IEC 60695-1-1	NOTE	Harmonized as EN 60695-1-1:2000 (not modified).
IEC 60721 series	NOTE	Harmonized in EN 60721 series (not modified).
IEC 60990	NOTE	Harmonized as EN 60990:1999 (not modified).
IEC 61000-4-11	NOTE	Harmonized as EN 61000-4-11:2004 (not modified).
IEC 61010-1	NOTE	Harmonized as EN 61010-1:2001 (not modified).
IEC 61140	NOTE	Harmonized as EN 61140:2002 (not modified).
IEC 62079	NOTE	Harmonized as EN 62079:2001 (not modified).
IEC 62304	NOTE	Harmonized as EN 62304:2006 (not modified).
ISO 407	NOTE	Harmonized as EN ISO 13407:2004 (not modified).
ISO 8041	NOTE	Harmonized as EN ISO 8041:2005 (not modified).
ISO 13485	NOTE	Harmonized as EN ISO 13485:2003 (not modified).

Endorsement notice

The text of the International Standard IEC 60601-1:2005 was approved by CENELEC as a European Standard without any modification.

5

Annex ZA (normative)

Normative references to international publications with their corresponding European publications

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

Publication IEC 60065 (mod)	<u>Year</u> 2001	<u>Title</u> Audio, video and similar electronic apparatus - Safety requirements	EN/HD EN 60065 + corr. March	<u>Year</u> 2002 2006
IEC 60068-2-2 A1 A2	1974 1993 1994	Environmental testing Part 2: Tests - Tests B: Dry heat	EN 60068-2-2 ¹⁾ A1 A2	1993 1993 1994
IEC 60079-0 (mod)	_ 2)	Electrical apparatus for explosive gas atmospheres Part 0: General requirements	EN 60079-0	2006 ³⁾
IEC 60079-2	_ 2)	Electrical apparatus for explosive gas atmospheres Part 2: Pressurized enclosures "p"	EN 60079-2 + corr. April	2004 ³⁾ 2006
IEC 60079-5	_ 2)	Electrical apparatus for explosive gas atmospheres Part 5: Powder filling 'q'	-	-
IEC 60079-6	_ 2)	Electrical apparatus for explosive gas atmospheres Part 6: Oil-immersion "o"	-	-
IEC 60083	_ 2)	Plugs and socket-outlets for domestic and similar general use standardized in member countries of IEC	5	-
IEC 60085	_ 2)	Electrical insulation - Thermal classification	EN 60085	2004 ³⁾
IEC 60086-4	_ 2)	Primary batteries Part 4: Safety of lithium batteries	EN 60086-4	2000 ³⁾
IEC 60112	_ 2)	Method for the determination of the proof and the comparative tracking indices of solic insulating materials	EN 60112	2003 3)

¹⁾ EN 60068-2-2 includes supplement A:1976 to IEC 60068-2-2.

²⁾ Undated reference.

Valid edition at date of issue.

Publication IEC 60127-1	<u>Year</u> 2006	Title Miniature fuses Part 1: Definitions for miniature fuses and general requirements for miniature fuse-links	<u>EN/HD</u> EN 60127-1	<u>Year</u> 2006
IEC 60227-1 ⁴⁾ A1 A2	1993 1995 1998	Polyvinyl chloride insulated cables of rated voltages up to and including 450/750 V Part 1: General requirements	- -	- -
IEC 60245-1 ⁵⁾	2003	Rubber insulated cables - Rated voltages up to and including 450/750 V Part 1: General requirements	-	-
IEC 60252-1	_2)	AC motor capacitors Part 1: General - Performance, testing and rating - Safety requirements - Guide for installation and operation	EN 60252-1	2001 ³⁾
IEC 60320-1	_ 2)	Appliance couplers for household and similar general purposes Part 1: General requirements	EN 60320-1	2001 ³⁾
IEC 60335-1 (mod)	2001	Household and similar electrical appliances - Safety Part 1: General requirements	EN 60335-1 A11 A12 + corr. July	2002 2004 2006 2006
IEC 60364-4-41 (mod)	2005	Low-voltage electrical installations Part 4-41: Protection for safety - Protection against electric shock	HD 60364-4-41	2006
IEC 60384-14	2005	Fixed capacitors for use in electronic equipment Part 14: Sectional specification - Fixed capacitors for electromagnetic interference suppression and connection to the supply mains	EN 60384-14	2005
IEC 60417	Data base	Graphical symbols for use on equipment	5	-
IEC 60445	_ 2)	Basic and safety principles for man-machine interface, marking and identification - Identification of equipment terminals and of terminations of certain designated conductors, including general rules for an alphanumeric system	EN 60445	2000 3)
IEC 60447	_ 2)	Basic and safety principles for man-machine interface, marking and identification - Actuating principles	EN 60447	2004 3)

HD 21.1 S4:2002, Cables of rated voltages up to and including 450/750 V and having thermoplastic insulation - Part 1: General requirements, which is related to, but not directly equivalent with, IEC 60227-1, applies instead.

