CEN

WORKSHOP

AGREEMENT

CWA 17027-114

May 2016

ICS 03.100.10; 35.240.20; 35.240.60

English version

Business Interoperability Interfaces for Public Procurement in Europe - E-Tendering - Part 114: Profile BII49 Qualification

This CEN Workshop Agreement has been drafted and approved by a Workshop of representatives of interested parties, the constitution of which is indicated in the foreword of this Workshop Agreement.

The formal process followed by the Workshop in the development of this Workshop Agreement has been endorsed by the National Members of CEN but neither the National Members of CEN nor the CEN-CENELEC Management Centre can be held accountable for the technical content of this CEN Workshop Agreement or possible conflicts with standards or legislation.

This CEN Workshop Agreement can in no way be held as being an official standard developed by CEN and its Members.

This CEN Workshop Agreement is publicly available as a reference document from the CEN Members National Standard Bodies.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

© 2016 CEN All rights of exploitation in any form and by any means reserved worldwide for CEN national Members.

Ref. No.:CWA 17027-114:2016 E

This document is a preview generated by EVS

Contents

Page

European foreword 3
Introduction 7
1 Scope 8
1.1 Introduction 8
1.2 Goals 8
1.3 Business environment 8
1.3.1 Introduction 8
1.3.2 Tendering procedures 9
2 Requirements 10
2.1 High level business requirements 10
2.2 Key examples 10
2.2.1 Example 1 10
2.2.2 Example 2 10
3 Profile detailed description 11
3.1 Business processes in scope 11
3.2 Parties and roles involved 12
3.3 Qualification Process 13
3.4 Qualification transaction business requirements 15
3.4.1 Trdm086 Qualification transaction 15
3.4.2 Trdm042 Qualification reception confirmation transaction 20
4 Implementation 24
5 References 24

This document is a preview generated by EVS

European foreword

CWA 17027-114, **Profile BII49 - Qualification** was developed in accordance with CEN-CENELEC Guide 29 “CEN/CENELEC Workshop Agreements – The way to rapid agreement” and with the relevant provisions of CEN/CENELEC Internal Regulations - Part 2. It was agreed on 2015-12-02 in a Workshop by representatives of interested parties, approved and supported by CEN following a public call for participation made on 2013-02-20. It does not necessarily reflect the views of all stakeholders that might have an interest in its subject matter.

The final text of CWA 17027-114 was submitted to CEN for publication on 2016-04-20. It was developed and approved by:

- Agency for public Management and eGovernment (DIFI), NO
- Agenzia delle entrate, IT
- ANAC, Autorità Nazionale Anticorruzione (formerly AVCP), IT
- Bundesverband Materialwirtschaft, Einkauf und Logistik e.V, DE
- Cloudia Oy, FI
- Columbus Denmark A/S (resigned per 2015-08-01), DK
- Consorzio CBI (resigned per 2014-11-17), IT
- Danish Agency for Digitisation (DIGST), DK
- Department of Health, UK
- Direction de l'information légale et administrative (DILA), FR
- Electronic Signatures and Infrastructures (ESI) TC (liason)
- Flowcanto, NL
- GS 1 in Europe
- Highjump Software (Former Evenex), DK
- ICEPRO, ISA
- Infoterm - International Information Centre for Terminology on behalf of CEN/WS/eCAT (liason)
- Invinet Sistemes 2003, ES
- Laszlo Ketszeri, HU
- Nets Norway AS, NO
- Nexus IT,ES
- Phast, FR

This document is a preview generated by EVS

— PIANOo, NL

— Poste Italiane, IT

— Publications Office of the European Union (LU)

— Single face To Industry (SFTI), SE

— SOGEI (former Consip), IT

— Statens og Kommunernes Indkobs Service A/S (SKI A/S), DK

— University of Koblenz-Landau, DE

— University of Piraeus Research Center (UPRC), GR

— Western Norway Regional Health Authority, NO

It is possible that some elements of CWA 17027 may be subject to patent rights. The CEN-CENELEC policy on patent rights is set out in CEN-CENELEC Guide 8 “Guidelines for Implementation of the Common IPR Policy on Patents (and other statutory intellectual property rights based on inventions)”. CEN shall not be held responsible for identifying any or all such patent rights.

The Workshop participants have made every effort to ensure the reliability and accuracy of the technical and non-technical content of CWA 17027, but this does not guarantee, either explicitly or implicitly, its correctness. Users of CWA 17027 should be aware that neither the Workshop participants, nor CEN can be held liable for damages or losses of any kind whatsoever which may arise from its application. Users of CWA 17027 do so on their own responsibility and at their own risk.

This CEN Workshop Agreement (CWA) has been drafted and approved by the Workshop on **Business Interoperability Interfaces for Public procurement in Europe (BII)**, phase 3 – CEN WS/BII 3.

