

AUDIO-, VIDEO-, INFORMATSIOONI- JA SIDETEHNIKA
SEADMED. OSA 1: OHUTUSNÕUDED

Audio/video, information and communication
technology equipment - Part 1: Safety requirements

EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

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| <p>See Eesti standard EVS-EN IEC 62368-1:2024 sisaldab Euroopa standardi EN IEC 62368-1:2024 ingliskeelset teksti.</p> <p>Standard on jõustunud sellekohase teate avaldamisega EVS Teatajas.</p> <p>Euroopa standardimisorganisatsioonid on teinud Euroopa standardi rahvuslikele liikmetele kättesaadavaks 19.04.2024.</p> <p>Standard on kättesaadav Eesti Standardimis- ja Akrediteerimiskeskusest.</p> | <p>This Estonian standard EVS-EN IEC 62368-1:2024 consists of the English text of the European standard EN IEC 62368-1:2024.</p> <p>This standard has been endorsed with a notification published in the official bulletin of the Estonian Centre for Standardisation and Accreditation.</p> <p>Date of Availability of the European standard is 19.04.2024.</p> <p>The standard is available from the Estonian Centre for Standardisation and Accreditation.</p> |
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EN IEC 62368-1

NORME EUROPÉENNE

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**Audio/video, information and communication technology
equipment - Part 1: Safety requirements
(IEC 62368-1:2023)**

Equipements des technologies de l'audio/vidéo, de
l'information et de la communication - Partie 1: Exigences
de sécurité
(IEC 62368-1:2023)

Einrichtungen für Audio/Video-, Informations- und
Kommunikationstechnik - Teil 1: Sicherheitsanforderungen
(IEC 62368-1:2023)

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European foreword

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INTERNATIONAL STANDARD

NORME INTERNATIONALE



**Audio/video, information and communication technology equipment –
Part 1: Safety requirements**

**Équipements des technologies de l'audio/vidéo, de l'information et de la
communication –
Partie 1: Exigences de sécurité**



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INTERNATIONAL STANDARD

NORME INTERNATIONALE



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communication –
Partie 1: Exigences de sécurité**

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CONTENTS

| | |
|--|----|
| FOREWORD..... | 20 |
| INTRODUCTION..... | 23 |
| 0 Principles of this product safety standard | 23 |
| 0.1 Objective | 23 |
| 0.2 Persons | 23 |
| 0.2.1 General | 23 |
| 0.2.2 Ordinary person..... | 23 |
| 0.2.3 Instructed person..... | 23 |
| 0.2.4 Skilled person..... | 23 |
| 0.3 Model for pain and injury..... | 24 |
| 0.4 Energy sources..... | 24 |
| 0.5 Safeguards | 25 |
| 0.5.1 General | 25 |
| 0.5.2 Equipment safeguard..... | 26 |
| 0.5.3 Installation safeguard | 26 |
| 0.5.4 Personal safeguard..... | 27 |
| 0.5.5 Behavioural safeguards | 27 |
| 0.5.6 Safeguards during ordinary or instructed person service conditions | 28 |
| 0.5.7 Equipment safeguards during skilled person service conditions | 28 |
| 0.5.8 Examples of safeguard characteristics..... | 28 |
| 0.6 Electrically-caused pain or injury (electric shock) | 29 |
| 0.6.1 Models for electrically-caused pain or injury | 29 |
| 0.6.2 Models for protection against electrically-caused pain or injury..... | 30 |
| 0.7 Electrically-caused fire..... | 31 |
| 0.7.1 Models for electrically-caused fire..... | 31 |
| 0.7.2 Models for protection against electrically-caused fire | 31 |
| 0.8 Injury caused by hazardous substances | 32 |
| 0.9 Mechanically-caused injury | 32 |
| 0.10 Thermally-caused injury (skin burn) | 33 |
| 0.10.1 Models for thermally-caused injury | 33 |
| 0.10.2 Models for protection against thermally-caused pain or injury | 34 |
| 0.11 Radiation-caused injury | 35 |
| 1 Scope..... | 36 |
| 2 Normative references | 37 |
| 3 Terms, definitions and abbreviated terms | 44 |
| 3.1 Energy source abbreviated terms..... | 44 |
| 3.2 Other abbreviated terms | 46 |
| 3.3 Terms and definitions..... | 47 |
| 3.3.1 Circuit terms | 50 |
| 3.3.2 Enclosure terms..... | 51 |
| 3.3.3 Equipment terms | 51 |
| 3.3.4 Flammability terms | 53 |
| 3.3.5 Electrical insulation..... | 54 |
| 3.3.6 Miscellaneous..... | 55 |
| 3.3.7 Operating and fault conditions | 58 |
| 3.3.8 Persons | 59 |
| 3.3.9 Potential ignition sources..... | 60 |

| | | |
|--------|---|----|
| 3.3.10 | Ratings | 60 |
| 3.3.11 | Safeguards | 61 |
| 3.3.12 | Spacings | 63 |
| 3.3.13 | Temperature controls..... | 63 |
| 3.3.14 | Voltages and currents..... | 63 |
| 3.3.15 | Classes of equipment with respect to protection from electric shock | 64 |
| 3.3.16 | Chemical terms..... | 65 |
| 3.3.17 | Batteries..... | 65 |
| 3.3.18 | FIW terms..... | 66 |
| 3.3.19 | Sound exposure | 66 |
| 4 | General requirements | 67 |
| 4.1 | General..... | 67 |
| 4.1.1 | Application of requirements and acceptance of materials, components and subassemblies | 67 |
| 4.1.2 | Use of components | 68 |
| 4.1.3 | Equipment design and construction | 68 |
| 4.1.4 | Equipment installation | 69 |
| 4.1.5 | Constructions and components not specifically covered..... | 69 |
| 4.1.6 | Orientation during transport and use..... | 69 |
| 4.1.7 | Choice of criteria | 69 |
| 4.1.8 | Liquids, refrigerants and liquid filled components (LFCs) | 69 |
| 4.1.9 | Electrical measuring instruments | 70 |
| 4.1.10 | Temperature measurements | 70 |
| 4.1.11 | Steady state conditions..... | 70 |
| 4.1.12 | Hierarchy of safeguards..... | 70 |
| 4.1.13 | Examples mentioned in this document | 70 |
| 4.1.14 | Tests on parts or samples separate from the end-product..... | 71 |
| 4.1.15 | Markings and instructions | 71 |
| 4.2 | Energy source classifications | 71 |
| 4.2.1 | Class 1 energy source | 71 |
| 4.2.2 | Class 2 energy source | 71 |
| 4.2.3 | Class 3 energy source | 71 |
| 4.2.4 | Energy source classification by declaration..... | 71 |
| 4.3 | Protection against energy sources | 71 |
| 4.3.1 | General | 71 |
| 4.3.2 | Safeguards for protection of an ordinary person | 72 |
| 4.3.3 | Safeguards for protection of an instructed person | 73 |
| 4.3.4 | Safeguards for protection of a skilled person | 74 |
| 4.3.5 | Safeguards in a restricted access area | 75 |
| 4.4 | Safeguards | 75 |
| 4.4.1 | Equivalent materials or components | 75 |
| 4.4.2 | Composition of a safeguard | 75 |
| 4.4.3 | Safeguard robustness..... | 75 |
| 4.4.4 | Displacement of a safeguard by an insulating liquid..... | 78 |
| 4.4.5 | Safety interlocks | 78 |
| 4.5 | Explosion..... | 78 |
| 4.5.1 | General | 78 |
| 4.5.2 | Requirements | 79 |
| 4.6 | Fixing of conductors and conductive parts..... | 79 |

| | | |
|--------|--|-----|
| 4.6.1 | Requirements | 79 |
| 4.6.2 | Compliance criteria | 79 |
| 4.7 | Equipment for direct insertion into mains socket-outlets | 79 |
| 4.7.1 | General | 79 |
| 4.7.2 | Requirements | 80 |
| 4.7.3 | Compliance criteria | 80 |
| 4.8 | Equipment containing coin or button cell batteries | 80 |
| 4.8.1 | General | 80 |
| 4.8.2 | Instructional safeguard | 80 |
| 4.8.3 | Construction | 81 |
| 4.8.4 | Tests | 81 |
| 4.8.5 | Compliance criteria | 82 |
| 4.9 | Likelihood of fire or shock due to entry of conductive objects | 83 |
| 4.10 | Components requirements | 83 |
| 4.10.1 | Disconnect device | 83 |
| 4.10.2 | Switches and relays | 83 |
| 4.10.3 | Mains power supply cords | 83 |
| 4.10.4 | Batteries and their protection circuits | 84 |
| 5 | Electrically-caused injury | 84 |
| 5.1 | General | 84 |
| 5.2 | Classification and limits of electrical energy sources | 84 |
| 5.2.1 | Electrical energy source classifications | 84 |
| 5.2.2 | Electrical energy source ES1 and ES2 limits | 84 |
| 5.3 | Protection against electrical energy sources | 90 |
| 5.3.1 | General | 90 |
| 5.3.2 | Accessibility to electrical energy sources and safeguards | 90 |
| 5.4 | Insulation materials and requirements | 93 |
| 5.4.1 | General | 93 |
| 5.4.2 | Clearances | 98 |
| 5.4.3 | Creepage distances | 109 |
| 5.4.4 | Solid insulation | 113 |
| 5.4.5 | Antenna terminal insulation | 123 |
| 5.4.6 | Insulation of internal wire as a part of a supplementary safeguard | 123 |
| 5.4.7 | Tests for semiconductor components and for cemented joints | 124 |
| 5.4.8 | Humidity conditioning | 124 |
| 5.4.9 | Electric strength test | 125 |
| 5.4.10 | Safeguards against transient voltages from external circuits | 128 |
| 5.4.11 | Separation between external circuits and earth | 130 |
| 5.4.12 | Insulating liquid | 131 |
| 5.5 | Components as safeguards | 132 |
| 5.5.1 | General | 132 |
| 5.5.2 | Capacitors and RC units | 132 |
| 5.5.3 | Transformers | 133 |
| 5.5.4 | Optocouplers | 134 |
| 5.5.5 | Relays | 134 |
| 5.5.6 | Resistors | 134 |
| 5.5.7 | Surge suppressors | 134 |
| 5.5.8 | Insulation between the mains and an external circuit consisting of a coaxial cable | 135 |

| | | |
|-------|---|-----|
| 5.5.9 | Safeguards for socket-outlets in outdoor equipment..... | 136 |
| 5.6 | Protective conductor | 136 |
| 5.6.1 | General | 136 |
| 5.6.2 | Requirements for protective conductors..... | 136 |
| 5.6.3 | Requirements for protective earthing conductors | 137 |
| 5.6.4 | Requirements for protective bonding conductors | 138 |
| 5.6.5 | Terminals for protective conductors | 140 |
| 5.6.6 | Resistance of the protective bonding system | 142 |
| 5.6.7 | Reliable connection of a protective earthing conductor | 143 |
| 5.6.8 | Functional earthing | 143 |
| 5.7 | Prospective touch voltage, touch current and protective conductor current..... | 144 |
| 5.7.1 | General | 144 |
| 5.7.2 | Measuring devices and networks | 144 |
| 5.7.3 | Equipment set-up, supply connections and earth connections..... | 144 |
| 5.7.4 | Unearthed accessible parts | 145 |
| 5.7.5 | Earthed accessible conductive parts..... | 145 |
| 5.7.6 | Requirements when touch current exceeds ES2 limits | 145 |
| 5.7.7 | Prospective touch voltage and touch current associated with external circuits..... | 146 |
| 5.7.8 | Summation of touch currents from external circuits..... | 147 |
| 5.8 | Backfeed safeguard in battery backed up supplies | 149 |
| 6 | Electrically-caused fire | 149 |
| 6.1 | General..... | 149 |
| 6.2 | Classification of power sources and potential ignition sources | 149 |
| 6.2.1 | General | 149 |
| 6.2.2 | Power source circuit classifications | 150 |
| 6.2.3 | Classification of potential ignition sources | 153 |
| 6.3 | Safeguards against fire under normal operating conditions and abnormal operating conditions..... | 154 |
| 6.3.1 | Requirements | 154 |
| 6.3.2 | Compliance criteria..... | 155 |
| 6.4 | Safeguards against fire under single fault conditions..... | 155 |
| 6.4.1 | General | 155 |
| 6.4.2 | Reduction of the likelihood of ignition under single fault conditions in PS1 circuits | 155 |
| 6.4.3 | Reduction of the likelihood of ignition under single fault conditions in PS2 circuits and PS3 circuits..... | 156 |
| 6.4.4 | Control of fire spread in PS1 circuits..... | 157 |
| 6.4.5 | Control of fire spread in PS2 circuits..... | 157 |
| 6.4.6 | Control of fire spread in a PS3 circuit | 158 |
| 6.4.7 | Separation of combustible materials from a PIS..... | 159 |
| 6.4.8 | Fire enclosures and fire barriers | 161 |
| 6.4.9 | Flammability of an insulating liquid | 169 |
| 6.5 | Internal and external wiring..... | 169 |
| 6.5.1 | General requirements | 169 |
| 6.5.2 | Requirements for interconnection to building wiring | 169 |
| 6.5.3 | Internal wiring for socket-outlets | 170 |
| 6.6 | Safeguards against fire due to the connection of additional equipment..... | 170 |
| 7 | Injury caused by hazardous substances..... | 170 |
| 7.1 | General..... | 170 |