HD 22.1 S4:2002, Cables of rated voltages up to and including 450/750 V and having cross-linked insulation - Part 1: General requirements, which is related to, but not directly equivalent with, IEC 60245-1, applies instead.

Publication IEC 60529	<u>Year</u> 1989 1999	Title Degrees of protection provided by enclosures (IP Code)	EN/HD EN 60529 + corr. May A1	<u>Year</u> 1991 1993 2000
IEC 60601-1-2	_ 2)	Medical electrical equipment Part 1-2: General requirements for safety - Collateral standard: Electromagnetic compatibility - Requirements and tests	EN 60601-1-2	2001 ³⁾
IEC 60601-1-3	_ 2)	Medical electrical equipment Part 1: General requirements for safety - 3. Collateral standard: General requirements for radiation protection in diagnostic X-ray equipment	EN 60601-1-3	1994 ³⁾
IEC 60601-1-6	- 2)	Medical electrical equipment Part 1-6: General requirements for safety - Collateral standard: Usability	EN 60601-1-6	2004 3)
IEC 60601-1-8	_ 2)	Medical electrical equipment Part 1-8: General requirements for safety - Collateral standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems	EN 60601-1-8 + corr. October	2004 ³⁾ 2006
IEC 60664-1 (mod) + A1 + A2	1992 2000 2002	Insulation coordination for equipment within low-voltage systems Part 1: Principles, requirements and tests	EN 60664-1	2003
IEC 60695-11-10	- 2)	Fire hazard testing Part 11-10: Test flames - 50 W horizontal and vertical flame test methods	EN 60695-11-10	1999 ³⁾
IEC 60730-1 (mod)	1999	Automatic electrical controls for household	EN 60730-1	2000
A1 (mod)	2003	and similar use Part 1: General requirements	A12 A1 A13 A14	2003 2004 2004 2005
IEC 60825-1	1993	Safety of laser products Part 1: Equipment classification,	EN 60825-1 + corr. February	1994 1995
A1 A2	1997 2001	requirements and user's guide	A1 A2 + corr. April	2002 2001 2004
IEC 60851-3 A1 A2	1996 1997 2003	Winding wires - Test methods Part 3: Mechanical properties	EN 60851-3 A1 A2	1996 1997 2003
IEC 60851-5 A1 A2	1996 1997 2004	Winding wires - Test methods Part 5: Electrical properties	EN 60851-5 A1 A2	1996 1997 2004
IEC 60851-6 A1	1996 1997	Winding wires - Test methods Part 6: Thermal properties	EN 60851-6 A1	1996 1997
IEC/TR 60878	2003	Graphical symbols for electrical equipment in medical practice	-	-

Publication IEC 60884-1	Year _ ²⁾	Title Plugs and socket-outlets for household and similar purposes Part 1: General requirements	<u>EN/HD</u> -	<u>Year</u> -
IEC 60950-1 (mod)	2001	Information technology equipment - Safety Part 1: General requirements	EN 60950-1 ⁶⁾ + corr. April A11	2001 2004 2004
IEC 61058-1 (mod) + A1	2000 2001	Switches for appliances Part 1: General requirements	EN 61058-1	2002
IEC 61558-1 (mod)	1997 1998	Safety of power transformers, power supply units and similar Part 1: General requirements and tests	EN 61558-1 ⁷⁾ + corr. April A1 A11	1997 2003 1998 2003
IEC 61558-2-1	_ 2)	Safety of power transformers, power supply units and similar Part 2-1: Particular requirements for separating transformers for general use	EN 61558-2-1	1997 ³⁾
IEC 61672-1	- 2)	Electroacoustics - Sound level meters Part 1: Specifications	EN 61672-1	2003 ³⁾
IEC 61672-2	- 2)	Electroacoustics - Sound level meters Part 2: Pattern evaluation tests	EN 61672-2	2003 ³⁾
IEC 61965	- 2)	Mechanical safety of cathode ray tubes	EN 61965	2003 ³⁾
ISO 31	Series	Quantities and units of space and time	-	-
ISO 780	_ 2)	Packaging - Pictorial marking for handling of goods	EN ISO 780	1999
ISO 1000	- 2)	SI units and recommendations for the use of their multiples and of certain other units	-	-
ISO 1853	_ 2)	Conducting and dissipative rubbers, vulcanized or thermoplastic - Measurement of resistivity	, P	-
ISO 2878	_ 2)	Rubber, vulcanized - Antistatic and conductive products - Determination of electrical resistance	,00	-
ISO 2882	_ 2)	Rubber, vulcanized - Antistatic and conductive products for hospital use - Electrical resistance limits	- 2	-

6) EN 60950-1 is superseded by EN 60950-1:2006, which is based on IEC 60950-1:2005, mod. 7) EN 61558-1 is superseded by EN 61558-1:2005, which is based on IEC 61558-1:2005.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	EN/HD	<u>Year</u>
ISO 3746	- 2)	Acoustics - Determination of sound power	EN ISO 3746	1995
3.		levels of noise sources using sound pressure - Survey method using an enveloping measurement surface over a reflecting plane		
ISO 3864-1	2002	Graphical symbols - Safety colours and safety signs	-	-
		Part 1: Design principles for safety signs in		
		workplaces and public areas		

Annex ZZ (informative)

Coverage of Essential Requirements of EC Directives

This European Standard has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association and within its scope the standard covers all relevant essential requirements as given in Annex I of the EC Directives 90/385/EEC and 93/42/EEC.

