### INTERNATIONAL STANDARD

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# Activities relating to drinking water and wastewater services — Guidelines for the management of basic on-site domestic wastewater services

Activités relatives aux services de l'eau potable et des eaux usées — Lignes directrices pour la gestion sur site des services d'eaux usées domestiques de base





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Co	ntent	<b>itents</b> Pag	
Fore	word		<b>v</b>
Intr	oductio	n	vi
1	Scon	e	1
2		native references	
3		ns and definitions	
4	Objectives		
4	4.1	General	
	4.2	Protection of public health	3
	4.3	Protection of users and operators	
	4.4	Meeting the needs and expectations of users	
	4.5	Provisions of services under normal and emergency situations	4
	4.6	Sustainability of basic on-site domestic wastewater systems	4
	4.7 4.8	Promotion of sustainable development of the community Protection of the environment	
_			
5	<b>Com</b> 5.1	ponents of basic on-site domestic wastewater systems  General	
	5.2	Basic on-site domestic wastewater systems	
	0.2	5.2.1 User interface	
		5.2.2 Collection	
		5.2.3 Transportation	
		5.2.4 Treatment	
		5.2.5 Disposal/reuse	
6	Management of basic on-site domestic wastewater systems		
	6.1	General	
	6.2 6.3	Independent management of system function and stakeholder communication	10 11
	0.3	6.3.1 Developing objectives and establishing action plans	
		6.3.2 Financial sustainability of the system	
		6.3.3 Sustainability of the assets	
		6.3.4 Customer relations	
	6.4	Stakeholder relations	
		6.4.1 Developing plans for support of stakeholders	12
		6.4.2 Education and/or training of stakeholders	13
	6.5	Environmental management Risk management	13
	6.6		
7		ning and construction	15
	7.1	Planning and construction of basic on-site domestic wastewater systems	
	7.2	Criteria for selecting appropriate basic on-site domestic wastewater technologies	
	7.3 7.4	User interface Collection	
	7.4	Transportation	
	7.6	Treatment	
	7.7	Disposal/reuse	
8	Operation and maintenance		
	8.1	General	
	8.2	Developing operational plans and instructions	
	8.3	Developing maintenance plans and instructions	
	8.4	Developing plans and instructions for collection of waste	
	8.5	Developing plans and instructions for transportation of waste	
9		th and safety issues	
	9.1	Health and safety measures and training	21

#### ISO 24521:2016(E)

9.2 Public health programmes	22
nnex A (informative) Corresponding terms in English, French and Spanish	
nnex B (informative) Schematics of basic on-site domestic wastewater systems	
bliography	55
6	
	/_
	7
	O'

#### Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: <a href="www.iso.org/iso/foreword.html">www.iso.org/iso/foreword.html</a>.

The committee responsible for this document is Technical Committee ISO/TC 224, Service activities relating to drinking water supply systems and wastewater systems — quality criteria of the service and performance indicator.

#### Introduction

#### 0.1 Water issues: global context and policies framework

Water constitutes a worldwide challenge for the 21st century, both in terms of the management of available water resources and the provision of access to drinking water and sanitation for the world's population. In 2000, the United Nations recognized that access to water is an essential human right and, in conjunction with national governments, it set ambitious goals (the "Millennium Development Goals") to increase access to drinking water and wastewater services, including safe disposal or reuse of residues (jointly referred to as "water services" in this International Standard), particularly in developing countries. International conferences on sustainable development and water (e.g. the World Summit on Sustainable Development in Johannesburg in September 2002, the third World Water Forum in Kyoto in March 2003 and the fourth World Water Forum in Mexico City in March 2006) have highlighted this issue, and UN agencies (including WHO and UNESCO) have developed recommendations and programmes to establish a framework in which to advance.

The United Nations Commission on Sustainable Development has emphasised that governments have a primary role in promoting improved access to safe drinking water and basic sanitation through improved governance at all levels and appropriate enabling environments and regulatory frameworks, with the active involvement of all stakeholders.