CWA 17027 is part of a set of CWAs prepared by CEN WS/BII 3[1]:

CWA 17025:2016 Methodology and Architecture
CWA 17026:2016 Notification profiles and transactions
CWA 17027:2016 Tendering profiles and transactions
CWA 17028:2016 Catalogue profiles and transactions
CWA 17029:2016 Post-award profiles and transactions

CWA 17027 part 114 replaces CWA 16560:2013, annex A.

CWA 17027 consists of the following Parts:

Profiles:

CWA 17027-1 E-Tendering overview
CWA 17027-101 Profile BII11 Advanced Qualification
CWA 17027-102 Profile BII12 Advanced Tendering
CWA 17027-103 Profile BII22 Advanced Call for Tenders
CWA 17027-104 Profile BII34 Advanced Call for Tenders with Pre-award Catalogue Request
CWA 17027-105 Profile BII35 Advanced Tendering with Pre-award Catalogue
CWA 17027-106 Profile BII37 Open Procedure
CWA 17027-107 Profile BII38 Advanced Invitation to Tender
CWA 17027-108 Profile BII39 Restricted Procedure

[1] In order to ease the reading the CWAs provided by the CEN BII initiative, they are also made available on http://www.cenbii.eu together with explanatory notes and supporting material. The official version is however the version as published by CEN.

This document is a preview generated by EVS

CWA 17027-109 Profile BII40 Advanced Invitation to Tender with Pre-award Catalogue Request
CWA 17027-110 Profile BII41 European Single Procurement Document
CWA 17027-111 Profile BII46 Subscribe to Procedure
CWA 17027-112 Profile BII47 Call for Tenders
CWA 17027-113 Profile BII48 Call for Tenders Questions and Answers
CWA 17027-114 Profile BII49 Qualification
CWA 17027-115 Profile BII50 Tender Clarification
CWA 17027-116 Profile BII51 Qualification Rejection
CWA 17027-117 Profile BII52 Invitation to Tender
CWA 17027-118 Profile BII53 Tender Withdrawal
CWA 17027-119 Profile BII54 Tendering
CWA 17027-120 Profile BII56 Virtual Company Dossier
CWA 17027-121 Profile BII58 Notify Awarding
CWA 17027-122 Profile BII59 Contracting
CWA 17027-123 Profile BII60 Tender Status Inquiry

Transaction syntax implementation guidelines (UBL):

CWA 17027-201 UBL Syntax Implementation Guideline for Trdm040 Advanced Call for Tenders
CWA 17027-203 UBL Syntax Implementation Guideline for Trdm042 Qualification Reception Confirmation
CWA 17027-204 UBL Syntax Implementation Guideline for Trdm044 Advanced Tender
CWA 17027-205 UBL Syntax Implementation Guideline for Trdm045 Tender Reception Notification
CWA 17027-212 UBL Syntax Implementation Guideline for Trdm083 Call for Tenders
CWA 17027-215 UBL Syntax Implementation Guideline for Trdm087 Qualification Rejection
CWA 17027-216 UBL Syntax Implementation Guideline for Trdm083 Call for Tenders
CWA 17027-218 UBL Syntax Implementation Guideline for Trdm090 Tender
CWA 17027-221 UBL Syntax Implementation Guideline for Trdm094 Awarding Notification
CWA 17027-224 UBL Syntax Implementation Guideline for Trdm105 Call for Tenders with Pre-award Catalogue Request
CWA 17027-227 UBL Syntax Implementation Guideline for Trdm108 Advanced Invitation to tender
CWA 17027-228 UBL Syntax Implementation Guideline for Trdm109 Advanced invitation to tender with Pre-award Catalogue Request

The CEN Workshop members who have contributed to the development of this document are:

Name	Affiliation	Country
Ansgar Mondorf	University of Koblenz-Landau	DE
Maria Wimmer	University of Koblenz-Landau	DE
Kornelis Drijfhout	PIANOo	NL
Chander Khoenkhoen	PIANOo	NL
Veit Jahns	BME and University of Duisburg-Essen	DE
Frank-Dieter Dorloff	BME and University of Duisburg-Essen	DE
Kerstin Wiss-Holmdahl	SFTI/SALAR	SE
Thomas Pettersson	SFTI	SE
Magnus Matts	SFTI/Swedish Competition Authority	SE
Giovanni Paolo Sellitto	ANAC	IT
Ana Estelrich	Phast	FR
Cécile Guasch	DIGIT - European Commission	BE
Marius Juganaru	DIGIT - European Commission	BE
Marc Christopher Schmidt	DG GROWTH - European Commission	BE
Ole Madsen	DIGST	DK
Are Berg	Difi	NO
Jan Maeroe	Difi	NO
Pål Røynesdal	WNRHA	NO
Jerry Dimitriou	UPRC	GR
Panagiotis Nicolaou	UPRC	GR