Compliance with this standard provides one means of conformity with the specified essential requirements of the Directives concerned.

irectives ma. WARNING: Other requirements and other EC Directives may be applicable to the products falling within the scope of this standard.

CONTENTS

FO	REWO)RD	.11
IN	rodu	JCTION	.13
1	Scop	e, object and related standards	.15
	1.1	* Scope	
	1.2	Object	
	1.3	* Collateral standards	
	1.4	* Particular standards	
2	* Nor	mative references	.16
3	* Ter	minology and definitions	.20
4	Gene	eral requirements	.40
	4.1	* Conditions for application to ME EQUIPMENT or ME SYSTEMS	.40
	4.2	* RISK MANAGEMENT PROCESS for ME EQUIPMENT OF ME SYSTEMS	.40
	4.3	* ESSENTIAL PERFORMANCE	. 4 1
	4.4	* EXPECTED SERVICE LIFE	. 4 1
	4.5	* Equivalent safety for ME EQUIPMENT or ME SYSTEMS	.42
	4.6	* ME EQUIPMENT or ME SYSTEM parts that contact the PATIENT	.42
	4.7	* SINGLE FAULT CONDITION for ME EQUIPMENT	.42
	4.8	Components of ME EQUIPMENT	.43
	4.9	* Use of COMPONENTS WITH HIGH-INTEGRITY CHARACTERISTICS IN ME EQUIPMENT	
	4.10	* Power supply	.44
	4.11	Power input	.45
5	* Ger	neral requirements for testing ME EQUIPMENT	
	5.1	* TYPE TESTS	.46
	5.2	* Number of samples	.46
	5.3	Ambient temperature, humidity, atmospheric pressure	.46
	5.4	Other conditions	.46
	5.5	Supply voltages, type of current, nature of supply, frequency	.47
	5.6	Repairs and modifications	
	5.7	* Humidity preconditioning treatment	
	5.8	Sequence of tests	.48
	5.9	* Determination of APPLIED PARTS and ACCESSIBLE PARTS	.48
6	* Cla	ssification of ME EQUIPMENT and ME SYSTEMS	.50
	6.1	General	. 50
	6.2	* Protection against electric shock	. 50
	6.3	* Protection against harmful ingress of water or particulate matter	
	6.4	Method(s) of sterilization	
	6.5	Suitability for use in an OXYGEN RICH ENVIRONMENT	51
	6.6	* Mode of operation	5 1

7	ME E	QUIPMENT identification, marking and documents	. 51
	7.1	General	. 51
	7.2	Marking on the outside of ME EQUIPMENT or ME EQUIPMENT parts	.53
	7.3	Marking on the inside of ME EQUIPMENT or ME EQUIPMENT parts	.57
	7.4	Marking of controls and instruments	
	7.5	Safety signs	.60
	7.6	Symbols	.61
	7.7	Colours of the insulation of conductors	.61
	7.8	* Indicator lights and controls	.62
	7.9	ACCOMPANYING DOCUMENTS	.62
8	* Pro	tection against electrical HAZARDS from ME EQUIPMENT	.68
	8.1	Fundamental rule of protection against electric shock	
	8.2	Requirements related to power sources	
	8.3	Classification of APPLIED PARTS	
	8.4	Limitation of voltage, current or energy	
	8.5	Separation of parts	
	8.6	* Protective earthing, functional earthing and potential equalization of	
		ME EQUIPMENT	.81
	8.7	LEAKAGE CURRENTS and PATIENT AUXILIARY CURRENTS	.84
	8.8	Insulation	101
	8.9	* CREEPAGE DISTANCES and AIR CLEARANCES	107
	8.10	Components and wiring	122
	8.11	MAINS PARTS, components and layout	124
9	* Pro	tection against MECHANICAL HAZARDS of ME EQUIPMENT and ME SYSTEMS	130
	9.1	MECHANICAL HAZARDS of ME EQUIPMENT	130
	9.2	* HAZARDS associated with moving parts	131
	9.3	* HAZARD associated with surfaces, corners and edges	136
	9.4	* Instability HAZARDS	136
	9.5	* Expelled parts HAZARD	141
	9.6	Acoustic energy (including infra- and ultrasound) and vibration	
	9.7	* Pressure vessels and parts subject to pneumatic and hydraulic pressure	143
	9.8	* HAZARDS associated with support systems	146
10	* Pro	tection against unwanted and excessive radiation HAZARDS	151
	10.1	X-Radiation	151
		Alpha, beta, gamma, neutron and other particle radiation	
		Microwave radiation	
		* Lasers and light emitting diodes (LEDs)	
		Other visible electromagnetic radiation	
		Infrared radiation	
		Ultraviolet radiation	
11		tection against excessive temperatures and other HAZARDS	
		* Excessive temperatures in ME EQUIPMENT	
		* Fire prevention	
		* Constructional requirements for fire ENCLOSURES of ME EQUIPMENT	