NOTE Governments are referred to as "relevant authorities" in ISO 24510, ISO 24511 and ISO 24512).

If institutional solutions are incorporated into this process, the water sector becomes more productive and the management of water resources becomes more sustainable. Declarations from the World Water Forum have recommended that governments endeavour to reinforce the role of parliaments and local public authorities, particularly with regard to the provision of adequate water services, and have recognized that an effective collaboration with and between these actors is a key factor for meeting water-related challenges and goals.

Examples of key issues for efficient drinking water and sanitation services policy frameworks are:

- clearly defining the roles of the different stakeholders;
- establishing how sanitary rules and organization are defined and assessed;
- establishing processes to ensure consistency between the policies regarding urban development and water utility infrastructure;
- regulating water withdrawal and wastewater discharge;
- providing information to users and communities.

#### 0.2 Water utilities: general objectives

In addition to public health protection, sound management of the drinking water and wastewater utilities (jointly referred to as "water utilities" in this International Standard) is an essential element of integrated water resources management. When applied to these utilities, sound management practices will contribute, both quantitatively and qualitatively, to sustainable development. Sound utility management also contributes to social cohesion and economic development of the communities served, because the quality and efficiency of water services have implications for virtually all activities of society.

As water is considered to be a social good and activities related to water services support the three aspects (economic, social and environmental) of sustainable development, it is logical that the management of water utilities be transparent to, and inclusive of, all stakeholders identified in accordance with the local context.

There is a broad array of stakeholders that can play a role in activities related to water services. Examples of such stakeholders include:

- governments or public agencies (international, national, regional or local);
- associations of the utilities themselves (e.g. international, regional/multinational and national drinking water or wastewater associations);
- autonomous bodies seeking to play an overview role (e.g. organizations concerned, such as nongovernmental organizations);
- users and associations of water users.

The relationships between stakeholders and water utilities vary around the world. In many countries, there are bodies that have responsibility (in whole or in part) for overseeing the activities related to water services, whether the utilities are publicly or privately owned or operated, and whether they are regulated by relevant authorities or acting in a system of technical self-regulation. Standardization and technical self-regulation are possible ways of ensuring involvement of all stakeholders and meeting the subsidiarity principle.

The aim of water utilities is to offer services to everybody in the area of responsibility of the utility, to provide users with a continuous supply of drinking water and to collect and treat wastewater under economic and social conditions that are acceptable to the users and to the utility. Water utilities are expected to meet the requirements of relevant authorities and the expectations specified by the responsible bodies in conjunction with the other stakeholders, while ensuring the long-term sustainability of the service. In a context of scarcity of resources, including financial resources, it is advisable that the investments made in installations be appropriate and that necessary attention be paid to proper maintenance and effective use of the installations. It is advisable that water tariffs generally aim at meeting cost-recovery principles and at promoting efficiency in the use of the resources, while striving to maintain affordable basic access to water services.

It is advisable that the stakeholders be involved in both setting service objectives and assessing the adequacy and efficiency of service.

#### 0.3 Objectives, content and implementation of ISO standards addressing water services

The ISO standards addressing water services are ISO 24510 (service-oriented), ISO 24511 and ISO 24512 (both management-oriented). The objective of these ISO standards is to provide the relevant stakeholders with guidelines for assessing and improving the service to users and guidance for managing water utilities, consistent with the overarching goals set by the relevant authorities.

#### ISO 24510 contains the following:

- a brief description of the components of the service relating to the users;
- core objectives for the service, with respect to the needs and expectations of users;
- guidelines for satisfying the needs and expectations of users;
- assessment criteria for service to users in accordance with the provided guidelines:
- examples of performance indicators linked to the assessment criteria that can be used for assessing the performance of the service.

#### ISO 24511 and ISO 24512 contain the following:

- a brief description of the physical/infrastructural and managerial/institutional components of water utilities;
- core objectives for water utilities, considered to be globally relevant at the broadest level;
- guidelines for the management of the water utilities;

guidelines for the assessment of the water services with service assessment criteria related to the
objectives, and performance indicators linked to these criteria.