This document is a preview generated by EVS

Name	Affiliation	Country
Isabella Rapisarda	Consip	IT
Samuel Dupont	DILA	FR
Sacha Lauzanne	DILA	FR
Natalie Muric	The Publications Office of the European Union	LU
Claire Noël	The Publications Office of the European Union	LU
Christoph Karich	eVergabe	DE
Tom Hombergs	eVergabe	DE
Laszlo Ketszeri	KELER	HU

This document was edited by:

Name	Role	Country
Oriol Bausa	Technical Editor	ES
Georg Birgisson	Technical Editor	IS
Jostein Frømyr	Vice-Chair CEN/BII	NO
Fred van Blommestein	Technical Editor	NL
Yildiray Kabak	Technical Editor	TR
Edmund Gray	Technical Editor	EI
Carmen Ciciriello	Technical Editor	IT

This document is a preview generated by EVS

This document is a preview generated by EVS

Introduction

The first CEN BII Workshop was established in May 2007 with the objective of harmonising electronic procurement in Europe. The CEN BII initiative, which now comprises a further two CEN Workshops, is a standardisation activity within CEN (European Committee for Standardisation). It provides a framework for interoperability in pan-European electronic transactions expressed as a set of technical specifications.

During the second phase of the initiative (CEN WS/BII 2) a set of technical specifications – “CEN BII Profiles” – were documented and published as five CEN Workshop Agreements (CWAs), which are currently used across Europe. The profiles are designed to facilitate effective public e-procurement based on a modular approach for implementation, with a focus on global interoperability.

The CEN BII profiles can be seen as “agreements” on message contents and business processes. The profile descriptions focus on core information elements that typically cater to the majority of user requirements applicable across Europe and lower the need for detailed bilateral agreements between the trading partners.

In its third phase (CEN WS/BII 3), which began in March 2013 with the approval of the business plan, the Workshop has focused on ensuring that all relevant aspects of e-procurement are covered, including additional business requirements coming from the new Public Procurement Directives[2] and alignment to other standardization efforts in the area of electronic invoicing, as well as ensuring wider recognition and adoption of its deliverables.

More information about the CEN BII initiative can be found on www.cenbii.eu.

[2] Directive 2014/24/EU on public procurement – replaces Directive 2004/18/EC ("classic Directive"), Directive 2014/25/EU on procurement by entities operating in the water, energy, transport and postal services sectors – replaces Directive 2004/17/EC ("utilities Directive"), and Directive 2014/23/EU on the award of concession contracts (new)

1 Scope

1.1 Introduction

Profile BII49 Qualification describes a process providing electronic messaging support for identifying and verifying the capabilities of any economic operator that wants to present an offer in a particular tendering procedure (pre-awarding phase). It is for the business process of tendering in a pre-awarding phase. It is intended for use by businesses and purchasing authorities in procedures where access to participation is restricted to pre-qualified economic operators.

The key aspects covered by this profile are:

- An economic operator can use this profile to submit qualification documents to a contracting authority as specified in the Call for Tender documents.
- The profile can be used for pre-qualification as part of a restricted, negotiated or competitive dialogue tendering procedure or as qualification in an open procedure.
- The qualification transaction is specific to a particular call for tenders.
- The contracting authority evaluates the qualification information and reports his decision, positive or negative, to the economic operator. The decision reporting is out of the scope of this profile.
- In the case of a positive qualification response the contracting authority can continue with the tendering process. The positive qualification response may contain an invitation to submit a tender (not in this profile).

In an open procedure (profile BII37), this profile is not used, but the qualification is sent together with the tender, an economic operator submits. Submitting a tender with qualification is defined in profile BII54 Tendering.

The restricted procedure in which this profile is used is defined in profile BII39 Restricted Procedure.

In this profile most qualification information is included in (unstructured) attachments (basic maturity level). In BII Profile 11 Advanced Qualification this information can also be defined in a structured way (advanced maturity level).

This profile is identified in the transactions by the ProfileID
`urn:www.cenbii.eu:profile:bii49:ver3.0`

1.2 Goals

The main business benefits to be gained by implementing this profile are:

ID	Description
G54-001	The profile enables trading partners to communicate without a previous bi-lateral setup or agreements.
G54-002	The electronic Tender allows the contracting body to install a systematic invitation process.
G54-003	By leaving most tendering information unstructured in annexed documents, implementation barriers are low.
G54-004	Economic operators can automatically generate participation requests issuing qualification documents.
G54-005	Receipt of tendering information allows for a semi-automated tendering process.

1.3 Business environment

1.3.1 Introduction

The intended scope for this profile includes public procurement, but the profile may also be used in Business to Business (B2B) relations.

This document is a preview generated by EVS