

ICS 91.010.99

English Version

**Sustainability of construction works - Additional
environmental impact categories and indicators -
Background information and possibilities - Evaluation of
the possibility of adding environmental impact categories
and related indicators and calculation methods for the
assessment of the environmental performance of buildings**

Indicateurs complémentaires pour la déclaration de la
performance environnementale des produits de
construction et pour l'évaluation de la performance
environnementale des bâtiments

Nachhaltigkeit von Bauwerken -
Hintergrundinformationen zu möglichen, zusätzlichen
Wirkungskategorien und Indikatoren für die Erfassung
der umweltbezogenen Qualität von Gebäuden

This Technical Report was approved by CEN on 26 August 2016. It has been drawn up by the Technical Committee CEN/TC 350.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.



EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

Contents	Page
European foreword	5
Introduction	7
1 Scope	9
2 The need for additional impact categories	10
2.1 Environmental relevance	10
2.2 Policy relevance	13
2.3 Conclusions	14
3 Evaluation criteria for additional environmental impact categories for CEN/TC 350	14
3.1 Introduction	14
3.1.1 General	14
3.1.2 Criteria related to standardization	15
3.1.3 Criteria related to the LCIA models and indicators	15
3.2 Evaluation framework for additional environmental impact categories for CEN/TC 350	16
3.2.1 General	16
3.2.2 Environmental relevance – Standardization (step 1)	17
3.2.3 Relevance for buildings (step 2a)	17
3.2.4 Relevance for construction products (step 2b)	17
3.2.5 Policy relevance (step 3)	17
3.2.6 Performance based (step 4a)	17
3.2.7 Quantifiable (step 4b)	18
3.2.8 Scientific robustness and certainty (step 5)	18
3.2.9 Applicability of the life cycle impact assessment method/model (step 6)	19
3.2.10 Stakeholder acceptance of the impact assessment model (step 7)	20
3.3 Compliance criteria of the ILCD handbook	20
3.4 Information sources regarding the additional impact categories	21
4 The evaluation of additional impact categories	21
4.1 General	21
4.2 Human toxicity: Cancer and non-cancer effects	21
4.2.1 Description	21
4.2.2 Relevance of human toxicity (step 1+2+3)	25
4.2.3 List of available LCIA methods (step 4)	30
4.2.4 Scientific substantiation of available LCIA methods (step 5)	31
4.2.5 Applicability (step 6)	33
4.2.6 Stakeholder acceptance (step 7)	36
4.2.7 Conclusions on methodology	37
4.2.8 Overall conclusions on human toxicity	39
4.3 Ecotoxicity: Terrestrial, freshwater and marine	39
4.3.1 Description of impact category	39
4.3.2 Relevance of ecotoxicity – standardization (step 1+2+3)	42
4.3.3 List of available LCIA methods (step 4)	44
4.3.4 Scientific substantiation of available LCIA methods (step 5)	45
4.3.5 Applicability (step 6)	47
4.3.6 Stakeholder acceptance (step 7)	50
4.3.7 Conclusions on methodology	50

4.3.8	Overall conclusions on ecotoxicity	53
4.4	Particulate matter formation	53
4.4.1	Description of impact category	53
4.4.2	Relevance of particulate matter formation (step 1+2+3)	58
4.4.3	List of available LCIA methods (step 4).....	65
4.4.4	Scientific substantiation of the available LCIA methods (step 5)	68
4.4.5	Applicability (step 6)	70
4.4.6	Stakeholder acceptance (step 7)	72
4.4.7	Conclusions on methodology.....	72
4.4.8	Overall conclusions on particulate matter	73
4.5	Ionizing radiation: human health and ecosystem health	73
4.5.1	Description.....	73
4.5.2	Relevance of ionizing radiation (step 1+2+3)	77
4.5.3	List of available LCIA methods (step 4).....	83
4.5.4	Scientific substantiation of the available LCIA methods (step 5)	84
4.5.5	Applicability (step 6)	84
4.5.6	Stakeholder acceptance (step 7)	85
4.5.7	Conclusions on methodology.....	86
4.5.8	Overall conclusions on ionizing radiation	86
4.6	Land use: Occupation and transformation / Biodiversity.....	87
4.6.1	Description	87
4.6.2	Relevance of land use (step 1+2+3)	91
4.6.3	List of available LCIA methods (step 4).....	103
4.6.4	Scientific substantiation of the available LCIA methods (step 5)	104
4.6.5	Applicability (step 6)	108
4.6.6	Stakeholder acceptance (step 7)	111
4.6.7	Conclusions on methodology.....	111
4.6.8	Overall conclusions on land use	112
4.7	Water scarcity	113
4.7.1	Description	113
4.7.2	Relevance of water scarcity (step 1+2+3).....	120
4.7.3	List of available LCIA methods (step 4).....	122
4.7.4	Scientific substantiation of the available LCIA methods (step 5)	124
4.7.5	Applicability (step 6)	126
4.7.6	Stakeholder acceptance (step 7)	128
4.7.7	Conclusions on methodology.....	128
4.7.8	Overall conclusions on water scarcity.....	129
5	Overview of intermediate non-LCA indicators.....	129
5.1	General.....	129
5.2	Land use/biodiversity assessed in BREEAM	129
5.2.1	General.....	129
5.2.2	Land Use and Biodiversity	131
5.3	DGNB.....	133
5.3.1	General.....	133
5.3.2	Land Use and Biodiversity	134
5.4	HQE.....	135
Annex A (informative)	Possibilities for uptake in standardization process.....	137
A.1	Introduction.....	137
A.2	Structure of the table	137
Annex B (informative)	Recommended methods for life cycle impact assessment within ILCD Handbook.....	143