	11.4	* ME EQUIPMENT and ME SYSTEMS intended for use with flammable anaesthetics	165
	11.5	* ME EQUIPMENT and ME SYSTEMS intended for use in conjunction with flammable agents	
	11.6	Overflow, spillage, leakage, ingress of water or particulate matter, cleaning,	103
•		disinfection, sterilization and compatibility with substances used with the ME EQUIPMENT	165
	11.7	Biocompatibility of ME EQUIPMENT and ME SYSTEMS	
		* Interruption of the power supply / SUPPLY MAINS to ME EQUIPMENT	
12		curacy of controls and instruments and protection against hazardous outputs	
		Accuracy of controls and instruments	
		USABILITY	
		Alarm systems	
		Protection against hazardous output	
13		ZARDOUS SITUATIONS and fault conditions	
		Specific HAZARDOUS SITUATIONS	
		SINGLE FAULT CONDITIONS	
14		OGRAMMABLE ELECTRICAL MEDICAL SYSTEMS (PEMS)	
		* General	
		* Documentation	
		* RISK MANAGEMENT plan	
		* PEMS DEVELOPMENT LIFE-CYCLE	
		* Problem resolution	
		RISK MANAGEMENT PROCESS	
		* Requirement specification	
		* Architecture	
	14.9	* Design and implementation	179
	14.10) * VERIFICATION	179
	14.11	1 * PEMS VALIDATION	179
	14.12	2 * Modification	180
	14.13	3 * Connection of PEMS by NETWORK/DATA COUPLING to other equipment	180
15	Cons	truction of ME EQUIPMENT	180
	15.1	* Arrangements of controls and indicators of ME EQUIPMENT	180
	15.2	* Serviceability	180
	15.3	Mechanical strength	181
	15.4	ME EQUIPMENT components and general assembly	185
	15.5	* MAINS SUPPLY TRANSFORMERS of ME EQUIPMENT and transformers providing separation in accordance with 8.5	190
16	* ME	SYSTEMS	194
	16.1	* General requirements for the ME SYSTEMS	194
		* ACCOMPANYING DOCUMENTS of an ME SYSTEM	
		* Power supply	
		ENCLOSURES	
		* SEPARATION DEVICES	
	16.6	* LEAKAGE CURRENTS	197
	16.7	* Protection against MECHANICAL HAZARDS	198

16.8 Interruption of the power supply to parts of an ME SYSTEM	198
16.9 ME SYSTEM connections and wiring	198
17 * Electromagnetic compatibility of ME EQUIPMENT and ME SYSTEMS	200
Annex A (informative) General guidance and rationale	201
Annex B (informative) Sequence of testing	307
Annex C (informative) Guide to marking and labelling requirements for ME EQUIPMENT	
and ME SYSTEMS	
Annex D (informative) Symbols on marking	315
Annex E (informative) Examples of the connection of the measuring device (MD) for measurement of the PATIENT LEAKAGE CURRENT and PATIENT AUXILIARY CURRENT	324
Annex F (informative) Suitable measuring supply circuits	326
Annex G (normative) Protection against HAZARDS of ignition of flammable anaesthetic mixtures	329
Annex H (informative) PEMS structure, PEMS DEVELOPMENT LIFE-CYCLE and	
documentation	
Annex I (informative) ME SYSTEMS aspects	
Annex J (informative) Survey of insulation paths	363
Annex K (informative) Simplified PATIENT LEAKAGE CURRENT diagrams	366
Annex L (normative) Insulated winding wires for use without interleaved insulation	369
Bibliography	372
INDEX	375
INDEX OF ABBREVIATIONS AND ACRONYMS	388
Figure 1 – Detachable mains connection	
Figure 2 – Example of the defined terminals and conductors	
Figure 3 – Example of a CLASS I ME EQUIPMENT	
Figure 4 – Example of a metal-enclosed CLASS II ME EQUIPMENT	
Figure 5 – Schematic flow chart for component qualification	
Figure 6 – Standard test finger	
Figure 7 – Test hook	50
Figure 8 – Test pin	71
Figure 9 – Application of test voltage to bridged PATIENT CONNECTIONS for DEFIBRILLATION-PROOF APPLIED PARTS	78
Figure 10 – Application of test voltage to individual PATIENT CONNECTIONS for DEFIBRILLATION-PROOF APPLIED PARTS	80
Figure 11 – Application of test voltage to test the delivered defibrillation energy	

