# INTERNATIONAL STANDARD

ISO/IEC 19794-2

Second edition 2011-12-15

# Information technology — Biometric data interchange formats —

Part 2:

Finger minutiae data

Technologies de l'information — Formats d'échange de données biométriques —

Partie 2: Données du point caractéristique du doigt





## © ISO/IEC 2011

4uced or utilized in any for ring from either ISO at All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

Case postale 56 • CH-1211 Geneva 20 Tel. + 41 22 749 01 11 Fax + 41 22 749 09 47 E-mail copyright@iso.org Web www.iso.org

Published in Switzerland

# **Contents** Page

Forewo	ord	vi
Introdu	uction	.vii
1	Scope	1
2	Conformance	1
3	Normative references	2
4	Terms and definitions	2
5	Abbreviated terms	3
6 6.1 6.2 6.3 6.4 6.5 6.6	Minutiae extraction Purpose Minutia description Minutia type Minutia location Minutiae direction Core and delta placement Encoding of multibyte quantities	3 3 4 6
7 7.1 7.2 7.3	Finger minutiae format types	8 8
8 8.1 8.2 8.3 8.4 8.5	Finger minutiae record format	9 9 .10 .11
9 9.1 9.2 9.3 9.4 9.5	Finger minutiae on-card comparison format	.29 .30 .30
10	Registered format type identifiers	
Annex	A (normative) Conformance test methodology	.37
Annex	B (normative) Record format diagrams	.38
Annex	C (informative) Example data record	.41
Annex	D (informative) Handling of finger minutiae card formats	.45
Annex	E (normative) Capture device certifications	.47
Annex	F (normative) Detailed description of finger minutiae location, direction, and type	.71
Bibliog	ıraphy	.93

# ISO/IEC 19794-2:2011(E)

# **Figures**

Figure 1 — Coordinate system	4
Figure 2 — Location and direction of a ridge ending (encoded as valley skeleton bifurcation point)	5
Figure 3 — Location and direction of a ridge bifurcation (encoded as ridge skeleton bifurcation point)	5
Figure 4 — Location and direction of a ridge skeleton endpoint	6
Figure 5 — Example core and delta placement	8
igure 6 — Image quality layout	16
Figure 7 — Example ridge count data	23
Figure 8 — Eight-minutiae neighbourhood	24
Figure 9 — Four-minutiae neighbourhood	25
Tables	
Table 1 — Format type options	9
Table 2 — General header	10
Table 3 — Finger minutiae representation format	12
Table 4 — Extended data areas	15
Table 5 — Capture device technology ID	16
Table 6 — Identifiers for certification schemes specified in the annexes	
Table 7 — Finger position codes	19
Table 8 — Finger impression codes	19
Table 9 — Qualified finger minutia pixel record format	
Table 10 — Finger minutia pixel record format	21
Table 11 — Extended data area type codes	22
Table 12 — Ridge count extraction method codes	23
Table 13 — Example ridge count data (non-specific extraction method, RCE method = 00 <sub>Hex</sub> )	
Table 14 — On-card biometric comparison format	30
Table 15 — DO Biometric comparison algorithm parameters	31
Table 16 — Data object for number of minutiae	32
Table 17 — Data object for minutiae order	32

# ISO/IEC 19794-2:2011(E)

Table 18 — Values for minutiae order indication	32
Table 19 — Biometric data template	35
Table 20 — Encoding of feature handling indicator	36
Table 21 — Format type identifiers	36
Table E.1 — Preferred capture sizes	48
Table E.2 — MTF Requirement using sine wave target	50
Table E.3 — CTF Requirement using bar target (nominal test frequencies)	50
Table E.4 — Basic requirements	55
Table E.5 — CTF and MTF Requirements at nominal test frequencies	57
Table E.6 — Minimum and maximum modulation	66
Table E.7 — Dimensions of the target structures	67
So do control of the	5
© ISO/IEC 2011 – All rights reserved	V

# **Foreword**

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights.

ISO/IEC 19794-2 was prepared by Joint Technical Committee ISO/IEC JTC 1, Information technology, Subcommittee SC 37, Biometrics.

This second edition cancels and replaces the first edition (ISO/IEC 19794-2:2005). It reflects the harmonization across the second generation of ISO/IEC 19794. A new Clause 7 has been added to describe the finger minutiae format types; Clause 8 contains descriptions of the harmonized general and representation headers; and Clauses 8 and 9 have been technically revised. All annexes have been technically revised. Annex A is under development and will contain an amendment for conformance testing methodology for this part of ISO/IEC 19794. The former Annex B "Fingerprint Image Quality Specifications" has been removed. Annex E contains three examples of capture device certifications. Annex F provides descriptions of fingerprint minutiae location, direction, and type.

ISO/IEC 19794 consists of the following parts, under the general title Information technology — Biometric data interchange formats:

- Part 1: Framework
- Part 2: Finger minutiae data
- Part 3: Finger pattern spectral data
- Part 4: Finger image data
- Part 5: Face image data
- Part 6: Iris image data
- Part 7: Signature/sign time series data
- Part 8: Finger pattern skeletal data
- Part 9: Vascular image data
- Part 10: Hand geometry silhouette data
- Part 11: Signature/sign processed dynamic data
- Part 13: Voice data
- Part 14: DNA data

# Introduction

ISO/IEC 19794 is a series of International Standards being developed by ISO/IEC JTC 1/SC 37 that supports interoperability and data interchange among biometric applications and systems. The ISO/IEC 19794 series specifies requirements that solve the complexities of applying biometrics to a wide variety of personal recognition applications, whether such applications operate in an open systems environment or consist of a single, closed system. Additional information regarding the series is provided in ISO/IEC 19794-1.

In the interest of implementing interoperable biometric recognition systems, this part of ISO/IEC 19794 establishes a data interchange format for minutiae. It is relevant for systems or components dealing with generating, processing, and storing minutiae data. Representation of fingerprint data using minutiae is a widely used technique in many application areas.

This part of ISO/IEC 19794 defines specifics of the extraction of key points (called minutiae) from fingerprint ridge patterns. These specifics include a description of the types of minutiae identified, the method used for a de ch minut. the placement of minutiae on an image, a definition of the coordinate system used, and the methods used to calculate the angle associated with each minutia.

This document is a previous general ded by tills

# Information technology — Biometric data interchange formats —

# Part 2:

# Finger minutiae data

# 1 Scope

This part of ISO/IEC 19794 specifies a concept and data formats for representation of fingerprints using the fundamental notion of minutiae. It is generic, in that it may be applied and used in a wide range of application areas where automated fingerprint recognition is involved. This part of ISO/IEC 19794 contains definitions of relevant terms, a description of how minutiae are to be determined, data formats for containing the data for both general use and for use with cards, and conformance information. Guidelines and values for comparing and decision parameters are provided.

NOTE Although ISO/IEC 19794-4 covers both finger and palm image data, this part of ISO/IEC 19794 only covers finger minutiae and is not applicable to palms.

# 2 Conformance

A biometric data record conforms to this part of ISO/IEC 19794 if it satisfies all of the normative requirements related to:

- a) its data structure, data values and the relationships between its data elements, as specified throughout Clause 8 for the finger minutiae record format and Clause 9 for the finger minutiae on-card biometric comparison format of this part of ISO/IEC 19794;
- b) the relationship between its data values and the input biometric data from which the biometric data record was generated, as specified throughout Clause 8 for the finger minutiae record format and Clause 9 for the finger minutiae on-card biometric comparison format of this part of ISO/IEC 19794.

A system that produces biometric data records is conformant to this part of ISO/IEC 19794 if all biometric data records that it outputs conform to this part of ISO/IEC 19794 (as defined above) as claimed in the Implementation Conformance Statement (ICS) associated with that system. A system does not need to be capable of producing biometric data records that cover all possible aspects of this part of ISO/IEC 19794, but only those that are claimed to be supported by the system in the ICS.

A system that uses biometric data records is conformant to this part of ISO/IEC 19794 if it can read, and use for the purpose intended by that system, all biometric data records that conform to this part of ISO/IEC 19794 (as defined above) as claimed in the ICS associated with that system. A system does not need to be capable of using biometric data records that cover all possible aspects of this part of ISO/IEC 19794, but only those that are claimed to be supported by the system in an ICS.

# 3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 19785-3:2007, Information technology — Common Biometric Exchange Formats Framework — Part 3: Patron format specifications

ISO/IEC 19794-1:2011, Information technology — Biometric data interchange formats — Part 1: Framework

ISO/IEC 7816-11:2004, Identification cards — Integrated circuit cards — Part 11: Personal verification through biometric methods

# 4 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19794-1 and the following apply.

### 4.1

## algorithm

sequence of instructions that tell a biometric system how to solve a particular problem

NOTE An algorithm will have a finite number of steps and is typically used by the biometric engine (i.e., the biometric system software) to compute whether a biometric sample and template are the same.

## 4.2

## end user

person who interacts with a biometric system to enrol or have his/her identity checked

NOTE Compare with the definition of "user" in ISO/IEC 19794-1.

# 4.3

# live-scan print

fingerprint image that is produced by scanning or imaging a live finger to generate an image of the friction ridges

## 4.4

# population

set of end users for the application

# 4.5

# ridge skeleton endpoint

minutia assigned to the location at which a ridge skeleton ends

NOTE A ridge skeleton endpoint is defined as the ending of the skeleton of a ridge.

# 4.6

# template/reference template

data, which represents the biometric measurement of an enrollee, used by a biometric system for comparison against subsequently submitted biometric samples

NOTE This term is not restricted to mean only data used in any particular recognition method, such as template comparison.

## 4.7

# valley bifurcation

point at which a valley splits into two valleys or, alternatively, where two separate valleys combine into one