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Systems and software engineering — Software life cycle processes

Ingénierie des systèmes et du logiciel — Processus du cycle de vie du logiciel



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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

IEEE Standards documents are developed within the IEEE Societies and the Standards Coordinating Committees of the IEEE Standards Association (IEEE-SA) Standards Board. The IEEE develops its standards through a consensus development process, approved by the American National Standards Institute, which brings together volunteers representing varied viewpoints and interests to achieve the final product. Volunteers are not necessarily members of the Institute and serve without compensation. While the IEEE administers the process and establishes rules to promote fairness in the consensus development process, the IEEE does not independently evaluate, test, or verify the accuracy of any of the information contained in its standards.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO, IEC, and IEEE shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL www.iso.org/iso/foreword.html.

This document was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 7, *Systems and software engineering*, in cooperation with the IEEE Computer Society Systems and Software Engineering Standards Committee, under the Partner Standards Development Organization cooperation agreement between ISO and IEEE.

This first edition of ISO/IEC/IEEE 12207 cancels and replaces ISO/IEC 12207:2008 (second edition), which has been technically revised.

Changes in this revision of ISO/IEC/IEEE 12207 were developed in conjunction with a corresponding revision of ISO/IEC/IEEE 15288:2015, *Systems and software engineering – System life cycle processes*. The purpose of these revisions is to accomplish the harmonization of the structures and contents of the two documents, while supporting the requirements of the engineering and assessment communities.

This document was developed with the following goals:

- provide a common terminology between the revision of ISO/IEC/IEEE 15288 and ISO/IEC/IEEE 12207;
- where applicable, provide common process names and process structure between the revision of ISO/IEC/IEEE 15288 and ISO/IEC/IEEE 12207; and
- enable the user community to evolve towards fully harmonized standards, while allowing backward compatibility.

This revision is intended to achieve a fully harmonized view of the system and software life cycle processes.

Introduction

The complexity of software systems has increased to an unprecedented level. This has led to new opportunities, but also to increased challenges for the organizations that create and utilize systems. These challenges exist throughout the life cycle of a system and at all levels of architectural detail. This document provides a common process framework for describing the life cycle of systems created by humans, adopting a Software Engineering approach. Software Engineering is an interdisciplinary approach and means to enable the realization of successful software systems. It focuses on defining stakeholder needs and required functionality early in the development cycle, documenting requirements, and performing design synthesis and system validation while considering the complete problem. It integrates all the disciplines and specialty groups into a team effort forming a structured development process that proceeds from concept to production to operation and maintenance. It considers both the business and the technical needs of all stakeholders with the goal of providing a quality product that meets the needs of users and other applicable stakeholders. This life cycle spans the conception of ideas through to the retirement of a system. It provides the processes for acquiring and supplying systems. It helps to improve communication and cooperation among the parties that create, utilize and manage modern software systems in order that they can work in an integrated, coherent fashion. In addition, this framework provides for the assessment and improvement of the life cycle processes.

The processes in this document form a comprehensive set from which an organization can construct software life cycle models appropriate to its products and services. An organization, depending on its purpose, can select and apply an appropriate subset to fulfill that purpose.

This document can be used in one or more of the following modes:

- a) By an organization — to help establish an environment of desired processes. These processes can be supported by an infrastructure of methods, procedures, techniques, tools and trained personnel. The organization may then employ this environment to perform and manage its projects and progress software systems through their life cycle stages. In this mode, this document is used to assess conformance of a declared, established environment to its provisions.
- b) By a project — to help select, structure and employ the elements of an established environment to provide products and services. In this mode, this document is used in the assessment of conformance of the project to the declared and established environment.
- c) By an acquirer and a supplier — to help develop an agreement concerning processes and activities. Via the agreement, the processes and activities in this document are selected, negotiated, agreed to and performed. In this mode, this document is used for guidance in developing the agreement.
- d) By process assessors — to serve as a process reference model for use in the performance of process assessments that may be used to support organizational process improvement.

Systems and software engineering — Software life cycle processes

1 Scope

1.1 Overview

This document establishes a common framework for software life cycle processes, with well-defined terminology, that can be referenced by the software industry. It contains processes, activities, and tasks that are applicable during the acquisition, supply, development, operation, maintenance or disposal of software systems, products, and services. These life cycle processes are accomplished through the involvement of stakeholders, with the ultimate goal of achieving customer satisfaction.

This document applies to the acquisition, supply, development, operation, maintenance, and disposal (whether performed internally or externally to an organization) of software systems, products and services, and the software portion of any system. Software includes the software portion of firmware. Those aspects of system definition needed to provide the context for software products and services are included.

This document also provides processes that can be employed for defining, controlling, and improving software life cycle processes within an organization or a project.

The processes, activities, and tasks of this document can also be applied during the acquisition of a system that contains software, either alone or in conjunction with ISO/IEC/IEEE 15288:2015, *Systems and software engineering—System life cycle processes*.

In the context of this document and ISO/IEC/IEEE 15288, there is a continuum of human-made systems from those that use little or no software to those in which software is the primary interest. It is rare to encounter a complex system without software, and all software systems require physical system components (hardware) to operate, either as part of the software system-of-interest or as an enabling system or infrastructure. Thus, the choice of whether to apply this document for the software life cycle processes, or ISO/IEC/IEEE 15288:2015, *Systems and software engineering—System life cycle processes*, depends on the system-of-interest. Processes in both documents have the same process purpose and process outcomes, but differ in activities and tasks to perform software engineering or systems engineering, respectively.

1.2 Purpose

The purpose of this document is to provide a defined set of processes to facilitate communication among acquirers, suppliers and other stakeholders in the life cycle of a software system.

This document is written for acquirers, suppliers, developers, integrators, operators, maintainers, managers, quality assurance managers, and users of software systems, products, and services. It can be used by a single organization in a self-imposed mode or in a multi-party situation. Parties can be from the same organization or from different organizations and the situation can range from an informal agreement to a formal contract.

The processes in this document can be used as a basis for establishing business environments, e.g., methods, procedures, techniques, tools and trained personnel. Annex A provides normative direction regarding the tailoring of these software life cycle processes.

1.3 Field of application

This document applies to the full life cycle of software systems, products, and services, including conception, development, production, utilization, support and retirement, and to their acquisition and supply, whether performed internally or externally to an organization. The life cycle processes of this document can be applied concurrently, iteratively and recursively to a software system and incrementally to its elements.

There is a wide variety of software systems in terms of their purpose, domain of application, complexity, size, novelty, adaptability, quantities, locations, life spans and evolution. This document describes the processes that comprise the life cycle of man-made software systems. It therefore applies to one-of-a-kind software systems, software systems for wide commercial or public distribution, and customized, adaptable software systems. It also applies to a complete stand-alone software system and to software systems that are embedded and integrated into larger, more complex and complete systems.

This document provides a process reference model characterized in terms of the process purpose and the process outcomes that result from the successful execution of the activity tasks. Annex B lists examples of artifacts and information items that may be associated with various processes. This document can therefore be used as a reference model to support process assessment as specified in ISO/IEC 33002:2015. Annex C provides information regarding the use of the software life cycle processes as a process reference model. Annex D describes the process constructs for use in the process reference model. Annex I provides the correspondence between this document and ISO/IEC/IEEE 12207:2008 at the level of process name and process outcome.

1.4 Limitations

This document does not prescribe a specific software life cycle model, development methodology, method, modelling approach, or technique. The users of this document are responsible for selecting a life cycle model for the project and mapping the processes, activities, and tasks in this document into that model. The parties are also responsible for selecting and applying appropriate methodologies, methods, models and techniques suitable for the project.

This document does not establish a management system or require the use of any management system standard. However, it is intended to be compatible with the quality management system specified by ISO 9001, the service management system specified by ISO/IEC 20000-1 (IEEE Std 20000-1), and the information security management system specified by ISO/IEC 27000.

This document does not detail information items in terms of name, format, explicit content and recording media. ISO/IEC/IEEE 15289 addresses the content for life cycle process information items (documentation).

2 Normative references

There are no normative references in this document.

3 Terms, definitions, and abbreviated terms

3.1 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org>
- ISO Online browsing platform: available at <http://www.iso.org/obp>
- IEEE Standards Dictionary Online: available at <http://ieeexplore.ieee.org/xpls/dictionary.jsp>

Definitions for other terms typically can be found in ISO/IEC/IEEE 24765, *System and software engineering — Vocabulary*, available at <www.computer.org/sevocab>.

3.1.1

acquirer

stakeholder that acquires or procures a product or service from a supplier

Note 1 to entry: Other terms commonly used for an acquirer are buyer, customer, owner, purchaser or internal/organizational sponsor.