Figure 12 – Example of a measuring device and its frequency characteristics	85
Figure 13 – Measuring circuit for the EARTH LEAKAGE CURRENT of CLASS I ME equipment, with or without APPLIED PART	88
Figure 14 – Measuring circuit for the TOUCH CURRENT	
Figure 15 – Measuring circuit for the PATIENT LEAKAGE CURRENT from the PATIENT CONNECTION to earth	
Figure 16 – Measuring circuit for the PATIENT LEAKAGE CURRENT via the PATIENT CONNECTION(S) of an F-TYPE APPLIED PART to earth caused by an external voltage on the PATIENT CONNECTION(S)	91
Figure 17 – Measuring circuit for the PATIENT LEAKAGE CURRENT from PATIENT CONNECTION(S) to earth caused by an external voltage on a SIGNAL INPUT/OUTPUT PART	92
Figure 18 – Measuring circuit for the PATIENT LEAKAGE CURRENT from PATIENT CONNECTION(S) to earth caused by an external voltage on a metal ACCESSIBLE PART that is not PROTECTIVELY EARTHED	93
Figure 19 – Measuring circuit for the PATIENT AUXILIARY CURRENT	94
Figure 20 – Measuring circuit for the total PATIENT LEAKAGE CURRENT with all PATIENT CONNECTIONS of all APPLIED PARTS of the same type (TYPE B APPLIED PARTS, TYPE BF APPLIED PARTS or TYPE CF APPLIED PARTS) connected together	95
Figure 21 – Ball-pressure test apparatus	107
Figure 22 – Creepage distance and Air Clearance – Example 1	120
Figure 23 – Creepage distance and Air Clearance – Example 2	120
Figure 24 - Creepage distance and air clearance - Example 3	120
Figure 25 - Creepage distance and Air Clearance - Example 4	120
Figure 26 - Creepage distance and Air Clearance - Example 5	120
Figure 27 - Creepage distance and Air Clearance - Example 6	121
Figure 28 - Creepage distance and Air Clearance - Example 7	121
Figure 29 - Creepage distance and Air Clearance - Example 8	121
Figure 30 - Creepage distance and Air Clearance - Example 9	121
Figure 31 - Creepage distance and Air Clearance - Example 10	122
Figure 32 – Ratio between HYDRAULIC TEST PRESSURE and MAXIMUM PERMISSIBLE WORKING PRESSURE	145
Figure 33 – Human body test mass	150
Figure 34 – Spark ignition test apparatus	159
Figure 35 – Maximum allowable current <i>I</i> as a function of the maximum allowable voltage <i>U</i> measured in a purely resistive circuit in an OXYGEN RICH ENVIRONMENT	
Figure 36 – Maximum allowable voltage <i>U</i> as a function of the capacitance <i>C</i> measured in a capacitive circuit used in an OXYGEN RICH ENVIRONMENT	160
Figure 37 – Maximum allowable current <i>I</i> as a function of the inductance <i>L</i> measured in an inductive circuit in an OXYGEN RICH ENVIRONMENT	160
Figure 38 – Baffle	164
Figure 39 – Area of the bottom of an ENCLOSURE as specified in 11.3 b) 1)	164
Figure A.1 – Identification of ME EQUIPMENT, APPLIED PARTS and PATIENT CONNECTIONS in an ECG monitor	207

Figure A.2 – Example of the insulation of an F-TYPE APPLIED PART with the insulation incorporated in the ME EQUIPMENT	208
Figure A.3 – Identification of ME EQUIPMENT, APPLIED PARTS and PATIENT CONNECTIONS in a PATIENT monitor with invasive pressure monitoring facility	209
Figure A.4 – Identification of ME EQUIPMENT, APPLIED PARTS and PATIENT CONNECTIONS in a multifunction PATIENT monitor with invasive pressure monitoring facilities	210
Figure A.5 – Identification of APPLIED PARTS and PATIENT CONNECTIONS in an X-ray ME SYSTEM	211
Figure A.6 – Identification of ME EQUIPMENT, APPLIED PARTS and PATIENT CONNECTIONS in a transcutaneous electronic nerve stimulator (TENS) intended to be worn on the PATIENT'S belt and connected to electrodes applied to the PATIENT'S upper arm	211
Figure A.7 – Identification of ME EQUIPMENT or ME SYSTEM, APPLIED PARTS and PATIENT CONNECTIONS in a personal computer with an ECG module	212
Figure A.8 – Pictorial representation of the relationship of HAZARD, sequence of events, HAZARDOUS SITUATION and HARM	215
Figure A.9 – Example of PATIENT ENVIRONMENT	221
Figure A.10 – Floating circuit	235
Figure A.11 – Interruption of a power-carrying conductor between ME EQUIPMENT parts in separate ENCLOSURES	238
Figure A.12 – Identification of MEANS OF PATIENT PROTECTION and MEANS OF OPERATOR PROTECTION	242
Figure A.13 – Allowable protective earth impedance where the fault current is limited	249
Figure A.14 – Probability of ventricular fibrillation	255
Figure A.15 – Example of a measuring circuit for the PATIENT LEAKAGE CURRENT from a PATIENT CONNECTION to earth for ME EQUIPMENT with multiple PATIENT CONNECTIONS	260
Figure A.16 – Instability test conditions	272
Figure A.17 – Example of determining TENSILE SAFETY FACTOR using Table 21	278
Figure A.18 – Example of determining design and test loads	279
Figure A.19 – Example of human body mass distribution	279
Figure E.1 – Type B APPLIED PART	324
Figure E.2 – Type bf applied part	324
Figure E.3 – Type cf applied part	
Figure E.4 – PATIENT AUXILIARY CURRENT	325
Figure E.5 – Loading of the PATIENT CONNECTIONS if specified by the MANUFACTURER	325
Figure F.1 – Measuring supply circuit with one side of the SUPPLY MAINS at approximately earth potential	326
Figure F.2 – Measuring supply circuit with SUPPLY MAINS approximately symmetrical to earth potential	326
Figure F.3 – Measuring supply circuit for polyphase ME EQUIPMENT specified for connection to a polyphase SUPPLY MAINS	327
Figure F.4 – Measuring supply circuit for single-phase ME EQUIPMENT specified for connection to a polyphase SUPPLY MAINS	327

Figure F.5 – Measuring supply circuit for ME EQUIPMENT having a separate power supply unit or intended to receive its power from another equipment in an ME SYSTEM	328
Figure G.1– Maximum allowable current I_{ZR} as a function of the maximum allowable voltage U_{ZR} measured in a purely resistive circuit with the most flammable mixture of ether vapour with air	335
Figure G.2 – Maximum allowable voltage $U_{\rm ZC}$ as a function of the capacitance $C_{\rm max}$ measured in a capacitive circuit with the most flammable mixture of ether vapour with air	.336
Figure G.3 – Maximum allowable current $I_{\rm ZL}$ as a function of the inductance $L_{\rm max}$ measured in an inductive circuit with the most flammable mixture of ether vapour with air	336
Figure G.4 – Maximum allowable current I_{ZR} as a function of the maximum allowable voltage U_{ZR} measured in a purely resistive circuit with the most flammable mixture of ether vapour with oxygen	340
Figure G.5 – Maximum allowable voltage $U_{\rm ZC}$ as a function of the capacitance $C_{\rm max}$ measured in a capacitive circuit with the most flammable mixture of ether vapour with oxygen	341
Figure G.6 – Maximum allowable current I_{ZL} as a function of the inductance L_{max} measured in an inductive circuit with the most flammable mixture of ether vapour with oxygen	341
Figure G.7 – Test apparatus	
Figure H.1 – Examples of PEMS/ PESS structures	
Figure H.2 – A PEMS DEVELOPMENT LIFE-CYCLE model	
Figure H.3 – PEMS documentation requirements from Clause 14 and ISO 14971:2000	
Figure H.4 – Example of potential parameters required to be specified for NETWORK/DATA COUPLING	356
Figure I.1 – Example of the construction of a MULTIPLE SOCKET-OUTLET (MSO)	
Figure I.2 – Examples of application of MULTIPLE SOCKET-OUTLETS (MSO)	
Figure J.1 – Insulation example 1	
Figure J.2 – Insulation example 2	363
Figure J.3 – Insulation example 3	
Figure J.4 – Insulation example 4	
Figure J.5 – Insulation example 5	
Figure J.6 – Insulation example 6	
Figure J.7 – Insulation example 7	
Figure K.1 – ME EQUIPMENT with an ENCLOSURE made of insulating material	
Figure K.2 – ME EQUIPMENT with an F-TYPE APPLIED PART	366
Figure K.3 – ME EQUIPMENT with an APPLIED PART and a SIGNAL INPUT/OUTPUT PART	
Figure K.4 – ME EQUIPMENT with a PATIENT CONNECTION of a TYPE B APPLIED PART that is not PROTECTIVELY EARTHED	367
Figure K.5 – ME EQUIPMENT with a PATIENT CONNECTION of a TYPE BF APPLIED PART that	269