| | | |
|--------|---|-----|
| 7.2 | Reduction of exposure to hazardous substances..... | 170 |
| 7.3 | Ozone exposure..... | 170 |
| 7.4 | Use of personal safeguards or personal protective equipment (PPE) | 171 |
| 7.5 | Use of instructional safeguards and instructions | 171 |
| 8 | Mechanically-caused injury..... | 171 |
| 8.1 | General..... | 171 |
| 8.2 | Mechanical energy source classifications..... | 171 |
| 8.2.1 | General classification | 171 |
| 8.2.2 | MS1..... | 174 |
| 8.2.3 | MS2..... | 174 |
| 8.2.4 | MS3..... | 174 |
| 8.3 | Safeguards against mechanical energy sources..... | 174 |
| 8.4 | Safeguards against parts with sharp edges and corners | 174 |
| 8.4.1 | Requirements | 174 |
| 8.4.2 | Compliance criteria..... | 175 |
| 8.5 | Safeguards against moving parts | 175 |
| 8.5.1 | Requirements | 175 |
| 8.5.2 | Instructional safeguard requirements | 176 |
| 8.5.3 | Compliance criteria..... | 176 |
| 8.5.4 | Special categories of equipment containing moving parts | 176 |
| 8.5.5 | High pressure lamps..... | 181 |
| 8.6 | Stability of equipment | 182 |
| 8.6.1 | Requirements | 182 |
| 8.6.2 | Static stability | 184 |
| 8.6.3 | Relocation stability | 185 |
| 8.6.4 | Glass slide test..... | 186 |
| 8.6.5 | Horizontal force test and compliance criteria..... | 186 |
| 8.7 | Equipment mounted to a wall, ceiling or other structure | 186 |
| 8.7.1 | Requirements | 186 |
| 8.7.2 | Test methods..... | 186 |
| 8.7.3 | Compliance criteria..... | 188 |
| 8.8 | Handle strength | 188 |
| 8.8.1 | General | 188 |
| 8.8.2 | Test method | 188 |
| 8.9 | Wheels or casters attachment requirements..... | 189 |
| 8.9.1 | General | 189 |
| 8.9.2 | Test method | 189 |
| 8.10 | Carts, stands, and similar carriers..... | 189 |
| 8.10.1 | General | 189 |
| 8.10.2 | Marking and instructions..... | 189 |
| 8.10.3 | Cart, stand or carrier loading test and compliance criteria..... | 190 |
| 8.10.4 | Cart, stand or carrier impact test..... | 191 |
| 8.10.5 | Mechanical stability | 191 |
| 8.10.6 | Thermoplastic temperature stability | 191 |
| 8.11 | Mounting means for slide-rail mounted equipment (SRME) | 191 |
| 8.11.1 | General | 191 |
| 8.11.2 | Requirements | 192 |
| 8.11.3 | Mechanical strength test..... | 192 |
| 8.11.4 | Compliance criteria..... | 193 |

| | | |
|-----------------------|--|-----|
| 8.12 | Telescoping or rod antennas | 193 |
| 9 | Thermal burn injury..... | 194 |
| 9.1 | General..... | 194 |
| 9.2 | Thermal energy source classifications..... | 194 |
| 9.2.1 | TS1 | 194 |
| 9.2.2 | TS2 | 194 |
| 9.2.3 | TS3 | 194 |
| 9.3 | Touch temperature limits..... | 194 |
| 9.3.1 | Requirements | 194 |
| 9.3.2 | Test method and compliance criteria | 194 |
| 9.4 | Safeguards against thermal energy sources..... | 197 |
| 9.5 | Requirements for safeguards | 197 |
| 9.5.1 | Equipment safeguard..... | 197 |
| 9.5.2 | Instructional safeguard | 197 |
| 9.6 | Requirements for wireless power transmitters | 198 |
| 9.6.1 | General | 198 |
| 9.6.2 | Specification of the foreign objects | 198 |
| 9.6.3 | Test method and compliance criteria | 200 |
| 10 | Radiation..... | 201 |
| 10.1 | General..... | 201 |
| 10.2 | Radiation energy source classifications | 201 |
| 10.2.1 | General classification | 201 |
| 10.2.2 | RS1 | 203 |
| 10.2.3 | RS2 | 203 |
| 10.2.4 | RS3 | 204 |
| 10.3 | Safeguards against laser radiation..... | 204 |
| 10.4 | Safeguards against optical radiation from lamps and lamp systems (including LED types)..... | 204 |
| 10.4.1 | General requirements | 204 |
| 10.4.2 | Requirements for equipment safeguards..... | 205 |
| 10.4.3 | Instructional safeguard | 205 |
| 10.4.4 | Compliance criteria..... | 207 |
| 10.5 | Safeguards against X-radiation | 208 |
| 10.5.1 | Requirements | 208 |
| 10.5.2 | Compliance criteria..... | 208 |
| 10.5.3 | Test method | 208 |
| 10.6 | Safeguards against acoustic energy sources | 208 |
| 10.6.1 | General | 208 |
| 10.6.2 | Classification | 209 |
| 10.6.3 | Requirements for dose-based systems | 210 |
| 10.6.4 | Measurement methods | 211 |
| 10.6.5 | Protection of persons..... | 211 |
| 10.6.6 | Requirements for listening devices (headphones, earphones, etc.)..... | 212 |
| Annex A (informative) | Examples of equipment within the scope of this document | 213 |
| Annex B (normative) | Normal operating condition tests, abnormal operating condition tests and single fault condition tests | 214 |
| B.1 | General..... | 214 |
| B.1.1 | Test applicability..... | 214 |
| B.1.2 | Type of test | 214 |

| | | |
|---------------------|--|-----|
| B.1.3 | Test samples | 214 |
| B.1.4 | Compliance by inspection of relevant data | 214 |
| B.1.5 | Temperature measurement conditions | 214 |
| B.1.6 | Specific output conditions | 215 |
| B.2 | Normal operating conditions | 215 |
| B.2.1 | General | 215 |
| B.2.2 | Supply frequency | 215 |
| B.2.3 | Supply voltage | 216 |
| B.2.4 | Normal operating voltages | 216 |
| B.2.5 | Input test | 216 |
| B.2.6 | Operating temperature measurement conditions | 217 |
| B.2.7 | Battery charging and discharging under normal operating conditions | 218 |
| B.3 | Simulated abnormal operating conditions | 218 |
| B.3.1 | General | 218 |
| B.3.2 | Covering of ventilation openings | 218 |
| B.3.3 | DC mains polarity test | 219 |
| B.3.4 | Setting of voltage selector | 219 |
| B.3.5 | Maximum load at output terminals | 219 |
| B.3.6 | Reverse battery polarity | 219 |
| B.3.7 | Audio amplifier abnormal operating conditions | 219 |
| B.3.8 | Compliance criteria during and after abnormal operating conditions | 219 |
| B.4 | Simulated single fault conditions | 220 |
| B.4.1 | General | 220 |
| B.4.2 | Temperature controlling device | 220 |
| B.4.3 | Motor tests | 220 |
| B.4.4 | Functional insulation | 221 |
| B.4.5 | Short-circuit and interruption of electrodes in tubes and semiconductors | 221 |
| B.4.6 | Short-circuit or disconnection of passive components | 221 |
| B.4.7 | Continuous operation of components | 222 |
| B.4.8 | Compliance criteria during and after single fault conditions | 222 |
| B.4.9 | Battery charging and discharging under single fault conditions | 222 |
| Annex C (normative) | UV radiation | 223 |
| C.1 | Protection of materials in equipment from UV radiation | 223 |
| C.1.1 | General | 223 |
| C.1.2 | Requirements | 223 |
| C.1.3 | Test method and compliance criteria | 223 |
| C.2 | UV light conditioning test | 224 |
| C.2.1 | Test apparatus | 224 |
| C.2.2 | Mounting of test samples | 224 |
| C.2.3 | Carbon-arc light-exposure test | 224 |
| C.2.4 | Xenon-arc light-exposure test | 224 |
| Annex D (normative) | Test generators | 225 |
| D.1 | Impulse test generators | 225 |
| D.2 | Antenna interface test generator | 225 |
| D.3 | Electronic pulse generator | 226 |
| Annex E (normative) | Test conditions for equipment intended to amplify audio signals | 227 |
| E.1 | Electrical energy source classification for audio signals | 227 |
| E.2 | Audio signals used during test | 227 |
| E.2.1 | Pink noise test signal | 227 |

| | | |
|---------------------|---|-----|
| E.2.2 | Sine-wave signal | 228 |
| E.3 | Operating conditions of equipment containing an audio amplifier | 228 |
| E.3.1 | Normal operating conditions | 228 |
| E.3.2 | Abnormal operating conditions..... | 229 |
| E.3.3 | Audio equipment temperature measurement conditions | 229 |
| Annex F (normative) | Equipment markings, instructions, and instructional safeguards | 230 |
| F.1 | General..... | 230 |
| F.2 | Letter symbols and graphical symbols..... | 230 |
| F.2.1 | Letter symbols | 230 |
| F.2.2 | Graphical symbols | 230 |
| F.2.3 | Compliance criteria | 230 |
| F.3 | Equipment markings | 230 |
| F.3.1 | Equipment marking locations | 230 |
| F.3.2 | Equipment identification markings | 231 |
| F.3.3 | Equipment rating markings | 231 |
| F.3.4 | Voltage setting device | 234 |
| F.3.5 | Markings on terminals and operating devices..... | 234 |
| F.3.6 | Equipment markings related to equipment classification | 235 |
| F.3.7 | Equipment IP rating marking..... | 236 |
| F.3.8 | External power supply unit output marking..... | 236 |
| F.3.9 | Durability, legibility and permanence of markings | 237 |
| F.3.10 | Test for the permanence of markings..... | 237 |
| F.4 | Instructions | 237 |
| F.5 | Instructional safeguards | 238 |
| Annex G (normative) | Components | 241 |
| G.1 | Switches | 241 |
| G.1.1 | General | 241 |
| G.1.2 | Requirements | 241 |
| G.1.3 | Test method and compliance criteria | 242 |
| G.2 | Relays | 242 |
| G.2.1 | Requirements and compliance criteria | 242 |
| G.2.2 | Overload test..... | 243 |
| G.2.3 | Relay controlling connectors supplying power to other equipment..... | 243 |
| G.2.4 | Test method and compliance criteria | 243 |
| G.3 | Protective devices..... | 243 |
| G.3.1 | Thermal cut-offs | 243 |
| G.3.2 | Thermal links | 245 |
| G.3.3 | PTC thermistors..... | 245 |
| G.3.4 | Overcurrent protective devices | 246 |
| G.3.5 | Safeguard components not mentioned in G.3.1 to G.3.4 | 246 |
| G.4 | Connectors | 246 |
| G.4.1 | Clearance and creepage distance requirements | 246 |
| G.4.2 | Mains connectors | 247 |
| G.4.3 | Connectors other than mains connectors | 247 |
| G.5 | Wound components | 247 |
| G.5.1 | Wire insulation in wound components | 247 |
| G.5.2 | Endurance test | 247 |
| G.5.3 | Transformers | 249 |
| G.5.4 | Motors | 257 |

