

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Framework for energy market communications –
Part 451-1: Acknowledgement business process and contextual model for CIM
European market**

**Cadre pour les communications pour le marché de l'énergie –
Partie 451-1: Processus métier d'accusé de réception et modèle contextuel
pour le marché européen CIM**



THIS PUBLICATION IS COPYRIGHT PROTECTED

Copyright © 2017 IEC, Geneva, Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm, without permission in writing from either IEC or IEC's member National Committee in the country of the requester. If you have any questions about IEC copyright or have an enquiry about obtaining additional rights to this publication, please contact the address below or your local IEC member National Committee for further information.

Droits de reproduction réservés. Sauf indication contraire, aucune partie de cette publication ne peut être reproduite ni utilisée sous quelque forme que ce soit et par aucun procédé, électronique ou mécanique, y compris la photocopie et les microfilms, sans l'accord écrit de l'IEC ou du Comité national de l'IEC du pays du demandeur. Si vous avez des questions sur le copyright de l'IEC ou si vous désirez obtenir des droits supplémentaires sur cette publication, utilisez les coordonnées ci-après ou contactez le Comité national de l'IEC de votre pays de résidence.

IEC Central Office
3, rue de Varembe
CH-1211 Geneva 20
Switzerland

Tel.: +41 22 919 02 11
Fax: +41 22 919 03 00
info@iec.ch
www.iec.ch

About the IEC

The International Electrotechnical Commission (IEC) is the leading global organization that prepares and publishes International Standards for all electrical, electronic and related technologies.

About IEC publications

The technical content of IEC publications is kept under constant review by the IEC. Please make sure that you have the latest edition, a corrigenda or an amendment might have been published.

IEC Catalogue - webstore.iec.ch/catalogue

The stand-alone application for consulting the entire bibliographical information on IEC International Standards, Technical Specifications, Technical Reports and other documents. Available for PC, Mac OS, Android Tablets and iPad.

IEC publications search - www.iec.ch/searchpub

The advanced search enables to find IEC publications by a variety of criteria (reference number, text, technical committee,...). It also gives information on projects, replaced and withdrawn publications.

IEC Just Published - webstore.iec.ch/justpublished

Stay up to date on all new IEC publications. Just Published details all new publications released. Available online and also once a month by email.

Electropedia - www.electropedia.org

The world's leading online dictionary of electronic and electrical terms containing 20 000 terms and definitions in English and French, with equivalent terms in 16 additional languages. Also known as the International Electrotechnical Vocabulary (IEV) online.

IEC Glossary - std.iec.ch/glossary

65 000 electrotechnical terminology entries in English and French extracted from the Terms and Definitions clause of IEC publications issued since 2002. Some entries have been collected from earlier publications of IEC TC 37, 77, 86 and CISPR.

IEC Customer Service Centre - webstore.iec.ch/csc

If you wish to give us your feedback on this publication or need further assistance, please contact the Customer Service Centre: csc@iec.ch.

A propos de l'IEC

La Commission Electrotechnique Internationale (IEC) est la première organisation mondiale qui élabore et publie des Normes internationales pour tout ce qui a trait à l'électricité, à l'électronique et aux technologies apparentées.

A propos des publications IEC

Le contenu technique des publications IEC est constamment revu. Veuillez vous assurer que vous possédez l'édition la plus récente, un corrigendum ou amendement peut avoir été publié.

Catalogue IEC - webstore.iec.ch/catalogue

Application autonome pour consulter tous les renseignements bibliographiques sur les Normes internationales, Spécifications techniques, Rapports techniques et autres documents de l'IEC. Disponible pour PC, Mac OS, tablettes Android et iPad.

Recherche de publications IEC - www.iec.ch/searchpub

La recherche avancée permet de trouver des publications IEC en utilisant différents critères (numéro de référence, texte, comité d'études,...). Elle donne aussi des informations sur les projets et les publications remplacées ou retirées.

IEC Just Published - webstore.iec.ch/justpublished

Restez informé sur les nouvelles publications IEC. Just Published détaille les nouvelles publications parues. Disponible en ligne et aussi une fois par mois par email.

Electropedia - www.electropedia.org

Le premier dictionnaire en ligne de termes électroniques et électriques. Il contient 20 000 termes et définitions en anglais et en français, ainsi que les termes équivalents dans 16 langues additionnelles. Egalement appelé Vocabulaire Electrotechnique International (IEV) en ligne.

Glossaire IEC - std.iec.ch/glossary

65 000 entrées terminologiques électrotechniques, en anglais et en français, extraites des articles Termes et Définitions des publications IEC parues depuis 2002. Plus certaines entrées antérieures extraites des publications des CE 37, 77, 86 et CISPR de l'IEC.

Service Clients - webstore.iec.ch/csc

Si vous désirez nous donner des commentaires sur cette publication ou si vous avez des questions contactez-nous: csc@iec.ch.

INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Framework for energy market communications –
Part 451-1: Acknowledgement business process and contextual model for CIM
European market**

**Cadre pour les communications pour le marché de l'énergie –
Partie 451-1: Processus métier d'accusé de réception et modèle contextuel
pour le marché européen CIM**

INTERNATIONAL
ELECTROTECHNICAL
COMMISSION

COMMISSION
ELECTROTECHNIQUE
INTERNATIONALE

ICS 33.200

ISBN 978-2-8322-3862-2

**Warning! Make sure that you obtained this publication from an authorized distributor.
Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.**

CONTENTS

FOREWORD.....	5
INTRODUCTION.....	7
1 Scope.....	8
2 Normative references	8
3 Terms and definitions	8
4 Document contextual model and message assembly model basic concepts	10
4.1 Overview.....	10
4.2 European style market package structure	11
4.3 From the European style market profile to the document contextual model	12
4.4 From the document contextual model to the message assembly model.....	12
4.5 From the assembly model to the XML schema	12
5 The acknowledgment business process	12
5.1 Business process definition.....	12
5.1.1 General	12
5.1.2 Technical acknowledgment.....	13
5.1.3 Application acknowledgment.....	13
5.2 Business rules for the acknowledgment document	14
5.2.1 General	14
5.2.2 Time	14
5.2.3 Reason	14
6 Contextual and assembly models.....	15
6.1 Acknowledgement contextual model	15
6.1.1 Overview of the model	15
6.1.2 IsBasedOn relationships from the European style market profile.....	16
6.1.3 Detailed Acknowledgement contextual model	17
6.2 Acknowledgement assembly model.....	23
6.2.1 Overview of the model	23
6.2.2 IsBasedOn relationships from the European style market profile.....	23
6.2.3 Detailed Acknowledgement assembly model.....	24
6.2.4 Primitives	28
6.2.5 Datatypes	28
6.2.6 Enumerations	33
7 XML schema.....	33
7.1 XML schema URN namespace rules	33
7.2 Code list URN namespace rules.....	34
7.3 URI rules for model documentation	34
7.3.1 Datatype	34
7.3.2 Class	34
7.3.3 Attribute.....	34
7.3.4 Association end role name.....	35
7.4 Acknowledgement_MarketDocument schema.....	35
7.4.1 Schema structure	35
7.4.2 Schema description	37
Bibliography.....	41
Figure 1 – IEC 62325-450 modelling framework.....	10

Figure 2 – Overview of European style market profile dependency.....	11
Figure 3 – Acknowledgement process	13
Figure 4 – Acknowledgement contextual model.....	16
Figure 5 – Acknowledgement assembly model.....	23
Figure 6 – Acknowledgement_MarketDocument general XML schema structure.....	36
Figure 7 – Acknowledgement_MarketDocument TimeSeries XML schema structure.....	37
Table 1 – Codes used at the document header level.....	14
Table 2 – Codes used at the TimeSeries level when there is a Reason code of A03 at the document header level.....	15
Table 3 – Codes used at the Period level when there is a Reason code A03 at the document header level and a code A21 at the TimeSeries level.....	15
Table 4 – IsBasedOn dependency.....	17
Table 5 – Attributes of Acknowledgement contextual model::Acknowledgement_MarketDocument	17
Table 6 – Association ends of Acknowledgement contextual model::Acknowledgement_MarketDocument with other classes	18
Table 7 – Attributes of Acknowledgement contextual model::MarketParticipant	19
Table 8 – Association ends of Acknowledgement contextual model:: MarketParticipant with other classes	19
Table 9 – Attributes of Acknowledgement contextual model::MarketRole	19
Table 10 – Attributes of Acknowledgement contextual model::Process.....	19
Table 11 – Attributes of Acknowledgement contextual model::Reason	20
Table 12 – Attributes of Acknowledgement contextual model::Received_MarketDocument.....	20
Table 13 – Association ends of Acknowledgement contextual model::Received_MarketDocument with other classes	20
Table 14 – Attributes of Acknowledgement contextual model::Receiver_MarketParticipant.....	21
Table 15 – Association ends of Acknowledgement contextual model::Receiver_MarketParticipant with other classes	21
Table 16 – Attributes of Acknowledgement contextual model::Time_Period.....	21
Table 17 – Association ends of Acknowledgement contextual model:: Time_Period with other classes	22
Table 18 – Attributes of Acknowledgement contextual model::TimeSeries.....	22
Table 19 – Association ends of Acknowledgement contextual model:: TimeSeries with other classes	22
Table 20 – IsBasedOn dependency.....	24
Table 21 – Attributes of Acknowledgement assembly model::Acknowledgement_MarketDocument	24
Table 22 – Association ends of Acknowledgement assembly model::Acknowledgement_MarketDocument with other classes	26
Table 23 – Attributes of Acknowledgement assembly model::Reason.....	26
Table 24 – Attributes of Acknowledgement assembly model::Time_Period.....	26
Table 25 – Association ends of Acknowledgement assembly model:: Time_Period with other classes	27
Table 26 – Attributes of Acknowledgement assembly model::TimeSeries	27

Table 27 – Association ends of Acknowledgement assembly model:: TimeSeries with other classes 27

Table 28 – Attributes of ESMPDataTypes::ESMP_DateTimeInterval 28

Table 29 – Attributes of ESMPDataTypes::ESMP_DateTime 28

Table 30 – Restrictions of attributes for ESMPDataTypes::ESMP_DateTime 29

Table 31 – Attributes of ESMPDataTypes::ESMPVersion_String 29

Table 32 – Restrictions of attributes for ESMPDataTypes::ESMPVersion_String 29

Table 33 – Attributes of ESMPDataTypes::ID_String 30

Table 34 – Restrictions of attributes for ESMPDataTypes::ID_String 30

Table 35 – Attributes of ESMPDataTypes::MarketRoleKind_String 30

Table 36 – Attributes of ESMPDataTypes::MessageKind_String 30

Table 37 – Attributes of ESMPDataTypes::PartyID_String 31

Table 38 – Restrictions of attributes for ESMPDataTypes::PartyID_String 31

Table 39 – Attributes of ESMPDataTypes::PayloadId_String 31

Table 40 – Restrictions of attributes for ESMPDataTypes::PayloadId_String 31

Table 41 – Attributes of ESMPDataTypes::ProcessKind_String 31

Table 42 – Attributes of ESMPDataTypes::ReasonCode_String 32

Table 43 – Attributes of ESMPDataTypes::ReasonText_String 32

Table 44 – Restrictions of attributes for ESMPDataTypes::ReasonText_String 32

Table 45 – Attributes of ESMPDataTypes::YMDHM_DateTime 32

Table 46 – Restrictions of attributes for ESMPDataTypes::YMDHM_DateTime 33

Preview generated by EVS

INTERNATIONAL ELECTROTECHNICAL COMMISSION

FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –**Part 451-1: Acknowledgement business process
and contextual model for CIM European market**

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 62325-451-1 has been prepared by IEC technical committee 57: Power systems management and associated information exchange.

This second edition cancels and replaces the first edition published in 2013. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) Addition of an optional attribute `ProcessType` to the acknowledgement document to ease routing of incoming acknowledgement document instances to the appropriate application.
- b) Clarification of the activity diagram for the acknowledgement process.
- c) Addition of the list of constraints on datatypes.

The text of this International Standard is based on the following documents:

FDIS	Report on voting
57/1789/FDIS	57/1819/RVD

Full information on the voting for the approval of this International Standard can be found in the report on voting indicated in the above table.

This document has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all the parts in the IEC 62325 series, published under the general title *Framework for energy market communications*, can be found on the IEC website.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under "<http://webstore.iec.ch>" in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

INTRODUCTION

This document is one part of the IEC 62325 series for deregulated energy market communications.

The principal objective of the IEC 62325 series is to produce standards which facilitate the integration of market application software developed independently by different vendors into a market management system, between market management systems and market participant systems. This is accomplished by defining message exchanges to allow these applications or systems access to public data and exchange information independent of how such information is represented internally.

The common information model (CIM), i.e. IEC 62325-301, IEC 61970-301 and IEC 61968-11, specifies the basis for the semantics for message exchange.

This European style market profile is based on different parts of the CIM IEC standard and specifies the content of the messages exchanged.

This document provides for the European style market profile the generic technical and application acknowledgement document that can be used in all European style market processes. These market processes are based on the European regulations, and on the concepts of third party access and zonal markets.

This document was originally based upon the work of the European Transmission System Operators (ETSO) and then on the work of the European Network of Transmission System Operators (ENTSO-E) on electronic data interchange.

Review generated by EVS

FRAMEWORK FOR ENERGY MARKET COMMUNICATIONS –

Part 451-1: Acknowledgement business process and contextual model for CIM European market

1 Scope

Based on the European style market contextual model (IEC 62325-351), this part of IEC 62325 specifies a UML package for the acknowledgment business process and its associated document contextual model, assembly model and XML schema for use within the European style electricity markets.

The relevant aggregate core components (ACCs) defined in IEC 62325-351 have been contextualized into aggregated business information entities (ABIEs) to satisfy the requirements of the European style market acknowledgment business process.

The contextualized ABIEs have been assembled into the acknowledgment document contextual model.

A related assembly model and an XML schema for the exchange of acknowledgement information between market participants is automatically generated from the assembled document contextual model.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC TS 61970-2, *Energy management system application program interface (EMS-API) – Part 2: Glossary*

IEC 62325-351, *Framework for energy market communications – Part 351: CIM European market model exchange profile*

IEC 62325-450, *Framework for energy market communications – Part 450: Profile and context modelling rules*

IEC 62361-100, *Power systems management and associated information exchange – Interoperability in the long term – Part 100: CIM profiles to XML schema mapping*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC TS 61970-2 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <http://www.iso.org/obp>