Table 1 – Units outside the SI units system that may be used on ME EQUIPMENT	60
Table 2 – Colours of indicator lights and their meaning for ME EQUIPMENT	62
Table 3 – * Allowable values of PATIENT LEAKAGE CURRENTS and PATIENT AUXILIARY CURRENTS under NORMAL CONDITION and SINGLE FAULT CONDITION	86
Table 4 – * Allowable values of PATIENT LEAKAGE CURRENTS under the special test conditions identified in 8.7.4.7	87
Table 5 – Legends of symbols for Figure 9 to Figure 11, Figure 13 to Figure 20, Figure A.15, Annex E and Annex F	96
Table 6 – Test voltages for solid insulation forming a MEANS OF PROTECTION	104
Table 7 – Test voltages for MEANS OF OPERATOR PROTECTION	105
Table 8 – Multiplication factors for AIR CLEARANCES for altitudes up to 5 000 m	
Table 9 – Material group classification	109
Table 10 - Mains transient voltage	110
Table 11 – Minimum CREEPAGE DISTANCES and AIR CLEARANCES between parts of opposite polarity of the MAINS PART	112
Table 12 – Minimum CREEPAGE DISTANCES and AIR CLEARANCES providing MEANS OF PATIENT PROTECTION	113
Table 13 – Minimum AIR CLEARANCES providing MEANS OF OPERATOR PROTECTION from the MAINS PART	114
Table 14 – Additional AIR CLEARANCES for insulation in MAINS PARTS with PEAK WORKING VOLTAGES exceeding the peak value of the NOMINAL MAINS VOLTAGE a	115
Table 15 - Minimum AIR CLEARANCES for MEANS OF OPERATOR PROTECTION IN SECONDARY CIRCUITS	116
Table 16 – Minimum Creepage distances providing Means of Operator Protection	117
Table 17 – NOMINAL cross-sectional area of conductors of a POWER SUPPLY CORD	126
Table 18 – Testing of cord anchorages	127
Table 19 – Mechanical hazards covered by this clause	
Table 20 – Acceptable gaps	
Table 21 – Determination of TENSILE SAFETY FACTOR	
Table 22 – Allowable maximum temperatures of parts	
Table 23 – Allowable maximum temperatures for ME EQUIPMENT parts that are likely to be touched	
Table 24 – Allowable maximum temperatures for skin contact with ME EQUIPMENT APPLIED PARTS	154
Table 25 – Acceptable perforation of the bottom of an ENCLOSURE	163
Table 26 – * Temperature limits of motor windings	173
Table 27 – Maximum motor winding steady-state temperature	175
Table 28 – Mechanical strength test applicability	181
Table 29 – Drop height	
Table 30 – Test torques for rotating controls	189

Table 31 – Maximum allowable temperatures of transformer windings under overload and short-circuit conditions at 25 °C (± 5 °C) ambient temperature	191
Table 32 – Test current for transformers	
Table A.1 – Values of AIR CLEARANCE and CREEPAGE DISTANCE derived from Table 7 of IEC 61010-1:2001 and Table 12	
Table A.2 – Creepage distances to avoid failure due to tracking from IEC 60664-1	264
Table A.3 – Instability test conditions	
Table A.4 – Allowable time exposure for level of acceleration	
Table A.5 – Guidance on surface temperatures for ME EQUIPMENT that creates low temperatures (cools) for therapeutic purposes or as part of its operation	
Table C.1- Marking on the outside of ME EQUIPMENT, ME SYSTEMS or their parts	311
Table C.2 – Marking on the inside of ME EQUIPMENT, ME SYSTEMS or their parts	312
Table C.3 – Marking of controls and instruments	
Table C.4 – Accompanying documents, general	
Table C.5 – ACCOMPANYING DOCUMENTS, instructions for use	
Table D.1 – General symbols	
Table D.2 – Safety signs	
Table D.3 – General codes	323
Table G.1 – Gas-tightness of cord inlets	338
Table H.1 – NETWORK/DATA COUPLING classification	
Table I.1 – Some examples of ME SYSTEMS for illustration	359
Table L.1– Mandrel diameter	370
Table 1.0. Over terrarensistan	370
Table L.2 – Oven temperature	5

INTRODUCTION

In 1976, IEC subcommittee 62A published the first edition of IEC/TR 60513, *Basic aspects of the safety philosophy for electrical equipment used in medical practice*. The first edition of IEC/TR 60513 provided the basis for developing:

- the first edition of IEC 60601-1 (the parent safety standard for MEDICAL ELECTRICAL EQUIPMENT);
- the IEC 60601-1-xx series of collateral standards for MEDICAL ELECTRICAL EQUIPMENT:
- the IEC 60601-2-xx series of particular standards for particular types of MEDICAL ELECTRICAL EQUIPMENT; and
- the IEC 60601-3-xx series of performance standards for particular types of MEDICAL ELECTRICAL EQUIPMENT.

Aware of the need and the urgency for a standard covering electrical equipment used in medical practice, the majority of National Committees voted in 1977 in favour of the first edition of IEC 60601-1, based on a draft that at the time represented a first approach to the problem. The extent of the scope, the complexity of the equipment concerned, and the specific nature of some of the protective measures and the corresponding tests for verifying them, required years of effort in order to prepare this first standard, which can now be said to have served as a universal reference since its publication.

However, the frequent application of the first edition revealed room for improvement. These improvements were all the more desirable in view of the considerable success that this standard has enjoyed since its publication.

The careful work of revision subsequently undertaken and continued over a number of years resulted in the publication of the second edition in 1988. This edition incorporated all the improvements that could be reasonably expected up to that time. Further developments remained under constant study. The second edition was amended in 1991 and then again in 1995.

The original IEC approach was to prepare separate BASIC SAFETY and performance standards for MEDICAL ELECTRICAL EQUIPMENT. This was a natural extension of the historical approach taken at the national and international level with other electrical equipment standards (e.g. those for domestic equipment), where BASIC SAFETY is regulated through mandatory standards but other performance specifications are regulated by market pressure. In this context, it has been said that, "The ability of an electric kettle to boil water is not critical to its safe use!"