Annex C (informative) Life cycle impact assessment within the ILCD Handbook.....	146
Annex D (informative) General criteria and sub-criteria for the analysis of characterization models within ILCD Handbook.....	148
Annex E (informative) Description of the general literature sources consulted.....	151
Annex F (informative) Illustration of land use types in LCIA methods	152
Bibliography	155

European foreword

This document (CEN/TR 17005:2016) has been prepared by Technical Committee CEN/TC 350 “Sustainability of construction works”, the secretariat of which is held by AFNOR.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association.

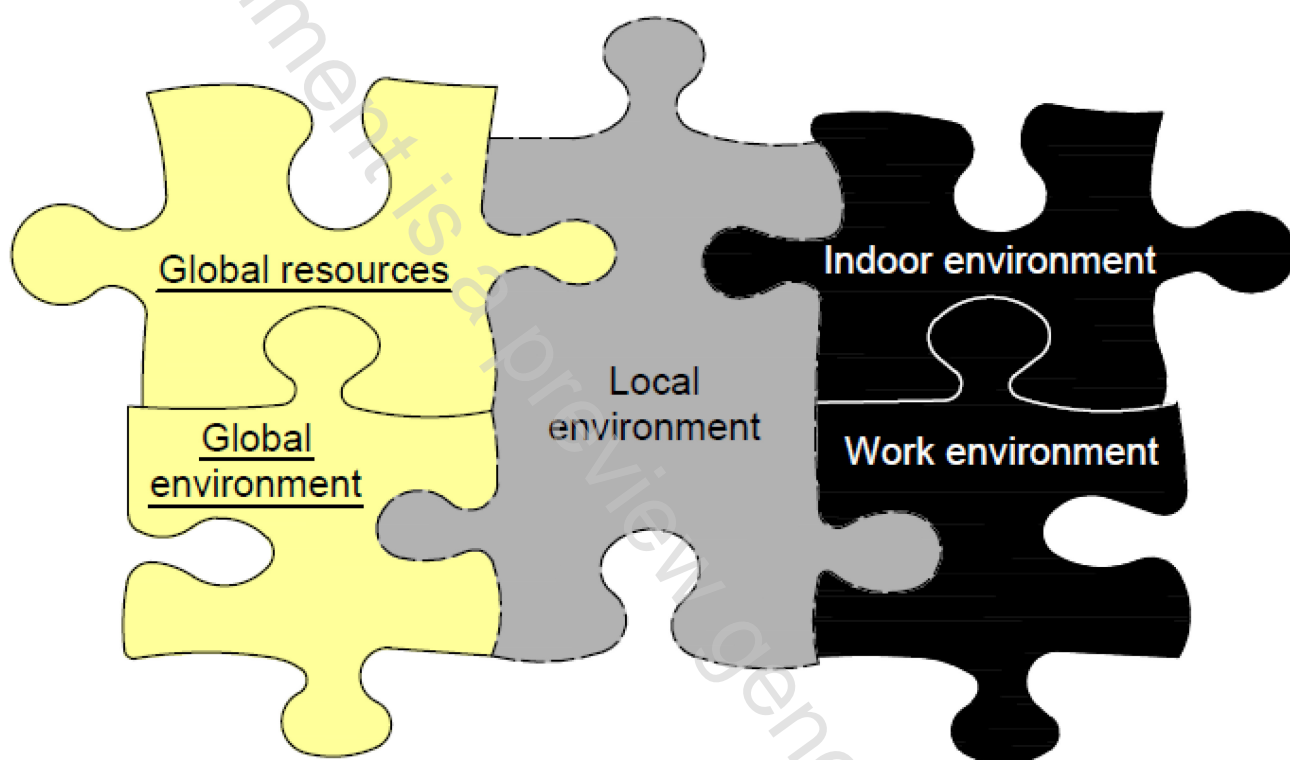


Figure 1 — Domain of LCA ([14], p. 33)

NOTE 1 One of the main drivers for using LCA, is the prevention of burden shifting.

NOTE 2 If in future, models become available that combine global environmental impacts with health impacts to the building users due to direct exposure, these might be considered in the context of the CEN/TC 350 framework in future.

Although the EN 15804 standard follows the LCA approach, it also states that additional information on release of dangerous substances to indoor air, soil and water during the use stage shall be provided (EN 15804:2012+A1:2013, 7.4). EN 15804:2012+A1:2013, 7.4.1 stipulates the requirements related to releases to indoor air, and EN 15804:2012+A1:2013, 7.4.2 stipulates the requirements related to releases to soil and water. Such releases are included in EN 15804 as these have a potential direct impact/risk for the inhabitants/users of the building.

Both EN 15804 and EN 15978 contain seven life cycle environmental impact categories. Since their publication, however, a perceived need has arisen to include in these standards a broader set of environmental impacts categories due to the following:

- Additional environmental impact categories are currently part of European recommendations and of national legislation of several Member States.
- Additional environmental indicators are used in current practice (see EN 15643-2:2011, B.2 [3]).
- New research and developments in life cycle impact assessment (LCIA) methods and the characterization of environmental impacts.

This Technical Report (TR) has been developed to provide guidance to the working groups of CEN/TC 350 on the extension of the impact categories in EN 15804 and EN 15978. The TR provides a framework for the evaluation of environmental impact categories and evaluates the impact categories human toxicity and ecotoxicity, particulate matter, land use, biodiversity, water scarcity; and ionizing radiation by implementing the framework developed.

During the preparation of the TR a range of experts, such as developers of impact assessment models, LCA software developers and experts from the EC-JRC were consulted.

NOTE 3 Although this report is primarily referenced to buildings, the indicators and methods reviewed might have equal application in other construction works.

Introduction

List of abbreviations:

CDV	Critical Dilution Volume
CF	Characterization Factor
EPBD	Energy Performance of Buildings Directive
EPD	Environmental Product Declaration
ILCD	International Reference Life Cycle Data System
LCA	Life Cycle Assessment
LCI	Life Cycle Inventory
LCIA	Life Cycle Impact Assessment
PCR	Product Category Rules
PEF	Product Environmental Footprint
RA	Risk Assessment
TR	Technical Report
TSP	Total Suspended Particles

The standards EN 15804 [1] and EN 15978 [2] provide a basis for the environmental assessment of buildings using a life cycle assessment approach.

The EN 15804 standard [1] provides core product category rules (PCR) for Type III environmental declarations of any construction product and services. An Environmental Product Declaration (EPD) is a verified document that reports environmental data of products based on life cycle assessment (LCA) and other relevant information and in accordance with the international standard ISO 14025 (*Type III Environmental Declarations*).

The EN 15978 standard [2] specifies the calculation method to assess the environmental performance of a building, based on LCA (i.e. using EPD for construction products and services) and other quantified environmental information (i.e. (1) indicators describing resource use based on input flows of the life cycle inventory (LCI) and (2) indicators describing waste categories and output flows derived from scenarios and LCI), and gives the means for the reporting and communication of the outcome of the assessment.

Life cycle Assessment (LCA) is defined as the “*compilation and evaluation of the inputs, outputs and the potential environmental impacts of a product system throughout its life cycle*”. (ISO 14040).

In LCA, the modelling is typically made at the global level, resulting in global characterization factors. Inherent to the modelling, the (current) environmental impact assessment models within LCA do not cover local impacts/risks due to direct exposure of some persons to a certain emission/hazardous substance. As it is acknowledged that the variation in population density influences the exposure rate and hence also the potential health damage, regional characterization factors (based on regional differences in population density) for some health related impact categories are being developed. The same is true for ecosystems related impact categories.

As is illustrated in Figure 1, LCA (in current practice) covers a great part of the total environmental perspective but is clearly restricted to regional and global impacts to the external environment (i.e. it does not include effects due to indoor exposure of the users of a building). Effects for which there is a low plausibility that they will occur (e.g. risks from nuclear waste) and local effects from the products

on the manufacturers or users are disregarded. Recently, research has started to also address health effects due to indoor emissions on building users with similar approaches as used in LCA [13].

This document is a preview generated by EVS

1 Scope

This Technical Report (TR) has been developed by CEN/TC 350/WG 1 and WG 3 to provide a clear and structured view on the relevance, robustness and applicability of a predefined set of additional impact categories and related indicators for the assessment of the environmental performance of construction works, construction products and building materials.

The TR describes the evaluation criteria that are used to determine, for these impact categories, the suitability of indicators and calculation method(s) for inclusion in the standards EN 15978 and EN 15804 (or other CEN/TC 350 standards as appropriate) in terms of their:

- a) relevance to:
 - 1) the environment,
 - 2) construction works,
 - 3) construction products, and
 - 4) EU policy;
- b) scientific robustness and certainty; and
- c) applicability of the impact assessment method(s).

The additional impact categories examined in the TR are:

- human toxicity and ecotoxicity;
- particulate matter;
- land use;
- biodiversity;
- water scarcity; and
- ionizing radiation.

Because EN 15978 and EN 15804 are founded on a life cycle approach, the impact categories, indicators and methods reviewed are predominantly based on their potential suitability for application in LCA. In relation to some of the areas of concern, however, where LCA methods might not be sufficiently robust or developed, some non-LCA based indicators and methods are also considered.

Due to the scope of LCA used in the EN 15804 and EN 15978, impacts to users of buildings due to direct exposure to harmful emissions fall outside the scope of this TR. This falls under the scope of CEN/TC 351. Important information related to this aspect found during the development of this TR, is however mentioned in the TR.

Uncertainty is an important issue in LCA. General assessment of the uncertainty related to impact assessment models is considered in the evaluation framework of this TR. However, the TR does not lay down a maximum uncertainty level to be considered acceptable in the context of the CEN standards EN 15804 and EN 15978, nor does it provide exact figures on uncertainties.

Annex A of the TR provides a description of options that may be considered for incorporating selected impact categories/indicator in the standards EN 15978 and EN 15804.

The TR recognizes and takes account of:

- the work done by the European Commission, Joint Research Centre (EC-JRC), in the development of the International Reference Life Cycle Data System (ILCD) Handbook Recommendations,
- other reports and scientific studies into the methods and application of the indicators reviewed,
- findings of specific activities connected with this work such as of the CEN/TC 350 Workshop, held in Brussels on 24-25 June 2014.

2 The need for additional impact categories

2.1 Environmental relevance

It is widely recognized that the extraction and combustion of fossil fuels is a dominant cause of the environmental impact of a building across its life cycle ([23], [22], [24]). This is especially the case for existing European buildings that were constructed before national and European Energy regulations were introduced. The use of low efficiency gas and oil heating systems combined with a poorly insulated building envelope results in a high yearly combustion of fossil fuels and this combined with a relatively long lifespan of buildings, makes the operational energy use the dominant aspect in the environmental profile of many existing European buildings.

The extraction and combustion of fossil fuels is responsible for environmental impacts related to:

- **Global warming:** mainly CO₂ emissions related to the use, production and transport stages
- **Depletion of abiotic fossil fuels:** extraction of oil, gas and coal related to use, production and transport processes
- **Acidification:** SO₂ and NO_x emissions related to the combustion of fossil fuels related to the production or transport phases
- **Eutrophication:** nitrogen emissions related to the combustion of fossil fuels
- **Photochemical ozone creation:** emissions of nitrogen oxides related to the combustion of fossil fuels
- **Particulate matter formation:** emissions of nitrogen oxides, sulphur dioxides and small particulates

These impact categories except particulate matter formation are already integrated in the current version of the standards EN 15804 (2012+A1:2013) and EN 15978 (2011).

The increase in heating efficiencies and insulation level, and the growing use of renewable energy will result in a smaller influence of the operational energy of buildings on its overall environmental profile. With the 2019-2021 EPBD targets¹ in view, the environmental impacts of buildings of the near future will be less dependent on their operational energy use and increasingly influenced by the life cycle impacts of the constituent building products (cf. manufacturing, replacement and/or end-of-life) and other processes during the use phase, such as water consumption and transport of building users (e.g. commuting related to the dwelling location). [20], [22]

¹ The re-cast Energy Performance of Buildings Directive (EPBD) requires that from 2019 onwards 'all the new buildings occupied and owned by public authorities are nearly zero-energy buildings' (nZEB) and by the end of 2020 'all new buildings are nearly zero-energy buildings'.