| | | |
|---------------------|---|-----|
| G.6 | Wire insulation | 261 |
| G.6.1 | General | 261 |
| G.6.2 | Enamelled winding wire insulation | 262 |
| G.7 | Mains power supply cords and interconnection cables | 262 |
| G.7.1 | General | 262 |
| G.7.2 | Cross sectional area | 263 |
| G.7.3 | Cord anchorages and strain relief | 265 |
| G.7.4 | Cord entry | 266 |
| G.7.5 | Non-detachable cord bend protection | 266 |
| G.7.6 | Supply wiring space | 267 |
| G.8 | Varistors | 268 |
| G.8.1 | General | 268 |
| G.8.2 | Safeguards against fire | 269 |
| G.9 | Integrated circuit (IC) current limiters | 271 |
| G.9.1 | Requirements | 271 |
| G.9.2 | Test program | 271 |
| G.9.3 | Compliance criteria | 272 |
| G.10 | Resistors | 272 |
| G.10.1 | General | 272 |
| G.10.2 | Conditioning | 272 |
| G.10.3 | Resistor test | 273 |
| G.10.4 | Voltage surge test | 273 |
| G.10.5 | Impulse test | 273 |
| G.10.6 | Overload test | 273 |
| G.11 | Capacitors and RC units | 273 |
| G.11.1 | General | 273 |
| G.11.2 | Conditioning of capacitors and RC units | 273 |
| G.11.3 | Rules for selecting capacitors | 274 |
| G.12 | Optocouplers | 275 |
| G.13 | Printed boards | 275 |
| G.13.1 | General | 275 |
| G.13.2 | Uncoated printed boards | 275 |
| G.13.3 | Coated printed boards | 275 |
| G.13.4 | Insulation between conductors on the same inner surface | 276 |
| G.13.5 | Insulation between conductors on different surfaces | 277 |
| G.13.6 | Tests on coated printed boards | 277 |
| G.14 | Coatings on component terminals | 279 |
| G.14.1 | Requirements | 279 |
| G.14.2 | Test method and compliance criteria | 279 |
| G.15 | Pressurized liquid filled components or LFC assemblies | 280 |
| G.15.1 | Requirements | 280 |
| G.15.2 | Test methods and compliance criteria for self-contained LFC | 280 |
| G.15.3 | Test methods and compliance criteria for a modular LFC | 281 |
| G.16 | IC that includes a capacitor discharge function (ICX) | 283 |
| G.16.1 | Requirements | 283 |
| G.16.2 | Tests | 283 |
| G.16.3 | Compliance criteria | 283 |
| Annex H (normative) | Criteria for telephone ringing signals | 284 |
| H.1 | General | 284 |

| | | |
|-----------------------|--|-----|
| H.2 | Method A | 284 |
| H.3 | Method B | 286 |
| H.3.1 | Ringing signal..... | 286 |
| H.3.2 | Tripping device and monitoring voltage..... | 287 |
| Annex I (informative) | Overvoltage categories (see IEC 60364-4-44) | 289 |
| Annex J (normative) | Insulated winding wires for use without interleaved insulation | 290 |
| J.1 | General..... | 290 |
| J.2 | Type tests | 290 |
| J.2.1 | General | 290 |
| J.2.2 | Electric strength | 290 |
| J.2.3 | Flexibility and adherence | 291 |
| J.2.4 | Heat shock | 291 |
| J.2.5 | Retention of electric strength after bending..... | 292 |
| J.3 | Testing during manufacturing..... | 292 |
| J.3.1 | General | 292 |
| J.3.2 | Spark test..... | 292 |
| J.3.3 | Sampling test..... | 293 |
| Annex K (normative) | Safety interlocks | 294 |
| K.1 | General..... | 294 |
| K.1.1 | General requirements | 294 |
| K.1.2 | Test method and compliance criteria | 294 |
| K.2 | Components of the safety interlock safeguard mechanism | 295 |
| K.3 | Inadvertent change of operating mode | 295 |
| K.4 | Interlock safeguard override..... | 295 |
| K.5 | Fail-safe | 295 |
| K.5.1 | Requirement..... | 295 |
| K.5.2 | Test method and compliance criteria | 295 |
| K.6 | Mechanically operated safety interlocks | 296 |
| K.6.1 | Endurance requirement | 296 |
| K.6.2 | Test method and compliance criteria | 296 |
| K.7 | Interlock circuit isolation | 296 |
| K.7.1 | Separation distances for contact gaps and interlock circuit elements | 296 |
| K.7.2 | Overload test..... | 297 |
| K.7.3 | Endurance test | 297 |
| K.7.4 | Electric strength test..... | 297 |
| Annex L (normative) | Disconnect devices..... | 298 |
| L.1 | General requirements | 298 |
| L.2 | Permanently connected equipment | 298 |
| L.3 | Parts that remain energized | 298 |
| L.4 | Single-phase equipment..... | 299 |
| L.5 | Three-phase equipment | 299 |
| L.6 | Switches as disconnect devices | 299 |
| L.7 | Plugs as disconnect devices | 299 |
| L.8 | Multiple power sources | 299 |
| L.9 | Compliance criteria | 300 |
| Annex M (normative) | Equipment containing batteries and their protection circuits..... | 301 |
| M.1 | General requirements | 301 |
| M.2 | Safety of batteries and their cells..... | 301 |

| | | |
|---------------------|---|-----|
| M.2.1 | Requirements | 301 |
| M.2.2 | Compliance criteria | 301 |
| M.3 | Protection circuits for batteries provided within the equipment | 302 |
| M.3.1 | Requirements | 302 |
| M.3.2 | Test method | 302 |
| M.3.3 | Compliance criteria | 303 |
| M.4 | Additional safeguards for equipment containing a secondary lithium battery | 303 |
| M.4.1 | General | 303 |
| M.4.2 | Charging safeguards | 304 |
| M.4.3 | Fire enclosure..... | 306 |
| M.4.4 | Drop test of equipment containing a secondary lithium battery..... | 306 |
| M.5 | Risk of burn due to short-circuit during carrying | 307 |
| M.5.1 | Requirements | 307 |
| M.5.2 | Test method and compliance criteria | 307 |
| M.6 | Safeguards against short-circuits | 308 |
| M.6.1 | Requirements | 308 |
| M.6.2 | Compliance criteria | 308 |
| M.7 | Risk of explosion from lead acid and NiCd batteries | 308 |
| M.7.1 | Ventilation preventing an explosive gas concentration | 308 |
| M.7.2 | Test method and compliance criteria | 309 |
| M.7.3 | Ventilation tests | 312 |
| M.7.4 | Marking requirement | 313 |
| M.8 | Protection against internal ignition from external spark sources of rechargeable batteries with aqueous electrolyte | 313 |
| M.8.1 | General | 313 |
| M.8.2 | Test method | 314 |
| M.9 | Preventing electrolyte spillage | 316 |
| M.9.1 | Protection from electrolyte spillage | 316 |
| M.9.2 | Tray for preventing electrolyte spillage | 316 |
| M.10 | Instructions to prevent reasonably foreseeable misuse | 317 |
| Annex N (normative) | Electrochemical potentials (V)..... | 318 |
| Annex O (normative) | Measurement of creepage distances and clearances | 320 |
| Annex P (normative) | Safeguards against conductive objects | 327 |
| P.1 | General..... | 327 |
| P.2 | Safeguards against entry or consequences of entry of a foreign object | 327 |
| P.2.1 | General | 327 |
| P.2.2 | Safeguard requirements | 329 |
| P.2.3 | Consequence of entry test | 331 |
| P.3 | Safeguards against spillage of internal liquids..... | 331 |
| P.3.1 | General | 331 |
| P.3.2 | Determination of spillage consequences | 331 |
| P.3.3 | Spillage safeguards | 331 |
| P.3.4 | Compliance criteria | 332 |
| P.4 | Metallized coatings and adhesives securing parts | 332 |
| P.4.1 | General | 332 |
| P.4.2 | Tests | 332 |
| Annex Q (normative) | Circuits intended for interconnection with building wiring | 335 |
| Q.1 | Limited power source | 335 |
| Q.1.1 | Requirements | 335 |

| | | |
|-----------------------|---|-----|
| Q.1.2 | Test method and compliance criteria | 335 |
| Q.2 | Test for external circuits – paired conductor cable | 336 |
| Annex R (normative) | Limited short-circuit test..... | 338 |
| R.1 | General..... | 338 |
| R.2 | Test setup..... | 338 |
| R.3 | Test method..... | 338 |
| R.4 | Compliance criteria | 339 |
| Annex S (normative) | Tests for resistance to heat and fire | 340 |
| S.1 | Flammability test for fire enclosure and fire barrier materials of equipment where the steady state power does not exceed 4 000 W | 340 |
| S.2 | Flammability test for fire enclosure and fire barrier integrity | 341 |
| S.3 | Flammability tests for the bottom of a fire enclosure | 343 |
| S.3.1 | Mounting of samples..... | 343 |
| S.3.2 | Test method and compliance criteria | 343 |
| S.4 | Flammability classification of materials | 343 |
| S.5 | Flammability test for fire enclosure materials of equipment with a steady state power exceeding 4 000 W | 344 |
| S.6 | Grille covering material, cloth, and reticulated foam | 345 |
| Annex T (normative) | Mechanical strength tests..... | 346 |
| T.1 | General..... | 346 |
| T.2 | Steady force test, 10 N | 346 |
| T.3 | Steady force test, 30 N | 346 |
| T.4 | Steady force test, 100 N | 346 |
| T.5 | Steady force test, 250 N | 346 |
| T.6 | Enclosure impact test..... | 346 |
| T.7 | Drop test..... | 347 |
| T.8 | Stress relief test..... | 347 |
| T.9 | Glass impact test | 348 |
| T.10 | Glass fragmentation test | 348 |
| T.11 | Test for telescoping or rod antennas | 349 |
| Annex U (normative) | Mechanical strength of CRTs and protection against the effects of implosion | 350 |
| U.1 | General..... | 350 |
| U.2 | Test method and compliance criteria for non-intrinsically protected CRTs | 351 |
| U.3 | Protective screen | 351 |
| Annex V (normative) | Determination of accessible parts | 352 |
| V.1 | Accessible parts of equipment | 352 |
| V.1.1 | General | 352 |
| V.1.2 | Test method 1 – Surfaces and openings tested with jointed test probes..... | 352 |
| V.1.3 | Test method 2 – Openings tested with straight unjointed test probes | 353 |
| V.1.4 | Test method 3 – Plugs, jacks, connectors | 356 |
| V.1.5 | Test method 4 – Slot openings | 356 |
| V.1.6 | Test method 5 – Terminals intended to be used by an ordinary person | 357 |
| V.2 | Accessible part criterion..... | 358 |
| Annex W (informative) | Comparison of terms introduced in this document..... | 359 |
| W.1 | General..... | 359 |
| W.2 | Comparison of terms..... | 359 |
| Annex X (normative) | Alternative method for determining clearances for insulation in circuits connected to an AC mains not exceeding 420 V peak (300 V RMS) | 374 |