It is now recognized that this is not the situation with many items of MEDICAL ELECTRICAL EQUIPMENT, and RESPONSIBLE ORGANIZATIONS have to depend on standards to ensure ESSENTIAL PERFORMANCE as well as BASIC SAFETY. Such areas include the accuracy with which the equipment controls the delivery of energy or therapeutic substances to the PATIENT, or processes and displays physiological data that will affect PATIENT management.

This recognition means that separating BASIC SAFETY and performance is somewhat inappropriate in addressing the HAZARDS that result from inadequate design of MEDICAL ELECTRICAL EQUIPMENT. Many particular standards in the IEC 60601-2-xx series address a range of ESSENTIAL PERFORMANCE requirements that cannot be directly evaluated by the RESPONSIBLE ORGANIZATION without applying such standards. (However, the current IEC 60601 series includes fewer requirements for ESSENTIAL PERFORMANCE than for BASIC SAFETY).

In anticipation of a third edition of IEC 60601-1, IEC subcommittee 62A prepared a second edition of IEC/TR 60513 [12]¹⁾ in 1994. It was intended that the second edition of IEC/TR 60513 would provide guidance for developing this edition of IEC 60601-1, and for the further development of the IEC 60601-1-xx and IEC 60601-2-xx series.

In order to achieve consistency in international standards, address present expectations in the health care community and align with developments in IEC 60601-2-xx, the second edition of IEC/TR 60513 includes two major new principles:

- the first change is that the concept of "SAFETY" has been broadened from the BASIC SAFETY considerations in the first and second editions of IEC 60601-1 to include ESSENTIAL PERFORMANCE matters, (e.g. the accuracy of physiological monitoring equipment). Application of this principle leads to the change of the title of this publication from "Medical electrical equipment, Part 1: General requirements for safety" in the second edition, to "Medical electrical equipment, Part 1: General requirements for basic safety and essential performance";
- the second change is that, in specifying minimum safety requirements, provision is made for assessing the adequacy of the design PROCESS when this is the only practical method of assessing the safety of certain technologies such as programmable electronic systems. Application of this principle is one of the factors leading to introduction of a general requirement to carry out a RISK MANAGEMENT PROCESS. In parallel with the development of the third edition of IEC 60601-1, a joint project with ISO/TC 210 resulted in the publication of a general standard for RISK MANAGEMENT of medical devices. Compliance with this edition of IEC 60601-1 requires that the MANUFACTURER have a RISK MANAGEMENT PROCESS complying with ISO 14971 in place (see 4.2).

This standard contains requirements concerning BASIC SAFETY and ESSENTIAL PERFORMANCE that are generally applicable to MEDICAL ELECTRICAL EQUIPMENT. For certain types of MEDICAL ELECTRICAL EQUIPMENT, these requirements are either supplemented or modified by the special requirements of a collateral or particular standard. Where particular standards exist, this standard should not be used alone.

¹⁾ Figures in square brackets refer to the Bibliography.

MEDICAL ELECTRICAL EQUIPMENT -

Part 1: General requirements for basic safety and essential performance

1 Scope, object and related standards

1.1 * Scope

This International Standard applies to the BASIC SAFETY and ESSENTIAL PERFORMANCE of MEDICAL ELECTRICAL EQUIPMENT and MEDICAL ELECTRICAL SYSTEMS, hereafter referred to as ME EQUIPMENT and ME SYSTEMS.

If a clause or subclause is specifically intended to be applicable to ME EQUIPMENT only, or to ME SYSTEMS only, the title and content of that clause or subclause will say so. If that is not the case, the clause or subclause applies both to ME EQUIPMENT and to ME SYSTEMS, as relevant.

HAZARDS inherent in the intended physiological function of ME EQUIPMENT or ME SYSTEMS within the scope of this standard are not covered by specific requirements in this standard except in 7.2.13 and 8.4.1.

NOTE See also 4.2.

This standard can also be applied to equipment used for compensation or alleviation of disease, injury or disability.

In vitro diagnostic equipment that does not fall within the definition of ME EQUIPMENT is covered by the IEC 61010 series ²). This standard does not apply to the implantable parts of active implantable medical devices covered by ISO 14708-1 ³).

1.2 Object

The object of this standard is to specify general requirements and to serve as the basis for particular standards.

1.3 * Collateral standards

In the IEC 60601 series, collateral standards specify general requirements for BASIC SAFETY and ESSENTIAL PERFORMANCE applicable to:

- a subgroup of ME EQUIPMENT (e.g. radiological equipment);
- a specific characteristic of all ME EQUIPMENT not fully addressed in this standard.

Applicable collateral standards become normative at the date of their publication and shall apply together with this standard.

NOTE 1 When evaluating compliance with IEC 60601-1, it is permissible to independently assess compliance with the collateral standards.

²⁾ IEC 61010 (all parts), Safety requirements for electrical equipment for measurement, control, and laboratory

³⁾ ISO 14708-1, Implants for surgery – Active implantable medical devices – Part 1: General requirements for safety, marking and for information to be provided by the manufacturer