INTERNATIONAL STANDARD

ISO/IEC 9594-8

Eighth edition
2017-05

Information technology — Open Systems Interconnection — The Directory —

Part 8: Public-key and attribute certificate frameworks

Technologies de l'information — Interconnexion de systèmes ouverts (OSI) — L'annuaire —

Partie 8: Cadre général des certificats de clé publique et d'attribut

Reference number
ISO/IEC 9594-8:2017(E)

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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

This eighth edition cancels and replaces the seventh edition (ISO/IEC 9594-8:2014), which has been technically revised.

This document was prepared by ISO/IEC JTC 1, *Information technology*, SC 6, *Telecommunications and information exchange between systems*, in collaboration with ITU-T. The identical text is published as ITU-T X.509 (10/2016).

A list of all parts in the ISO/IEC 9594 series, published under the general title *Information technology — Open Systems Interconnection — The Directory*, can be found on the ISO website.

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Introduction

Many applications have requirements for security to protect against threats to the communication of information. Virtually all security services are dependent upon the identities of the communicating parties being reliably known, i.e., authenticated.

This Recommendation | International Standard defines a framework for public-key certificates. This framework includes the specification of data objects used to represent the public-key certificates themselves, as well as revocation notices for issued public-key certificates that should no longer be trusted. It defines some critical components of a public-key infrastructure (PKI), but it does not define a PKI in its entirety. However, this Recommendation | International Standard provides the foundation upon which full PKIs and their specifications can be built.

Similarly, this Recommendation | International Standard defines a framework for attribute certificates. This framework includes the specification of data objects used to represent the attribute certificates themselves, as well as revocation notices for issued attribute certificates that should no longer be trusted. It defines some critical components of a privilege management infrastructure (PMI), but it does not define a PMI in its entirety. However, this Recommendation | International Standard provides the foundation upon which full PMIs and their specifications can be built.

Schema definitions are defined for holding PKI and PMI information in a directory according to the specification found in the ITU-T X.500 series of Recommendations | ISO/IEC 9594 (all parts) or according to the lightweight directory access protocol (LDAP) specification.

This Recommendation | International Standard provides the foundation frameworks upon which industry profiles can be defined by other standards groups and industry forums. Many of the features defined as optional in these frameworks may be mandated for use in certain environments through profiles. This eighth edition technically revises and enhances the seventh edition of this Recommendation | International Standard.

This eighth edition specifies versions 1, 2 and 3 of public-key certificates, versions 1 and 2 of certificate revocation lists and version 2 of attribute certificates.

The extensibility function was added in an earlier edition with version 3 of the public-key certificate and with version 2 of the certificate revocation list and was incorporated into the attribute certificate from its initial inception.

Annex A, which is an integral part of this Recommendation | International Standard, provides the ASN.1 modules which contain all of the definitions associated with the frameworks.

Annex B, which is not an integral part of this Recommendation | International Standard, lists object identifiers assigned to cryptographic algorithms defined by other specifications. It is provided for easy reference and import into other ASN.1 modules.

Annex C, which is an integral part of this Recommendation | International Standard, provides definitions for how certificate extension types may be represented by directory attribute types.

Annex D, which is not an integral part of this Recommendation | International Standard, includes extracts of external ASN.1 modules referenced by this Recommendation | International Standard.

Annex E, which is an integral part of this Recommendation | International Standard, provides rules for generating and processing certificate revocation lists (CRLs).

Annex F, which is not an integral part of this Recommendation | International Standard, provides examples of delta certificate revocation list (CRL) issuance.

Annex G, which is not an integral part of this Recommendation | International Standard, provides examples of privilege policy syntaxes and privilege attributes.

Annex H, which is not an integral part of this Recommendation | International Standard, is an introduction to public-key cryptography.

Annex I, which is not an integral part of this Recommendation | International Standard, contains examples of the use of certification path constraints.

Annex J, which is not an integral part of this Recommendation | International Standard, provides guidance for public-key infrastructure (PKI) enabled applications on the processing of certificate policy while in the certification path validation process.

Annex K, which is not an integral part of this Recommendation | International Standard, provides guidance on the use of the **`contentCommitment`** bit in the **`keyUsage`** certificate extension.

Annex L, which is not an integral part of this Recommendation | International Standard, includes public-key and attribute certificate extensions that have been deprecated.

Annex M, which is not an integral part of this Recommendation | International Standard, gives a short introduction to directory and distinguished name concepts.

Annex N, which is not an integral part of this Recommendation | International Standard, provides some general considerations on strong authentication.

Annex O, which is not an integral part of this Recommendation | International Standard, contains an alphabetical list of information item definitions in this Recommendation | International Standard.

Annex P, which is not an integral part of this Recommendation | International Standard, lists the amendments and defect reports that have been incorporated to form this edition of this Recommendation | International Standard.

INTERNATIONAL STANDARD
ITU-T RECOMMENDATION

Information technology – Open Systems Interconnection – The Directory: Public-key and attribute certificate frameworks

1 Scope

This Recommendation | International Standard addresses some of the security requirements in the areas of authentication and other security services through the provision of a set of frameworks upon which full services can be based. Specifically, this Recommendation | International Standard defines frameworks for:

- public-key certificates; and
- attribute certificates.