| | |
|--|-----|
| Annex Y (normative) Construction requirements for outdoor enclosures..... | 376 |
| Y.1 General..... | 376 |
| Y.2 Resistance to UV radiation..... | 376 |
| Y.3 Resistance to corrosion | 376 |
| Y.3.1 General | 376 |
| Y.3.2 Test apparatus | 377 |
| Y.3.3 Water – saturated sulphur dioxide atmosphere | 377 |
| Y.3.4 Test procedure | 377 |
| Y.3.5 Compliance criteria..... | 378 |
| Y.4 Gaskets | 378 |
| Y.4.1 General | 378 |
| Y.4.2 Gasket tests | 378 |
| Y.4.3 Tensile strength and elongation tests..... | 378 |
| Y.4.4 Compression test..... | 379 |
| Y.4.5 Oil resistance | 380 |
| Y.4.6 Securing means..... | 380 |
| Y.5 Protection of equipment within an outdoor enclosure | 381 |
| Y.5.1 General | 381 |
| Y.5.2 Protection from moisture..... | 381 |
| Y.5.3 Water spray test | 382 |
| Y.5.4 Protection from plants and vermin | 384 |
| Y.5.5 Protection from excessive dust..... | 385 |
| Y.6 Mechanical strength of enclosures..... | 385 |
| Y.6.1 General | 385 |
| Y.6.2 Impact test..... | 386 |
| Bibliography..... | 387 |
| | |
| Figure 1 – Three block model for pain and injury..... | 24 |
| Figure 2 – Three block model for safety | 25 |
| Figure 3 – Schematic and model for electrically-caused pain or injury..... | 30 |
| Figure 4 – Model for protection against electrically-caused pain or injury | 30 |
| Figure 5 – Model for electrically-caused fire..... | 31 |
| Figure 6 – Models for protection against fire | 32 |
| Figure 7 – Schematic and model for thermally-caused injury..... | 34 |
| Figure 8 – Model for protection against thermally-caused injury | 34 |
| Figure 9 – Model for protection of an ordinary person against a class 1 energy source | 72 |
| Figure 10 – Model for protection of an ordinary person against a class 2 energy source | 72 |
| Figure 11 – Model for protection of an ordinary person against a class 2 energy source during ordinary person servicing conditions | 72 |
| Figure 12 – Model for protection of an ordinary person against a class 3 energy source | 73 |
| Figure 13 – Model for protection of an instructed person against a class 1 energy source | 73 |
| Figure 14 – Model for protection of an instructed person against a class 2 energy source | 73 |
| Figure 15 – Model for protection of an instructed person against a class 3 energy source | 74 |
| Figure 16 – Model for protection of a skilled person against a class 1 energy source | 74 |

| | |
|--|-----|
| Figure 17 – Model for protection of a skilled person against a class 2 energy source | 74 |
| Figure 18 – Model for protection of a skilled person against a class 3 energy source | 74 |
| Figure 19 – Model for protection of a skilled person against class 3 energy sources during equipment servicing conditions | 75 |
| Figure 20 – Test hook | 83 |
| Figure 21 – Illustration showing ES limits for voltage and current..... | 85 |
| Figure 22 – Maximum values for combined AC current and DC current | 87 |
| Figure 23 – Maximum values for combined AC voltage and DC voltage | 87 |
| Figure 24 – Contact requirements to bare internal conductive parts | 91 |
| Figure 25 – Mandrel..... | 117 |
| Figure 26 – Initial position of mandrel | 118 |
| Figure 27 – Final position of mandrel | 118 |
| Figure 28 – Position of metal foil on insulating material..... | 118 |
| Figure 29 – Example of electric strength test instrument for solid insulation..... | 127 |
| Figure 30 – Application points of test voltage | 128 |
| Figure 31 – Test for separation between an external circuit and earth..... | 131 |
| Figure 32 – Test circuit for touch current of single-phase equipment..... | 147 |
| Figure 33 – Test circuit for touch current of three-phase equipment..... | 147 |
| Figure 34 – Power measurement for worst-case load fault | 151 |
| Figure 35 – Power measurement for worst-case power source fault..... | 152 |
| Figure 36 – Illustration of power source classification | 153 |
| Figure 37 – Minimum separation requirements from a PIS | 159 |
| Figure 38 – Extended separation requirements from a PIS..... | 160 |
| Figure 39 – Deflected separation requirements from a PIS when a fire barrier is used | 161 |
| Figure 40 – Determination of top, bottom and side openings..... | 163 |
| Figure 41 – Top openings | 164 |
| Figure 42 – Bottom openings | 165 |
| Figure 43 – Baffle plate construction..... | 165 |
| Figure 44 – Application of bottom opening requirements..... | 166 |
| Figure 45 – Application of bottom opening properties to side enclosure material thickness | 167 |
| Figure 46 – PIS trajectory downwards..... | 168 |
| Figure 47 – Limits for moving fan blades made of non-plastic materials | 173 |
| Figure 48 – Limits for moving fan blades made of plastic materials | 173 |
| Figure 49 – Steel disc | 198 |
| Figure 50 – Aluminium ring | 199 |
| Figure 51 – Aluminium foil | 200 |
| Figure 52 – Example of a warning label for a lamp with multiple hazard spectral regions | 207 |
| Figure D.1 – 1,2/50 μ s and 10/700 μ s voltage impulse generator | 225 |
| Figure D.2 – Antenna interface test generator circuit | 226 |
| Figure D.3 – Example of an electronic pulse generator | 226 |
| Figure F.1 – Example of an instructional safeguard..... | 239 |
| Figure G.1 – Determination of arithmetic average temperature | 252 |

| | |
|--|-----|
| Figure G.2 – Test voltages | 255 |
| Figure G.3 – Thermal ageing time | 278 |
| Figure G.4 – Abrasion resistance test for coating layers | 279 |
| Figure H.1 – Definition of ringing period and cadence cycle | 285 |
| Figure H.2 – I_{TS1} limit curve for cadenced ringing signal..... | 286 |
| Figure H.3 – Peak and peak-to-peak currents | 286 |
| Figure H.4 – Ringing voltage trip criteria | 288 |
| Figure M.1 – Distance d as a function of the rated capacity for various charge currents I (mA/Ah) | 316 |
| Figure O.1 – Narrow groove | 320 |
| Figure O.2 – Wide groove | 321 |
| Figure O.3 – V-shaped groove | 321 |
| Figure O.4 – Intervening unconnected conductive part..... | 321 |
| Figure O.5 – Rib | 321 |
| Figure O.6 – Uncemented joint with narrow groove | 322 |
| Figure O.7 – Uncemented joint with wide groove..... | 322 |
| Figure O.8 – Uncemented joint with narrow and wide grooves | 322 |
| Figure O.9 – Narrow recess | 323 |
| Figure O.10 – Wide recess | 323 |
| Figure O.11 – Coating around terminals..... | 324 |
| Figure O.12 – Coating over printed wiring | 324 |
| Figure O.13 – Example of measurements in an enclosure of insulating material..... | 325 |
| Figure O.14 – Cemented joints in multi-layer printed boards | 325 |
| Figure O.15 – Device filled with insulating compound..... | 326 |
| Figure O.16 – Partitioned bobbin | 326 |
| Figure P.1 – Examples of cross-sections of designs of top openings which prevent vertical entry | 328 |
| Figure P.2 – Examples of cross-sections of designs of side opening louvres which prevent vertical entry | 328 |
| Figure P.3 – Enclosure thickness Safeguards against the consequences of entry of a foreign object..... | 328 |
| Figure P.4 – Internal volume locus for foreign object entry | 330 |
| Figure S.1 – Top openings / surface of fire enclosure or fire barrier | 342 |
| Figure T.1 – Impact test using sphere | 347 |
| Figure V.1 – Jointed test probe for equipment likely to be accessible to children..... | 354 |
| Figure V.2 – Jointed test probe for equipment not likely to be accessible to children..... | 355 |
| Figure V.3 – Blunt probe | 356 |
| Figure V.4 – Wedge probe | 357 |
| Figure V.5 – Terminal probe | 358 |
| Figure Y.1 – Gasket test | 380 |
| Figure Y.2 – Water-spray test spray-head piping..... | 383 |
| Figure Y.3 – Water-spray test spray head | 384 |
| Table 1 – Response to energy class | 24 |

| | |
|--|-----|
| Table 2 – Examples of body response or property damage related to energy sources | 25 |
| Table 3 – Examples of safeguard characteristics | 29 |
| Table 4 – Electrical energy source limits for steady state ES1 and ES2 | 86 |
| Table 5 – Electrical energy source limits for a charged capacitor | 88 |
| Table 6 – Voltage limits for single pulses | 89 |
| Table 7 – Current limits for single pulses | 89 |
| Table 8 – Minimum air gap distance | 92 |
| Table 9 – Temperature limits for materials, components and systems | 94 |
| Table 10 – Minimum clearances for voltages with frequencies up to 30 kHz | 100 |
| Table 11 – Minimum clearances for voltages with frequencies above 30 kHz | 101 |
| Table 12 – Mains transient voltages | 102 |
| Table 13 – External circuit ID assignment and associated transient voltages | 104 |
| Table 14 – Minimum clearances using required withstand voltage | 107 |
| Table 15 – Electric strength test voltages | 108 |
| Table 16 – Multiplication factors for clearances and test voltages | 109 |
| Table 17 – Minimum creepage distances for basic insulation and supplementary insulation in mm | 112 |
| Table 18 – Minimum values of creepage distances (in mm) for frequencies higher than 30 kHz and up to 400 kHz | 113 |
| Table 19 – Tests for insulation in non-separable layers | 116 |
| Table 20 – Electric field strength E_P for some commonly used materials | 121 |
| Table 21 – Reduction factors for the value of breakdown electric field strength E_P at higher frequencies | 122 |
| Table 22 – Reduction factors for the value of breakdown electric field strength E_P at higher frequencies for thin materials | 122 |
| Table 23 – Values for insulation resistance | 123 |
| Table 24 – Distance through insulation of internal wiring | 124 |
| Table 25 – Test voltages for electric strength tests based on transient voltages | 126 |
| Table 26 – Test voltages for electric strength tests based on the peak of the working voltages and recurring peak voltages | 126 |
| Table 27 – Test voltages for electric strength tests based on temporary overvoltages | 127 |
| Table 28 – Test values for electric strength tests | 129 |
| Table 29 – Overview of tests for resistor applications | 134 |
| Table 30 – Protective earthing conductor sizes for reinforced safeguards for permanently connected equipment | 137 |
| Table 31 – Minimum protective bonding conductor size of copper conductors | 139 |
| Table 32 – Sizes of terminals for protective conductors | 141 |
| Table 33 – Test duration, mains connected equipment | 142 |
| Table 34 – Classification for various categories of mechanical energy sources | 172 |
| Table 35 – Overview of requirements and tests | 183 |
| Table 36 – Torque to be applied to screws | 188 |
| Table 37 – Touch temperature limits for accessible parts | 196 |
| Table 38 – Radiation energy source classifications | 202 |
| Table 39 – Allowable radiation level according to IEC 62471 for each hazard type | 205 |

| | |
|--|-----|
| Table 40 – Hazard-related risk group marking..... | 206 |
| Table 41 – Explanation of marking information and guidance on control measures | 207 |
| Table C.1 – Minimum property retention limits after UV exposure | 223 |
| Table D.1 – Component values for Figure D.1 and Figure D.2..... | 226 |
| Table E.1 – Audio signal electrical energy source classes and safeguards..... | 227 |
| Table F.1 – Instructional safeguard element description and examples | 239 |
| Table F.2 – Examples of markings, instructions, and instructional safeguards..... | 240 |
| Table G.1 – Peak surge current | 242 |
| Table G.2 – Test temperature and testing time (days) per cycle..... | 248 |
| Table G.3 – Temperature limits for transformer windings and for motor windings (except for the motor running overload test)..... | 251 |
| Table G.4 – Test voltages for electric strength tests based on the peak of the working voltages..... | 253 |
| Table G.5 – Values of FIW wires with minimum overall diameter and minimum test voltages according to the total enamel increase..... | 256 |
| Table G.6 – Temperature limits for running overload tests | 258 |
| Table G.7 – Sizes of conductors | 264 |
| Table G.8 – Strain relief test force | 265 |
| Table G.9 – Range of conductor sizes to be accepted by terminals..... | 267 |
| Table G.10 – Varistor overload and temporary overvoltage test | 270 |
| Table G.11 – Performance test program for integrated circuit (IC) current limiters..... | 272 |
| Table G.12 – Capacitor ratings according to IEC 60384-14 | 274 |
| Table G.13 – Minimum separation distances for coated printed boards | 276 |
| Table G.14 – Insulation in printed boards..... | 277 |
| Table I.1 – Overvoltage categories | 289 |
| Table J.1 – Mandrel diameter..... | 291 |
| Table J.2 – Oven temperature..... | 292 |
| Table M.1 – Values for current I_{float} and I_{boost} , factors f_g and f_s , and voltages U_{float} and U_{boost} | 311 |
| Table O.1 – Value of X | 320 |
| Table Q.1 – Limits for inherently limited power sources | 336 |
| Table Q.2 – Limits for power sources not inherently limited (overcurrent protective device required)..... | 336 |
| Table S.1 – Foamed materials | 343 |
| Table S.2 – Rigid materials..... | 343 |
| Table S.3 – Very thin materials | 344 |
| Table T.1 – Impact force | 348 |
| Table T.2 – Torque values for end-piece test | 349 |
| Table W.1 – Comparison of terms and definitions in IEC 60664-1:2020 and IEC 62368-1 | 359 |
| Table W.2 – Comparison of terms and definitions in IEC 61140:2016 and IEC 62368-1 | 361 |
| Table W.3 – Comparison of terms and definitions in IEC 60950-1:2005 and IEC 62368-1 | 364 |
| Table W.4 – Comparison of terms and definitions in IEC 60728-11:2016 and IEC 62368-1 | 368 |

| | |
|---|-----|
| Table W.5 – Comparison of terms and definitions in IEC 62151:2000 and IEC 62368-1 | 370 |
| Table W.6 – Comparison of terms and definitions in IEC 60065:2014 and IEC 62368-1 | 371 |
| Table X.1 – Alternative minimum clearances for insulation in circuits connected to AC mains not exceeding 420 V peak (300 V RMS)..... | 374 |
| Table X.2 – Additional clearances for insulation in circuits connected to AC mains not exceeding 420 V peak (300 V RMS)..... | 375 |
| Table Y.1 – Examples of the provision of pollution degree environments | 381 |

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

AUDIO/VIDEO, INFORMATION AND COMMUNICATION TECHNOLOGY EQUIPMENT –

Part 1: Safety requirements

FOREWORD

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IEC 62368-1 has been prepared by IEC technical committee TC 108: Safety of electronic equipment within the field of audio/video, information technology and communication technology. It is an International Standard.

This fourth edition cancels and replaces the third edition published in 2018. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) new table with requirements for external circuits;
- b) revision of requirements for openings in fire enclosures;
- c) revision of requirements for liquid filled components;
- d) revision of battery charging requirements.

The text of this International Standard is based on the following documents:

| Draft | Report on voting |
|--------------|------------------|
| 108/800/FDIS | 108/804/RVD |

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/publications.

A list of all parts in the IEC 62368 series, published under the general title *Audio/video, information and communication technology equipment*, can be found on the IEC website.

The "in some countries" notes regarding differing national practices are contained in the following clauses, subclauses and tables:

0.2.1, Clause 1, 3.3.8.1, 3.3.8.3, 4.1.15, 4.7.3, 5.4.2.3.2.4, 5.4.2.5, 5.4.5.1, 5.4.10.2.1, 5.4.10.2.2, 5.4.10.2.3, 5.5.2.1, 5.5.6, 5.6.4.2.1, 5.6.8, 5.7.6, 5.7.7.1, 8.5.4.2.3, 10.5.3, 10.6.1, F.3.3.4, F.3.3.6, Y.4.1, Y.4.5, Table 12, Table 13 and Table 38.

In this document, the following print types or formats are used:

- requirements proper and normative annexes: in roman type;
- compliance statements and test specifications: *in italic type*;
- notes/explanatory matter: in smaller roman type;
- normative conditions within tables: in smaller roman type;
- terms that are defined in 3.3: **bold**.

In figures and tables, if colour is available:

- green colour denotes a class 1 energy source;
- yellow colour denotes a class 2 energy source;
- red colour denotes a class 3 energy source.

A comparison of terms introduced in this document that are different from other existing IEC documents is given in Annex W.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

NOTE Explanatory information related to IEC 62368-1 is contained in IEC TR 62368-2. It provides rationale together with explanatory information related to this document.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

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INTRODUCTION

0 Principles of this product safety standard

0.1 Objective

This part of IEC 62368 is a product safety standard that classifies energy sources, prescribes **safeguards** against those energy sources, and provides guidance on the application of, and requirements for, those **safeguards**.

The prescribed **safeguards** are intended to reduce the likelihood of pain, injury and, in the case of fire, property damage.

The objective of the introduction is to help designers to understand the underlying principles of safety in order to design safe equipment. These principles are informative and not an alternative to the detailed requirements of this document.

0.2 Persons

0.2.1 General

This document describes **safeguards** for the protection of three kinds of persons: the **ordinary person**, the **instructed person**, and the **skilled person**. Unless otherwise specified in this document, the requirements for an **ordinary person** apply. This document assumes that a person will not intentionally create conditions or situations that could cause pain or injury.

NOTE 1 In Australia, the work conducted by an **instructed person** or **skilled person** can require formal licensing from regulatory authorities.

NOTE 2 In Germany, a person can only be regarded as an **instructed person** or a **skilled person** if certain legal requirements are fulfilled.

0.2.2 Ordinary person

Ordinary person is the term applied to all persons other than **instructed persons** and **skilled persons**. **Ordinary persons** include not only users of the equipment, but also all persons who can possibly have access to the equipment or who could be in the vicinity of the equipment. Under **normal operating conditions** or **abnormal operating conditions**, **ordinary persons** should not be exposed to parts comprising energy sources capable of causing pain or injury. Under a **single fault condition**, **ordinary persons** should not be exposed to parts comprising energy sources capable of causing injury.

0.2.3 Instructed person

Instructed person is a term applied to persons who have been instructed and trained by a **skilled person**, or who are supervised by a **skilled person**, to identify energy sources that can cause pain (see Table 1) and to take precautions to avoid unintentional contact with or exposure to those energy sources. Under **normal operating conditions**, **abnormal operating conditions** or **single fault conditions**, **instructed persons** should not be exposed to parts comprising energy sources capable of causing injury.

0.2.4 Skilled person

Skilled person is a term applied to persons who have training or experience in the equipment technology, particularly in knowing the various energies and energy magnitudes used in the equipment. **Skilled persons** are expected to use their training and experience to recognize energy sources capable of causing pain or injury and to take action for protection from injury from those energies. **Skilled persons** should also be protected against unintentional contact or exposure to energy sources capable of causing injury.

0.3 Model for pain and injury

An energy source that causes pain or injury does so through the transfer of some form of energy to or from a body part.

This concept is represented by a three-block model (see Figure 1).

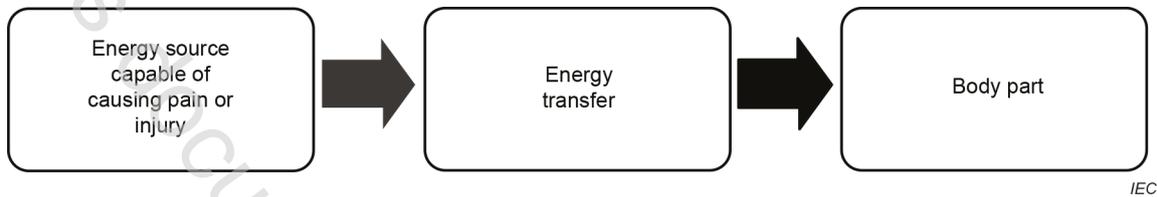


Figure 1 – Three block model for pain and injury

This safety standard specifies three classes of energy sources defined by magnitudes and durations of source parameters relative to the body responses to those electrical and thermal energy sources (see Table 1). Source parameters relative to responses to **combustible material**, mechanical energy sources and radiation energy sources are specified based on experience and basic safety standards.

Table 1 – Response to energy class

| Energy source | Effect on the body | Effect on combustible materials |
|---------------|------------------------------------|--|
| Class 1 | Not painful, but can be detectable | Ignition not likely |
| Class 2 | Painful, but not an injury | Ignition possible, but limited growth and spread of fire |
| Class 3 | Injury | Ignition likely, rapid growth and spread of fire |

The energy threshold for pain or injury is not constant throughout the population. For example, for some energy sources, the threshold is a function of body mass; the lower the mass, the lower the threshold, and vice-versa. Other body variables include age, state of health, state of emotions, effect of drugs, skin characteristics, etc. Furthermore, even where outward appearances otherwise appear equal, individuals differ in their thresholds of susceptibility to the same energy source.

The effect of duration of energy transfer is a function of the specific energy form. For example, pain or injury from thermal energy can be very short (1 s) for high skin temperature, or very long (several hours) for low skin temperature.

Furthermore, the pain or injury can occur some considerable time after the transfer of energy to a body part. For example, it is possible that pain or injury from some chemical or physiological reaction does not manifest itself for days, weeks, months, or years.

0.4 Energy sources

Energy sources are addressed by this document, together with the pain or injury that results from a transfer of that energy to the body, and the likelihood of property damage that results from fire escaping the equipment.

An electrical product is connected to an electrical energy source (for example, the **mains**), an external power supply unit, or a **battery**. An electrical product uses the electrical energy to perform its intended functions.

In the process of using electrical energy, the product transforms the electrical energy into other forms of energy (for example, thermal energy, kinetic energy, optical energy, audio energy, electromagnetic energy, etc.). Some energy transformations can be a deliberate part of the product function (for example, moving parts of a printer, images on a visual display unit, sound from a speaker, etc.). Some energy transformations can be a by-product of the product function (for example, heat dissipated by functional circuits, X-radiation from a cathode-ray tube, etc.).

Some products can use energy sources that are non-electrical energy sources such as moving parts or chemicals. The energy in these other sources can be transferred to or from a body part, or can be transformed into other energy forms (for example, chemical energy can be converted to electrical energy through a **battery**, or a moving body part transfers its kinetic energy to a sharp edge).

Examples of the types of energy forms and the associated injuries and property damage addressed in this document are in Table 2.

Table 2 – Examples of body response or property damage related to energy sources

| Forms of energy | Examples of body response or property damage | Clause |
|---|---|--------|
| Electrical energy (for example, energized conductive parts) | Pain, fibrillation, cardiac arrest, respiratory arrest, skin burn, or internal organ burn | 5 |
| Thermal energy (for example, electrical ignition and spread of fire) | Electrically-caused fire leading to burn-related pain or injury, or property damage | 6 |
| Chemical reaction (for example, electrolyte, poison) | Skin damage, organ damage, or poisoning | 7 |
| Kinetic energy (for example, moving parts of equipment, or a moving body part against an equipment part) | Laceration, puncture, abrasion, contusion, crush, amputation, or loss of a limb, eye, ear, etc. | 8 |
| Thermal energy (for example, hot accessible parts) | Skin burn | 9 |
| Radiated energy (for example, electromagnetic energy, optical energy, acoustic energy) | Loss of sight, skin burn, or loss of hearing | 10 |

0.5 Safeguards

0.5.1 General

Many products necessarily use energy capable of causing pain or injury. Product design cannot eliminate such energy use. Consequently, such products should use a scheme that reduces the likelihood of such energy being transferred to a body part. The scheme that reduces the likelihood of energy transfer to a body part is a **safeguard** (see Figure 2).



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Figure 2 – Three block model for safety

A **safeguard** is a **device** or scheme or system that:

- is interposed between an energy source capable of causing pain or injury and a body part, and
- reduces the likelihood of transfer of energy capable of causing pain or injury to a body part.

NOTE **Safeguard** mechanisms against transfer of energy capable of causing pain or injury include:

- attenuating the energy (reduces the value of the energy); or
- impeding the energy (slows the rate of energy transfer); or
- diverting the energy (changes the energy direction); or
- disconnecting, interrupting, or disabling the energy source; or
- enveloping the energy source (reduces the likelihood of the energy from escaping); or
- interposing a barrier between a body part and the energy source.

A **safeguard** can be applied to the equipment, to the local installation, to a person or can be a learned or directed behaviour (for example, resulting from an **instructional safeguard**) intended to reduce the likelihood of transfer of energy capable of causing pain or injury. A **safeguard** can be a single element or a set of elements.

Generally, this document uses an order of preference for providing **safeguards** based on the requirements given in ISO/IEC Guide 51 as follows:

- **equipment safeguards** are always useful, since they do not require any knowledge or actions by persons coming into contact with the equipment;
- **installation safeguards** are useful when a safety characteristic can only be provided after installation (for example, the equipment shall be bolted to the floor to provide stability);
- behavioural **safeguards** are useful when the equipment requires an energy source to be **accessible**.

In practice, **safeguard** selection accounts for the nature of the energy source, the intended user, the functional requirements of the equipment, and similar considerations.

0.5.2 Equipment safeguard

An **equipment safeguard** may be a **basic safeguard**, a **supplementary safeguard**, a **double safeguard**, or a **reinforced safeguard**.

0.5.3 Installation safeguard

Installation safeguards are not controlled by the equipment manufacturer, although in some cases, **installation safeguards** may be specified in the equipment installation instructions.

Generally, with respect to equipment, an **installation safeguard** is a **supplementary safeguard**.

NOTE For example, the **supplementary safeguard** providing **protective earthing** is located partly in the equipment and partly in the installation. The **supplementary safeguard** providing **protective earthing** is not effective until the equipment is connected to the **protective earthing** of the installation.

Requirements for **installation safeguards** are not addressed in this document. However, this document does assume some **installation safeguards**, such as **protective earthing**, are in place and are effective.

0.5.4 Personal safeguard

A **personal safeguard** may be a **basic safeguard**, a **supplementary safeguard**, or a **reinforced safeguard**.

Requirements for **personal safeguards** are not addressed in this document. However, this document does assume that **personal safeguards** are available for use as specified by the manufacturer.

0.5.5 Behavioural safeguards

0.5.5.1 Introduction to behavioural safeguards

In the absence of an **equipment**, **installation**, or **personal safeguard**, a person can use a specific behaviour as a **safeguard** to avoid energy transfer and consequent injury. A behavioural **safeguard** is a voluntary or instructed behaviour intended to reduce the likelihood of transfer of energy to a body part.

Three kinds of behavioural **safeguards** are specified in this document. Each kind of behavioural **safeguard** is associated with a specific kind of person. An **instructional safeguard** is usually addressed to an **ordinary person**, but can also be addressed to an **instructed person** or a **skilled person**. A **precautionary safeguard** is used by an **instructed person**. A **skill safeguard** is used by a **skilled person**.

As an **equipment safeguard** provides protection for all persons, it is preferred above a behavioural **safeguard**. However, in certain situations a **precautionary safeguard** or a **skill safeguard** is accepted as a replacement of an **equipment safeguard**.

0.5.5.2 Instructional safeguard

An **instructional safeguard** is a means of providing information, describing the existence and location of an energy source capable of causing pain or injury, and is intended to invoke a specific behaviour on the part of a person to reduce the likelihood of transfer of energy to a body part (see Annex F).

An **instructional safeguard** may be a visual indicator (symbols or words or both) or an audible message, as applicable to the expected use of the product.

When accessing locations where the equipment needs to be energized to perform a service activity, an **instructional safeguard** can be considered acceptable protection to bypass an **equipment safeguard** such that the person is made aware of how to avoid contact with a class 2 or class 3 energy source.

If **equipment safeguards** would interfere with or prohibit the equipment function, an **instructional safeguard** may replace an **equipment safeguard**.

If exposure to an energy source capable of causing pain or injury is essential to the correct functioning of equipment, an **instructional safeguard** may be used to ensure protection of persons instead of another **safeguard**. Consideration should be given as to whether the **instructional safeguard** should use a **personal safeguard**.

Provision of an **instructional safeguard** does not result in an **ordinary person** becoming an **instructed person** (see 0.5.5.3).

0.5.5.3 Precautionary safeguard (used by an instructed person)

A **precautionary safeguard** is the training and experience or supervision of an **instructed person** by a **skilled person** to use precautions to protect the **instructed person** against class 2 energy sources. **Precautionary safeguards** are not specifically prescribed in this document but are assumed to be effective when the term **instructed person** is used.

During equipment servicing, it is possible that an **instructed person** will need to remove or defeat an **equipment safeguard**. In this case, an **instructed person** is expected to then apply precaution as a **safeguard** to avoid exposure to class 2 energy sources.

0.5.5.4 Skill safeguard (used by a skilled person)

A **skill safeguard** is the education, training, knowledge and experience of the **skilled person** that is used to protect the **skilled person** against class 2 or class 3 energy sources. **Skill safeguards** are not specifically prescribed in this document but are assumed to be effective when the term **skilled person** is used.

During equipment servicing, it is possible that a **skilled person** will need to remove or defeat an **equipment safeguard**. In this case, a **skilled person** is expected to then apply skill as a **safeguard** to avoid injury.

0.5.6 Safeguards during ordinary or instructed person service conditions

During **ordinary person** or **instructed person** service conditions, **safeguards** for such persons can be applicable. Such **safeguards** may be **equipment safeguards**, **personal safeguards**, or **instructional safeguards**.

0.5.7 Equipment safeguards during skilled person service conditions

During **skilled person** service conditions, **equipment safeguards** should be provided to protect against the effects of a body's involuntary reaction (for example, startle) that might cause unintentional contact with a class 3 energy source located outside the view of the **skilled person**.

NOTE This **safeguard** typically applies in large equipment, where the **skilled person** needs to partially or wholly enter between two or more class 3 energy source locations while servicing.

0.5.8 Examples of safeguard characteristics

Table 3 lists some examples of **safeguard** characteristics.

Table 3 – Examples of safeguard characteristics

| Safeguard | Basic safeguard | Supplementary safeguard | Reinforced safeguard |
|---|--|--|--|
| Equipment safeguard: a physical part of an equipment | Effective under normal operating conditions | Effective in the event of failure of the basic safeguard | Effective under normal operating conditions and in the event of a single fault condition elsewhere in the equipment |
| | Example: basic insulation | Example: supplementary insulation | Example: reinforced insulation |
| | Example: normal temperatures below ignition temperatures | Example: fire enclosure | Not applicable |
| Installation safeguard: a physical part of a man-made installation | Effective under normal operating conditions | Effective in the event of failure of an equipment basic safeguard | Effective under normal operating conditions and in the event of a single fault condition elsewhere in the equipment |
| | Example: wire size | Example: overcurrent protective device | Example: socket-outlet |
| Personal safeguard: a physical device worn on the body | In the absence of any equipment safeguard , effective under normal operating conditions | Effective in the event of failure of an equipment basic safeguard | In the absence of any equipment safeguard , effective under normal operating conditions and in the event of a single fault condition elsewhere in the equipment |
| | Example: gloves | Example: insulating floor mat | Example: electrically-insulated glove for handling live conductors |
| Instructional safeguard: a voluntary or instructed behaviour intended to reduce the likelihood of transfer of energy to a body part | In the absence of any equipment safeguard , effective under normal operating conditions | Effective in the event of failure of an equipment basic safeguard | Only effective on an exceptional basis, when providing all appropriate safeguards would prevent the intended functioning of the equipment |
| | Example: instructional safeguard to disconnect telecommunication cable before opening the cover | Example: after opening a door, an instructional safeguard against hot parts | Example: instructional safeguard of hot parts in an office photocopier, or a continuous roll paper cutter on a commercial printer |

0.6 Electrically-caused pain or injury (electric shock)

0.6.1 Models for electrically-caused pain or injury

Electrically-caused pain or injury can occur when electrical energy capable of causing pain or injury is transferred to a body part (see Figure 3).

Electrical energy transfer occurs when there are two or more electrical contacts to the body:

- the first electrical contact is between a body part and a conductive part of the equipment;
- the second electrical contact is between another body part and
 - earth, or
 - another conductive part of the equipment.

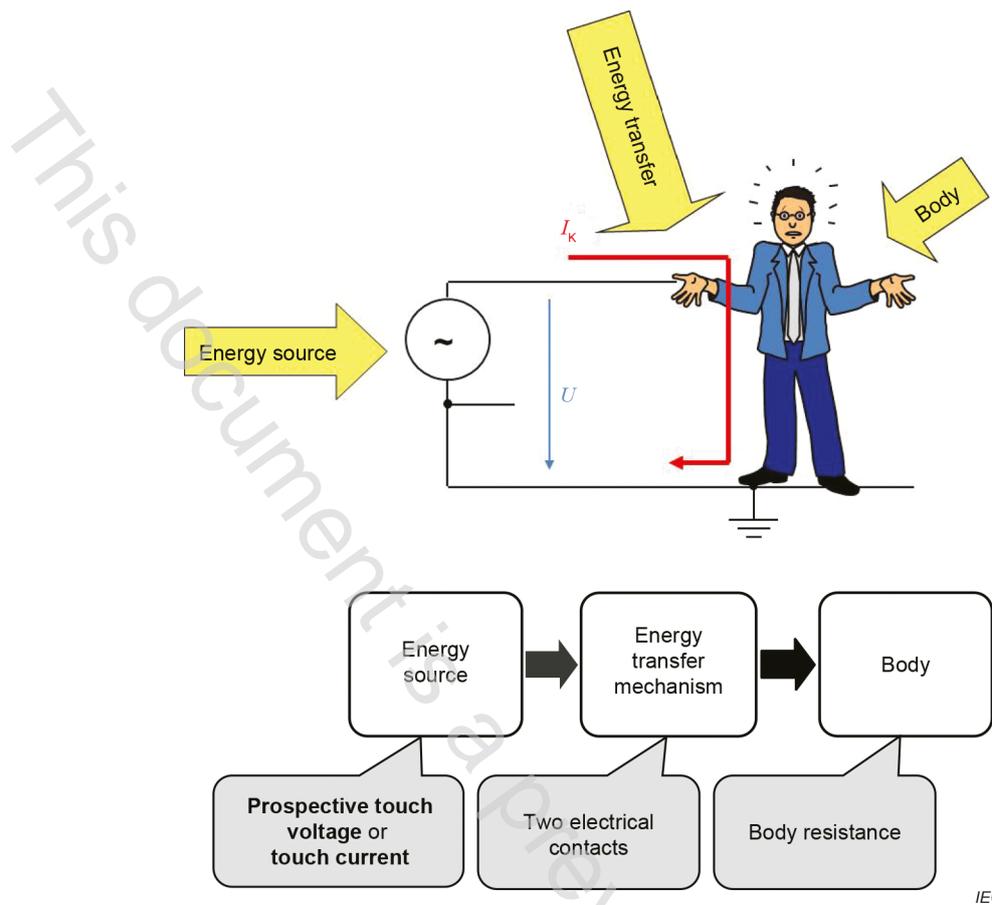


Figure 3 – Schematic and model for electrically-caused pain or injury

Depending on the magnitude, duration, wave shape, and frequency of the current, the effect on the human body varies from undetectable to detectable to painful to injurious.

0.6.2 Models for protection against electrically-caused pain or injury

One or more **safeguards** are interposed between an electrical energy source capable of causing pain or injury and a body part to protect against electrically-caused pain or injury (see Figure 4).

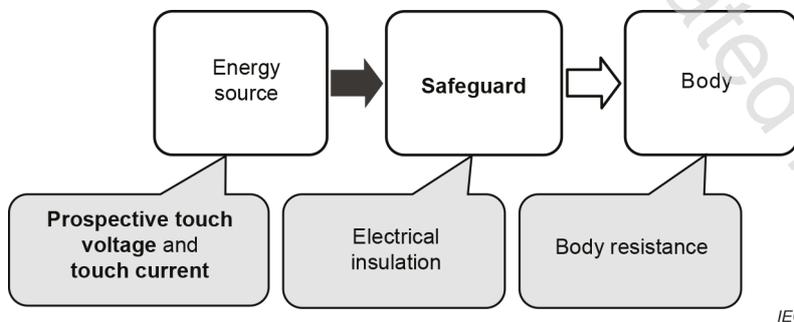


Figure 4 – Model for protection against electrically-caused pain or injury

Protection against electrically-caused pain is provided under **normal operating conditions** and **abnormal operating conditions**. For such protection, under **normal operating conditions** and **abnormal operating conditions**, a **basic safeguard** is interposed between an electrical energy source capable of causing pain and an **ordinary person**.

The most common **basic safeguard** against an electrical energy source capable of causing pain is electrical insulation (also known as **basic insulation**) interposed between the energy source and a body part.

Protection against electrically-caused injury is provided under **normal operating conditions**, **abnormal operating conditions**, and **single fault conditions**. For such protection, under **normal operating conditions** and **abnormal operating conditions**, both a **basic safeguard** and a **supplementary safeguard** are interposed between an electrical energy source capable of causing injury and an **ordinary person** (see 4.3.2.4), or an **instructed person** (see 4.3.3.3). In the event of a failure of either **safeguard**, the other **safeguard** becomes effective. The **supplementary safeguard** against an electrical energy source capable of causing injury is placed between the **basic safeguard** and a body part. A **supplementary safeguard** may be additional electrical insulation (**supplementary insulation**) or a protectively earthed conductive barrier or other construction that performs the same function.

Another **safeguard** against an electrical energy source capable of causing injury is electrical insulation (also known as **double insulation** or **reinforced insulation**) placed between the energy source and a body part.

Likewise, a **reinforced safeguard** may be placed between an electrical energy source capable of causing injury and a body part.

0.7 Electrically-caused fire

0.7.1 Models for electrically-caused fire

Electrically-caused fire is due to conversion of electrical energy to thermal energy (see Figure 5), where the thermal energy heats a fuel material followed by ignition and combustion.

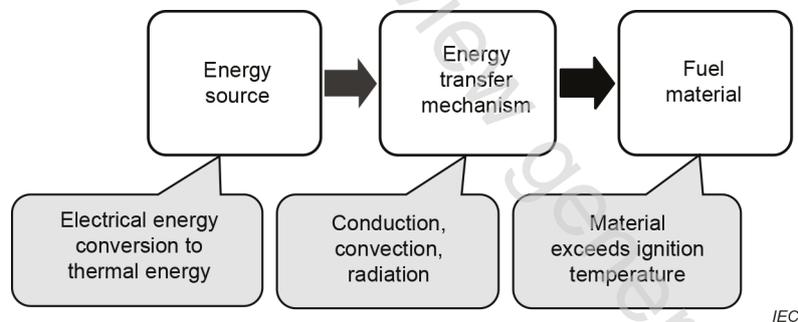


Figure 5 – Model for electrically-caused fire

Electrical energy is converted to thermal energy either in a resistance or in an arc and is transferred to a fuel material by conduction, convection, or radiation. As the fuel material heats, it chemically decomposes into gases, liquids and solids. When the gas is at its ignition temperature, the gas can be ignited by an ignition source. When the gas is at its spontaneous ignition temperature, the gas ignites by itself. Both result in fire.

0.7.2 Models for protection against electrically-caused fire

The **basic safeguard** against electrically-caused fire (see Figure 6) is that the temperature of a material, under **normal operating conditions** and **abnormal operating conditions**, does not cause the material to ignite.

The **supplementary safeguard** against electrically-caused fire reduces the likelihood of ignition or, in the case of ignition, reduces the likelihood of spread of fire.

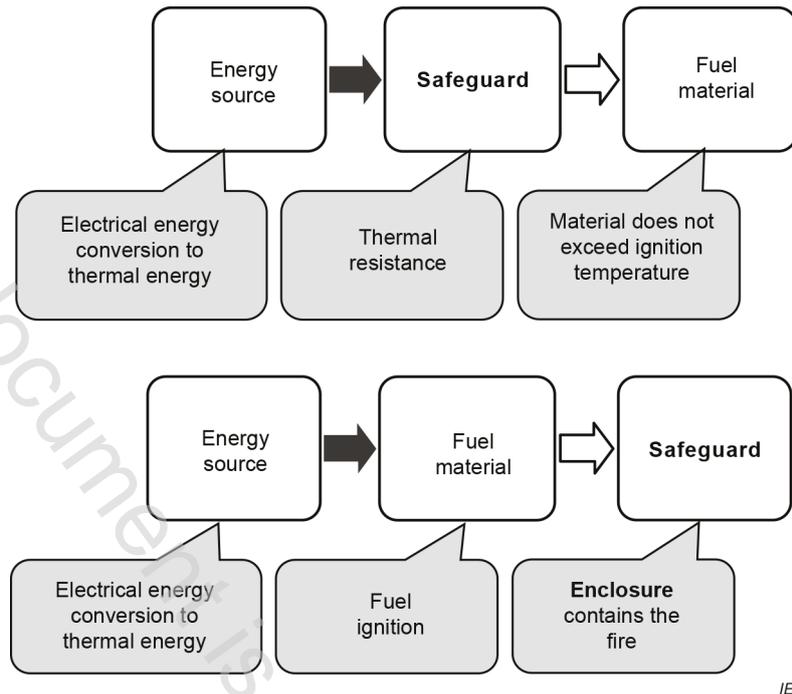


Figure 6 – Models for protection against fire

0.8 Injury caused by hazardous substances

Injury caused by **hazardous substances** is due to a chemical reaction with a body part. The extent of injury by a given substance depends on both the magnitude and duration of exposure and on the body part susceptibility to that substance.

The **basic safeguard** against injury caused by **hazardous substances** is containment of the material.

Supplementary safeguards against injury caused by **hazardous substances** may include:

- a second container or a spill-resistant container;
- containment trays;
- tamper-proof screws to prevent unauthorized access;
- **instructional safeguards**.

National and regional regulations govern the use of and exposure to **hazardous substances** used in equipment. These regulations do not enable a practical classification of **hazardous substances** in the manner in which other energy sources are classified in this document. Therefore, energy source classifications are not applied in Clause 7.

0.9 Mechanically-caused injury

Mechanically-caused injury is due to kinetic energy transfer to a body part when a collision occurs between a body part and an equipment part. The kinetic energy is a function of the relative motion between a body part and **accessible** parts of the equipment, including parts ejected from the equipment that collide with a body part.

Examples of kinetic energy sources are:

- body motion relative to sharp edges and corners;
- part motion due to rotating or other moving parts, including pinch points;
- part motion due to loosening, exploding, or imploding parts;

- equipment motion due to instability;
- equipment motion due to wall, ceiling, or rack mounting means failure;
- equipment motion due to handle failure;
- part motion due to an exploding **battery**;
- equipment motion due to cart or stand instability or failure.

The **basic safeguard** against mechanically-caused injury is a function of the specific energy source. **Basic safeguards** may include:

- rounded edges and corners;
- an **enclosure** to prevent a moving part from being **accessible**;
- an **enclosure** to prevent expelling a moving part;
- a **safety interlock** to control access to an otherwise moving part;
- means to stop the motion of a moving part;
- means to stabilize the equipment;
- robust handles;
- robust mounting means;
- means to contain parts expelled during **explosion** or implosion.

The **supplementary safeguard** against mechanically-caused injury is a function of the specific energy source. **Supplementary safeguards** may include:

- **instructional safeguards**;
- instructions and trainings;
- additional **enclosures** or barriers;
- **safety interlocks**.

The **reinforced safeguard** against mechanically-caused injury is a function of the specific energy source. **Reinforced safeguards** may include:

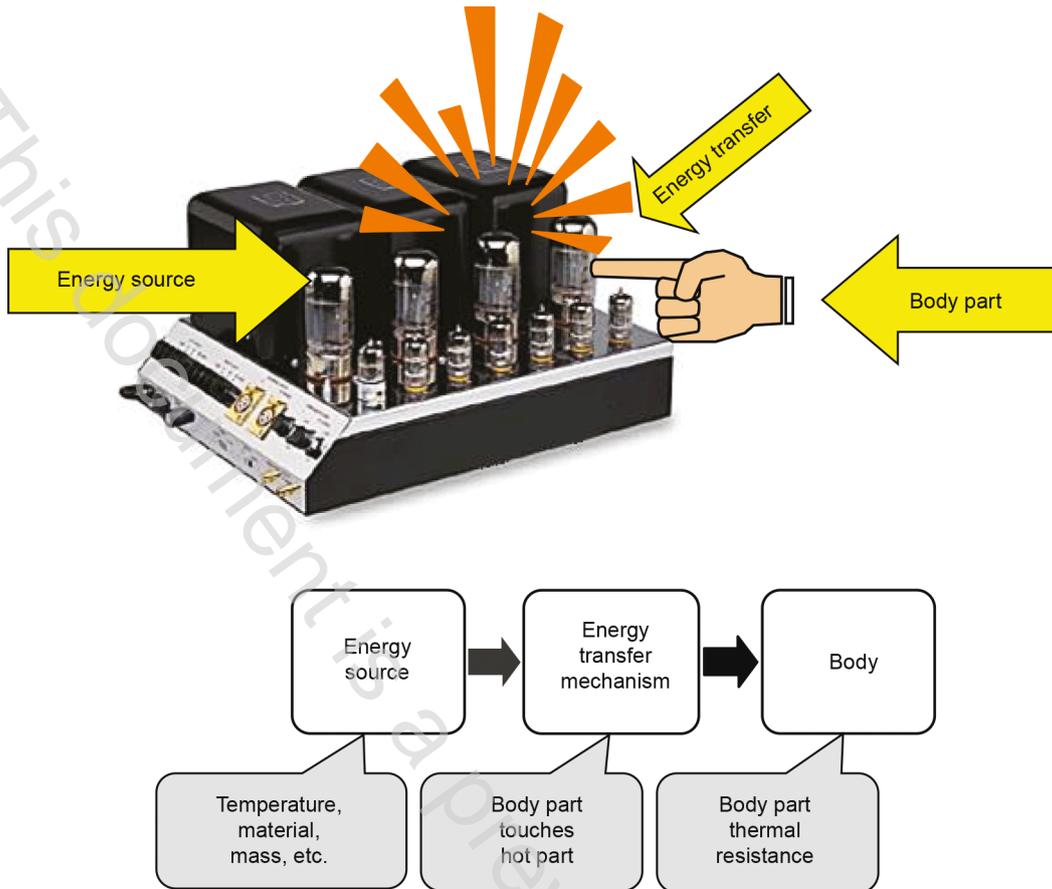
- extra thick glass on the front of a CRT;
- rack slide-rails and means of support;
- **safety interlock**.

0.10 Thermally-caused injury (skin burn)

0.10.1 Models for thermally-caused injury

Thermally-caused injury can occur when thermal energy capable of causing injury is transferred to a body part (see Figure 7).

Thermal energy transfer occurs when a body touches a hot equipment part. The extent of injury depends on the temperature difference, the thermal mass of the object, rate of thermal energy transfer to the skin, and duration of contact.



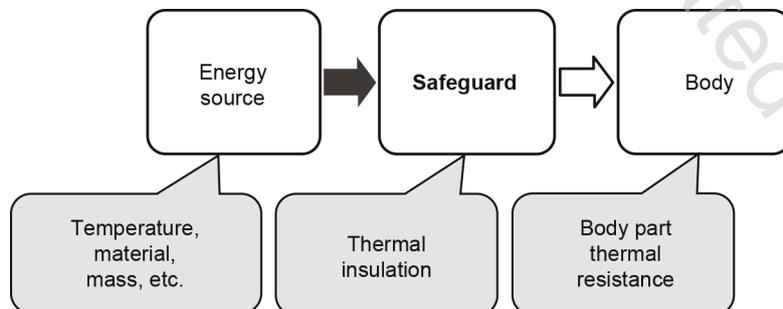
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Figure 7 – Schematic and model for thermally-caused injury

Depending on the temperature, contact duration, material properties, and mass of the material, the perception of the human body varies from warmth to heat that can result in pain or injury (burn).

0.10.2 Models for protection against thermally-caused pain or injury

One or more **safeguards** are interposed between a thermal energy source capable of causing pain or injury and an **ordinary person** (see Figure 8).



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Figure 8 – Model for protection against thermally-caused injury

Under **normal operating conditions** and **abnormal operating conditions**, protection is used against thermally-cause pain. For such protection, a **basic safeguard** is interposed between a thermal energy source capable of causing pain and an **ordinary person**.

Under **normal operating conditions**, **abnormal operating conditions** and **single fault conditions**, protection is used against thermally-caused injury. For such protection, a **basic safeguard** and a **supplementary safeguard** are interposed between a thermal energy source capable of causing injury and an **ordinary person**.

The **basic safeguard** against a thermal energy source capable of causing pain or injury is thermal insulation placed between the energy source and a body part. In some cases, a **basic safeguard** against a thermal energy source capable of causing pain or injury can be an **instructional safeguard** identifying the hot parts and how to reduce the likelihood of injury. In some cases, a **basic safeguard** reduces the likelihood of a non-injurious thermal energy source from becoming a thermal energy source capable of causing pain or injury.

Examples of such **basic safeguards** are:

- control of electrical energy being converted to thermal energy (for example, a **thermostat**);
- heat sinking, etc.

The **supplementary safeguard** against a thermal energy source capable of causing injury is thermal insulation placed between the energy source and a body part. In some cases, a **supplementary safeguard** against a thermal energy source capable of causing pain or injury can be an **instructional safeguard** identifying the hot parts and how to reduce the likelihood of injury.

0.11 Radiation-caused injury

Radiation-caused injury within the scope of this document is generally attributed to one of the following energy transfer mechanisms:

- heating of a body organ caused by exposure to non-ionising radiation, such as the highly localised energy of a laser impinging on the retina; or
- auditory injury caused by over stimulation of the ear by excessive peaks or sustained loud sound, leading to physical or nerve damage; or
- X-radiation; or
- UV radiation.

Radiated energy is transferred by impingement of wave emission upon a body part.

The **basic safeguard** against radiation-caused injury is containment of the energy within an **enclosure** that is opaque to the radiated energy.

There are several **supplementary safeguards** against radiation-caused injury. The **supplementary safeguards** can include **safety interlocks** to disconnect power to the generator, tamper-proof screws to prevent unauthorized access, etc.

The **basic safeguard** against auditory injury is to limit the acoustic output level of personal music players and their associated headphones and earphones.

Examples of **supplementary safeguards** against auditory pain and injury are the provision of warnings and information advising the user how to use the equipment correctly.

AUDIO/VIDEO, INFORMATION AND COMMUNICATION TECHNOLOGY EQUIPMENT –

Part 1: Safety requirements

1 Scope

This part of IEC 62368 is applicable to the safety of electrical and electronic equipment within the field of audio, video, information and communication technology, and business and office machines with a **rated voltage** not exceeding 600 V. This document does not include requirements for performance or functional characteristics of equipment.

NOTE 1 Examples of equipment within the scope of this document are given in Annex A.

NOTE 2 A **rated voltage** of 600 V is considered to include equipment rated 400/690 V.

Explanatory information related to this document is contained in IEC TR 62368-2. It provides rationale together with explanatory information that can be helpful to apply to this document.

This document is also applicable to:

- components and **subassemblies** intended for incorporation in this equipment. Such components and **subassemblies** need not comply with every requirement of this document, provided that the complete equipment, incorporating such components and **subassemblies**, does comply;
- external power supply units intended to primarily supply equipment within the scope of this document;
- accessories intended to be used with equipment within the scope of this document;
- large equipment installed in **restricted access areas**. For equipment having large machinery aspects, additional requirements can apply; and
- equipment to be used in tropical regions.

This document also includes requirements for audio/video, information and communication technology equipment intended to be installed in an **outdoor location**. The requirements for **outdoor equipment** also apply, where relevant, to **outdoor enclosures** suitable for direct installation in the field and supplied for housing audio/video, information and communication technology equipment to be installed in an **outdoor location**. See Annex Y for specific construction requirements not covered elsewhere in this document.

This document harmonizes with IEC 61140 and gives consideration to the electrical installation by properly interfacing with the common safety aspects of the installation.

Each installation can have particular requirements. In addition, requirements for protection of the **outdoor equipment** against the effects of direct lightning strikes are not covered by this document.

NOTE 3 For information on this subject, see IEC 62305-1.

This document assumes a maximum altitude of 2 000 m unless otherwise specified by the manufacturer.

Additional requirements for equipment having the capability to supply or receive DC power over commonly used communication cables, such as USB or Ethernet (PoE), are given in IEC 62368-3. IEC 62368-3 does not apply to:

- equipment supplying or receiving power using proprietary connectors; or
- equipment using a proprietary protocol to enable the power transfer.

This document specifies **safeguards** for **ordinary persons, instructed persons, and skilled persons**. Additional requirements can apply for equipment that is clearly designed or intended for use by children or specifically attractive to children.

NOTE 4 In Australia, the work conducted by an **instructed person** or a **skilled person** can require formal licensing from regulatory authorities.

NOTE 5 In Germany, in many cases a person can only be regarded as an **instructed person** or a **skilled person** if certain legal requirements are fulfilled.

This document does not apply to:

- equipment with non-self-contained hazardous moving parts, such as robotic equipment;

NOTE 6 For requirements related to robotic equipment in an industrial environment, see IEC 60204-1, IEC 60204-11, ISO 10218-1 and ISO 10218-2.

- personal care robots, including mobile servant robots, physical assistant robots, and person carrier robots;

NOTE 7 For requirements related to personal care robots, see ISO 13482.

- power supply systems that are not an integral part of the equipment, such as motor-generator sets, **battery** backup systems and distribution transformers;
- equipment to be used in wet areas indoors.

This document does not address:

- manufacturing processes except for **routine tests**;
- injurious effects of gases released by thermal decomposition or combustion;
- disposal processes;
- effects of transport (other than as specified in this document);
- effects of storage of materials, components, or the equipment itself;
- the likelihood of injury from particulate radiation such as alpha particles and beta particles;
- the use of the equipment in oxygen-enriched or **explosive** atmospheres;
- exposure to chemicals other than as specified in Clause 7;
- electrostatic discharge events;
- exposure to electromagnetic fields;
- environmental aspects; or
- requirements for functional safety, except for those related to **work cells**.

NOTE 8 For specific functional and software safety requirements of electronic safety-related systems (for example, protective electronic circuits), see IEC 61508-1.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60027-1, *Letter symbols to be used in electrical technology – Part 1: General*

IEC 60038, *IEC standard voltages*

IEC 60068-2-6, *Environmental testing – Part 2-6: Tests – Test Fc: Vibration (sinusoidal)*

IEC 60068-2-11, *Basic environmental testing procedures – Part 2-11: Tests – Test Ka: Salt mist*

IEC 60068-2-78, *Environmental testing – Part 2-78: Tests – Test Cab: Damp heat, steady state*

IEC 60073, *Basic and safety principles for man-machine interface, marking and identification – Coding principles for indicators and actuators*

IEC TR 60083, *Plugs and socket-outlets for domestic and similar general use standardized in member countries of IEC*

IEC 60085, *Electrical insulation – Thermal evaluation and designation*

IEC 60086-4, *Primary batteries – Part 4: Safety of lithium batteries*

IEC 60086-5, *Primary batteries – Part 5: Safety of batteries with aqueous electrolyte*

IEC 60107-1:1997, *Methods of measurement on receivers for television broadcast transmissions – Part 1: General considerations – Measurements at radio and video frequencies*

IEC 60112, *Method for the determination of the proof and the comparative tracking indices of solid insulating materials*

IEC 60127 (all parts), *Miniature fuses*

IEC 60127-8, *Miniature fuses – Part 8: Fuse resistors with particular overcurrent protection*

IEC 60227-1, *Polyvinyl chloride insulated cables of rated voltages up to and including 450/750 V – Part 1: General requirements*

IEC 60227-2:1997¹, *Polyvinyl chloride insulated cables of rated voltages up to and including 450/750 V – Part 2: Test methods*

IEC 60227-2:1997/AMD1:2003

IEC 60243-1, *Electric strength of insulating materials – Test methods – Part 1: Tests at power frequencies*

IEC 60245-1, *Rubber insulated cables – Rated voltages up to and including 450/750 V – Part 1: General requirements*

IEC 60268-1:1985, *Sound system equipment – Part 1: General*

IEC 60268-1:1985/AMD1:1988

IEC 60268-1:1985/AMD2:1988

IEC 60309 (all parts), *Plugs, socket-outlets and couplers for industrial purposes*

IEC 60317 (all parts), *Specifications for particular types of winding wires*

IEC 60317-0-7:2017, *Specifications for particular types of winding wires – Part 0-7: General requirements – Fully insulated (FIW) zero-defect enamelled round copper wire*

¹ This publication was withdrawn and replaced with IEC 63294:2021.

IEC 60317-43, *Specifications for particular types of winding wires – Part 43: Aromatic polyimide tape wrapped round copper wire, class 240*

IEC 60317-56, *Specifications for particular types of winding wires – Part 56: Solderable fully insulated (FIW) zero-defect polyurethane enamelled round copper wire, class 180*

IEC 60320 (all parts), *Appliance couplers for household and similar general purposes*

IEC 60320-1, *Appliance couplers for household and similar general purposes – Part 1: General requirements*

IEC 60332-1-2, *Tests on electric and optical fibre cables under fire conditions – Part 1-2: Test for vertical flame propagation for a single insulated wire or cable – Procedure for 1 kW pre-mixed flame*

IEC 60332-1-3, *Tests on electric and optical fibre cables under fire conditions – Part 1-3: Test for vertical flame propagation for a single insulated wire or cable – Procedure for determination of flaming droplets/particles*

IEC 60332-2-2, *Tests on electric and optical fibre cables under fire conditions – Part 2-2: Test for vertical flame propagation for a single small insulated wire or cable – Procedure for diffusion flame*

IEC 60384-14:2013, *Fixed capacitors for use in electronic equipment – Part 14: Sectional specification – Fixed capacitors for electromagnetic interference suppression and connection to the supply mains*

IEC 60384-14:2013/AMD1:2016

IEC 60417, *Graphical symbols for use on equipment*, available at <http://www.graphical-symbols.info/equipment>

IEC 60529:1989, *Degrees of protection provided by enclosures (IP Code)*

IEC 60529:1989/AMD1:1999

IEC 60529:1989/AMD2:2013

IEC 60664-1:2020, *Insulation coordination for equipment within low-voltage systems – Part 1: Principles, requirements and tests*

IEC 60664-3, *Insulation coordination for equipment within low-voltage systems – Part 3: Use of coating, potting or moulding for protection against pollution*

IEC 60691:2015, *Thermal-links – Requirements and application guide*

IEC 60695-2-11, *Fire hazard testing – Part 2-11: Glowing/hot-wire based test methods – Glow-wire flammability test method for end-products (GWEPT)*

IEC 60695-10-2, *Fire hazard testing – Part 10-2: Abnormal heat – Ball pressure test method*

IEC 60695-10-3, *Fire hazard testing – Part 10-3: Abnormal heat – Mould stress relief distortion test*

IEC 60695-11-5:2016, *Fire hazard testing – Part 11-5: Test flames – Needle-flame test method – Apparatus, confirmatory test arrangement and guidance*

IEC 60695-11-10, *Fire hazard testing – Part 11-10: Test flames – 50 W horizontal and vertical flame test methods*

IEC 60695-11-20:2015, *Fire hazard testing – Part 11-20: Test flames – 500 W flame test methods*

IEC TS 60695-11-21, *Fire hazard testing – Part 11-21: Test flames – 500 W vertical flame test method for tubular polymeric materials*

IEC 60728-11:2016, *Cable networks for television signals, sound signals and interactive services – Part 11: Safety*

IEC 60730 (all parts), *Automatic electrical controls for household and similar use*

IEC 60730-1:2022, *Automatic electrical controls – Part 1: General requirements*

IEC 60738-1:2022, *Thermistors – Directly heated positive temperature coefficient – Part 1: Generic specification*

IEC 60747-5-5:2020, *Semiconductor devices – Part 5-5: Optoelectronic devices – Photocouplers*

IEC 60825-1:2014, *Safety of laser products – Part 1: Equipment classification and requirements*

IEC 60825-2, *Safety of laser products – Part 2: Safety of optical fibre communication systems (OFCSs)*

IEC 60825-12, *Safety of laser products – Part 12: Safety of free space optical communication systems used for transmission of information*

IEC 60851-3:2009, *Winding wires – Test methods – Part 3: Mechanical properties*

IEC 60851-3:2009/AMD1:2013

IEC 60851-3:2009/AMD2:2019

IEC 60851-5:2008, *Winding wires – Test methods – Part 5: Electrical properties*

IEC 60851-5:2008/AMD1:2011

IEC 60851-5:2008/AMD2:2019

IEC 60884-1, *Plugs and socket-outlets for household and similar purposes – Part 1: General requirements*

IEC 60896-11, *Stationary lead-acid batteries – