
**Energy management systems —
Requirements with guidance for use**

*Systèmes de management de l'énergie — Exigences et
recommandations de mise en œuvre*



This document is a preview generated by EVS



COPYRIGHT PROTECTED DOCUMENT

© ISO 2011

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Case postale 56 • CH-1211 Geneva 20
Tel. + 41 22 749 01 11
Fax + 41 22 749 09 47
E-mail copyright@iso.org
Web www.iso.org

Published in Switzerland

Contents

Page

Foreword	iv
Introduction.....	v
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Energy management system requirements	5
4.1 General requirements	5
4.2 Management responsibility	5
4.2.1 Top management	5
4.2.2 Management representative	6
4.3 Energy policy	6
4.4 Energy planning	6
4.4.1 General	6
4.4.2 Legal requirements and other requirements	7
4.4.3 Energy review	7
4.4.4 Energy baseline	7
4.4.5 Energy performance indicators	8
4.4.6 Energy objectives, energy targets and energy management action plans	8
4.5 Implementation and operation	8
4.5.1 General	8
4.5.2 Competence, training and awareness	8
4.5.3 Communication	9
4.5.4 Documentation	9
4.5.5 Operational control	10
4.5.6 Design	10
4.5.7 Procurement of energy services, products, equipment and energy	10
4.6 Checking.....	11
4.6.1 Monitoring, measurement and analysis	11
4.6.2 Evaluation of compliance with legal requirements and other requirements	11
4.6.3 Internal audit of the EnMS	11
4.6.4 Nonconformities, correction, corrective action and preventive action	12
4.6.5 Control of records	12
4.7 Management review	12
4.7.1 General	12
4.7.2 Input to management review	12
4.7.3 Output from management review	13
Annex A (informative) Guidance on the use of this International Standard	14
Annex B (informative) Correspondence between ISO 50001:2011, ISO 9001:2008, ISO 14001:2004 and ISO 22000:2005	20
Bibliography	22

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 50001 was prepared by Project Committee ISO/PC 242, *Energy Management*.

Introduction

The purpose of this International Standard is to enable organizations to establish the systems and processes necessary to improve energy performance, including energy efficiency, use and consumption. Implementation of this International Standard is intended to lead to reductions in greenhouse gas emissions and other related environmental impacts and energy cost through systematic management of energy. This International Standard is applicable to all types and sizes of organizations, irrespective of geographical, cultural or social conditions. Successful implementation depends on commitment from all levels and functions of the organization, and especially from top management.

This International Standard specifies energy management system (EnMS) requirements, upon which an organization can develop and implement an energy policy, and establish objectives, targets, and action plans which take into account legal requirements and information related to significant energy use. An EnMS enables an organization to achieve its policy commitments, take action as needed to improve its energy performance and demonstrate the conformity of the system to the requirements of this International Standard. This International Standard applies to the activities under the control of the organization, and application of this International Standard can be tailored to fit the specific requirements of the organization, including the complexity of the system, degree of documentation, and resources.

This International Standard is based on the Plan - Do - Check - Act (PDCA) continual improvement framework and incorporates energy management into everyday organizational practices, as illustrated in Figure 1.

NOTE In the context of energy management, the PDCA approach can be outlined as follows:

- Plan: conduct the energy review and establish the baseline, energy performance indicators (EnPIs), objectives, targets and action plans necessary to deliver results that will improve energy performance in accordance with the organization's energy policy;
- Do: implement the energy management action plans;
- Check: monitor and measure processes and the key characteristics of operations that determine energy performance against the energy policy and objectives, and report the results;
- Act: take actions to continually improve energy performance and the EnMS.

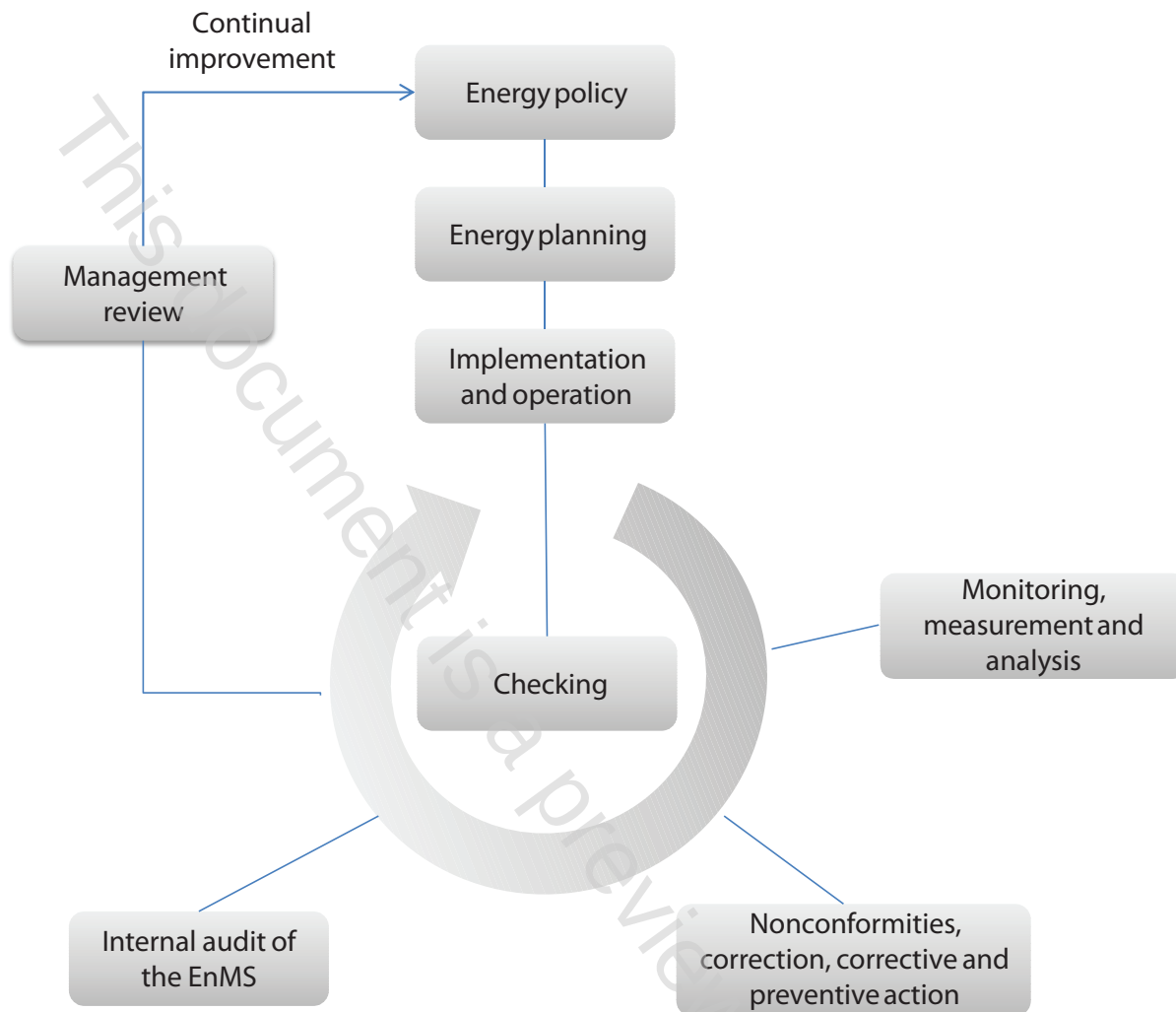


Figure 1 — Energy management system model for this International Standard

Worldwide application of this International Standard contributes to more efficient use of available energy sources, to enhanced competitiveness and to reducing greenhouse gas emissions and other related environmental impacts. This International Standard is applicable irrespective of the types of energy used.

This International Standard can be used for certification, registration and self-declaration of an organization's EnMS. It does not establish absolute requirements for energy performance beyond the commitments in the energy policy of the organization and its obligation to comply with applicable legal requirements and other requirements. Thus, two organizations carrying out similar operations, but having different energy performance, can both conform to its requirements.

This International Standard is based on the common elements of ISO management system standards, ensuring a high level of compatibility notably with ISO 9001 and ISO 14001.

NOTE Annex B shows the relationship between this International Standard and ISO 9001:2008, ISO 14001:2004 and ISO 22000:2005.

An organization can choose to integrate this International Standard with other management systems, including those related to quality, the environment and occupational health and safety.

Energy management systems — Requirements with guidance for use

1 Scope

This International Standard specifies requirements for establishing, implementing, maintaining and improving an energy management system, whose purpose is to enable an organization to follow a systematic approach in achieving continual improvement of energy performance, including energy efficiency, energy use and consumption.

This International Standard specifies requirements applicable to energy use and consumption, including measurement, documentation and reporting, design and procurement practices for equipment, systems, processes and personnel that contribute to energy performance.

This International Standard applies to all variables affecting energy performance that can be monitored and influenced by the organization. This International Standard does not prescribe specific performance criteria with respect to energy.

This International Standard has been designed to be used independently, but it can be aligned or integrated with other management systems.

This International Standard is applicable to any organization wishing to ensure that it conforms to its stated energy policy and wishing to demonstrate this to others, such conformity being confirmed either by means of self-evaluation and self-declaration of conformity, or by certification of the energy management system by an external organization.

This International Standard also provides, in Annex A, informative guidance on its use.

2 Normative references

No normative references are cited. This clause is included in order to retain clause numbering identical with other ISO management system standards.

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1

boundaries

physical or site limits and/or organizational limits as defined by the organization

EXAMPLE A process; a group of processes; a site; an entire organization; multiple sites under the control of an organization.

3.2

continual improvement

recurring process which results in enhancement of energy performance and the energy management system