The performance indicators presented in ISO 24510, ISO 24511 and ISO 24512 are for illustrative purposes only, because assessing the service to users cannot be reduced to a single or universal set of performance indicators.

The quality of the supplied water (or discharged wastewater) can be adversely impacted between the point-of-delivery (or, in the case of wastewater, the point-of-collection) and the point-of-use (or, in the case of wastewater, the point-of-discharge) by the installations inside the premises. Some stakeholders (e.g. relevant authorities, owners, contractors and users) can have a role to play regarding this issue.

Recognizing that the organization of water utilities differs from country to country, the guidelines given in ISO 24510, ISO 24511 and ISO 24512 focus on the needs and expectations of users and on the water services themselves, without imposing a means of meeting those needs and expectations, in order to permit the broadest possible use of ISO 24510, ISO 24511 and ISO 24512 while respecting the cultural, socio-economic, climatic, health and legislative characteristics of the different countries and regions of the world. In the short term, it might not always be possible to meet the expectations of local users, due to factors such as climate conditions, resource availability and difficulties relating to the economic sustainability of the water services, particularly regarding financing and the capacity of users to pay for improvements. These conditions can limit the achievement of some objectives or restrict the implementation of some recommendations in developing countries. However, ISO 24510, ISO 24511 and ISO 24512 are drafted with such constraints in mind and, for example, allow for differing levels of fixed networks and the need for on-site alternatives. Notwithstanding the need for flexibility in terms of engineering and hardware, many recommendations in ISO 24510, ISO 24511 and ISO 24512, such as consultation mechanisms, are intended to apply universally.

In order to assess and improve the service to users and to ensure proper monitoring of the improvements, an appropriate number of performance indicators (PIs) or other methods can be established for checking conformity with requirements. Stakeholders can select PIs from the examples given in ISO 24510, ISO 24511 and ISO 24512, or develop other relevant PIs taking into account the principles described. The PIs logically relate to the objectives for which they are defined through the assessment criteria, and are used to measure performance. They can also be used to set required or targeted values. ISO 24510, ISO 24511 and ISO 24512 do not impose any specific indicator or any minimum value or performance range; they respect the principle of adaptability to local contexts, facilitating local implementation. ISO 24510, ISO 24511 and ISO 24512 can serve to assess progress towards water policy goals and the objectives of financing programmes, by providing guidelines for the continual improvement and for the assessment of the service.

ISO 24510, ISO 24511 and ISO 24512 are consistent with the principle of the "Plan-Do-Check-Act" (PDCA) approach: they propose a step-by-step process, from identifying the components and defining the objectives of the utility to establishing performance indicators, with a loop back to the objectives and to the management, after having assessed the performances. ISO 24510, ISO 24511 and ISO 24512 are consistent with management systems standards such as ISO 9001 and ISO 14001. Implementation of an overall ISO 9001 and/or ISO 14001 management system can facilitate the implementation of the guidelines contained within ISO 24510, ISO 24511 and ISO 24512; conversely, these guidelines can help to achieve the technical provisions of ISO 9001 and ISO 14001 for organizations choosing to implement them.

#### 0.4 Basic on-site domestic wastewater services

The absence of basic on-site sanitation services globally is a major inhibitor of achieving global societal goals of improving public health and economic development. This situation prevails in both developed and developing countries. Although often thought of as a rural problem, it is also a fact for many periurban and urbanized areas. According to the United Nations (see Reference [5]), 2,1 billion people gained access to improved sanitation facilities between 1990 and 2015. However, by 2015, 2,4 billion people still lacked improved sanitation and 946 million people, accounting for 13 % of the world's population, practiced open defecation.

Poor sanitation facilities are often linked to contaminated water sources, which in turn are linked to transmission of diseases such as cholera, diarrhoea, dysentery, hepatitis A and typhoid. In addition,

such conditions are often exacerbated by inadequate or absent health care facilities, which exposes already vulnerable patients to additional risk of infection and disease. UNICEF estimates that diarrhoea is the second largest killer of children under the age of five in the developing world and this is caused largely by poor sanitation and inadequate hygiene.