The public-key certificate framework defined in this Recommendation | International Standard specifies the information objects and data types for a public-key infrastructure (PKI), including public-key certificates, certificate revocation lists (CRLs), trust broker and authorization and validation lists (AVLs). The attribute certificate framework specifies the information objects and data types for a privilege management infrastructure (PMI), including attribute certificates, and attribute certificate revocation lists (ACRLs). This Recommendation | International Standard also provides the framework for issuing, managing, using and revoking certificates. An extensibility mechanism is included in the defined formats for both certificate types and for all revocation list schemes. This Recommendation | International Standard also includes a set of extensions, which is expected to be generally useful across a number of applications of PKI and PMI. The schema components (including object classes, attribute types and matching rules) for storing PKI and PMI information in a directory, are included in this Recommendation | International Standard.

This Recommendation | International Standard specifies the framework for strong authentication, involving credentials formed using cryptographic techniques. It is not intended to establish this as a general framework for authentication, but it can be of general use for applications which consider these techniques adequate.

Authentication (and other security services) can only be provided within the context of a defined security policy. It is a matter for users of an application to define their own security policy.

2 Normative references

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent edition of the Recommendations and Standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

2.1 Identical Recommendations | International Standards

- Recommendation ITU-T X.411 (1999) | ISO/IEC 10021-4:2003, *Information technology – Message Handling Systems (MHS) – Message Transfer System: Abstract Service Definition and Procedures*.
- Recommendation ITU-T X.500 (2016) | ISO/IEC 9594-1:2017, *Information technology – Open Systems Interconnection – The Directory: Overview of concepts, models and services*.
- Recommendation ITU-T X.501 (2016) | ISO/IEC 9594-2:2017, *Information technology – Open Systems Interconnection – The Directory: Models*.
- Recommendation ITU-T X.511 (2016) | ISO/IEC 9594-3:2017, *Information technology – Open Systems Interconnection – The Directory: Abstract service definition*.
- Recommendation ITU-T X.519 (2016) | ISO/IEC 9594-5:2017, *Information technology – Open Systems Interconnection – The Directory: Protocol specifications*.
- Recommendation ITU-T X.520 (2016) | ISO/IEC 9594-6:2017, *Information technology – Open Systems Interconnection – The Directory: Selected attribute types*.
- Recommendation ITU-T X.660 (2011) | ISO/IEC 9834-1:2012, *Information technology – Open Systems Interconnection – Procedures for the operation of OSI Registration Authorities: General procedures and top arcs of the International Object Identifier tree*.

- Recommendation ITU-T X.681 (2015) | ISO/IEC 8824-2:2015, *Information technology – Abstract Syntax Notation One (ASN.1): Information object specification*.
- Recommendation ITU-T X.690 (2015) | ISO/IEC 8825-1:2015, *Information technology – ASN.1 encoding rules: Specification of Basic Encoding Rules (BER), Canonical Encoding Rules (CER) and Distinguished Encoding Rules (DER)*.
- Recommendation ITU-T X.812 (1995) | ISO/IEC 10181-3:1996, *Information technology – Open Systems Interconnection – Security frameworks for open systems: Access control framework*.
- Recommendation ITU-T X.813 (1996) | ISO/IEC 10181-4:1997, *Information technology – Open Systems Interconnection – Security frameworks for open systems: Non-repudiation framework.*
- Recommendation ITU-T X.841 (2000) | ISO/IEC 15816:2002, *Information technology – Security techniques – Security information objects for access control*.

2.2 Paired Recommendations | International Standards equivalent in technical content

- Recommendation ITU-T X.800 (1991), *Security architecture for Open Systems Interconnection for CCITT applications*.

  ISO 7498-2:1989, *Information processing systems – Open Systems Interconnection – Basic Reference Model – Part 2: Security Architecture*.

2.3 Recommendations

- Recommendation ITU-T X.1252 (2010), *Baseline identity management terms and definitions*.

2.4 Other references

- IETF RFC 791 (1981), *Internet Protocol*.
- IETF RFC 822 (1982), *Standard for the Format of ARPA Internet Text Messages.*
- IETF RFC 1630 (1994), *Universal Resource Identifiers in WWW: A Unifying Syntax for the Expression of Names and Addresses of Objects on the Network as used in the World-Wide Web.*
- IETF RFC 3492 (2003), *Punycode: A Bootstring encoding of Unicode for Internationalized Domain Names in Applications (IDNA)*.
- IETF RFC 4511 (2006), *Lightweight Directory Access Protocol (LDAP): The Protocol*.
- IETF RFC 4523 (2006), *Lightweight Directory Access Protocol (LDAP) Schema Definitions for X.509 Certificates*.
- IETF RFC 5280 (2008), *Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile*.
- IETF RFC 5890 (2010), *Internationalized Domain Names for Applications (IDNA): Definitions and Document Framework.*
- IETF RFC 5914 (2010), *Trust Anchor Format*.
- IETF RFC 6960 (2013), *X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP*

3 Definitions

For the purposes of this Recommendation | International Standard, the following definitions apply.

3.1 OSI Reference Model security architecture definitions

The following terms are defined in Rec. ITU-T X.800 | ISO 7498-2:

a) authentication exchange;

b) authentication information;

c) confidentiality;

d) credentials;

e) cryptography;

f) data origin authentication;

g) decipherment;