The environmental and public health impacts of the lack of sanitation facilities depend on the density of the population. In sparsely populated rural and remote areas, the lack of sanitation facilities might not result in significant risk as urine and faecal deposits (also referred to as "open defecation") might be handled ecologically to a satisfactory level. However, as population density increases, a point is reached where the failure of natural absorption or decomposition processes become both a public health and an environmental risk. In such circumstances, basic on-site wastewater services can be installed. These can be either on the scale of a single family unit or on a community scale, although the latter requires collection systems of some type and can include transportation and disposal. Regardless of the type of on-site domestic wastewater services in place, its scale or the level of technology installed, the services and processes need to be managed to ensure effective operation within the socio-economic and cultural conditions.

Management of on-site domestic wastewater services of all types and at all levels of technology requires an understanding of the biological processes at work, the factors that can inhibit those processes and the means of ensuring those processes are functioning. It also involves a general understanding by the wider community served of the benefits of sanitation system use and management. In this way, the sanitation facilities work efficiently and help sustain the community in which they are located. Management of the services is often considered to be the responsibility of the relevant authority, whether it is local or supported by larger scale water utilities. However, in many instances, the management of the basic on-site domestic wastewater services is the responsibility of the user in collaboration with the local authorities.

Many of these basic on-site sanitation systems are located near or adjacent to sanitation services, under professional supervision and operation. In many cases, the basic on-site systems can be supported by the nearby larger scale wastewater services, e.g. through the collection of wastewater or partially treated sanitary effluents for further treatment/disposal in the larger facility. This symbiotic relationship provides an opportunity for small scale sanitation facilities without needing to host all the technology or experienced wastewater treatment systems and staff on-site. In other cases, the management of the large scale facility can provide supervisory technical services to the neighbouring basic system operators, to help train and ensure effective treatment levels.

This International Standard provides guidelines on the management of such basic on-site domestic wastewater services with a focus on improving hygiene, taking into account social norms through stakeholder communication, management of assets and better management of human waste and wastewater.

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## Activities relating to drinking water and wastewater services — Guidelines for the management of basic on-site domestic wastewater services

#### 1 Scope

This International Standard provides guidance for the management of basic on-site domestic wastewater services, using appropriate technologies in their entirety at any level of development.

This International Standard supplements and is intended to be used in conjunction with ISO 24511. It includes the following:

- guidelines for the management of basic on-site domestic wastewater services from the operator's perspective, including maintenance techniques, training of personnel and risk considerations;
- guidelines for the management of basic on-site domestic wastewater services from the perspective of users;
- guidance on the design and construction of basic on-site domestic wastewater systems;
- guidance on planning, operation and maintenance, and health and safety issues.

The following are outside the scope of this International Standard:

- limits of acceptability for wastewater discharged into a receiving body;
- analytical methods;
- the management structure of sanitary waste/wastewater service activities of operation and management;
- the content of contracts or subcontracts.

This International Standard is applicable to both publicly and privately operated basic on-site domestic wastewater (black and grey water) services, for one or more dwellings.

NOTE 1 Management of on-site domestic wastewater, especially in rural areas and areas under development, is sometimes provided by the owners of the premises where wastewater is generated. In such cases, the owners of the premises carry out the management of domestic wastewater by themselves. In this International Standard, the term "services" includes "self-services" provided by the owners of the premises.

NOTE 2 Especially in undeveloped areas, domestic wastewater is collected in an undiluted form (i.e. sanitary waste). Sources of sanitary waste/wastewater in this International Standard are residential, excluding storm water runoff.

NOTE 3 Annex A contains a table of correspondence between equivalent terms in English, French and Spanish.

NOTE 4  $\frac{Annex\ B}{Annex\ B}$  gives some examples of schematics of basic on-site domestic wastewater systems and components.

#### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.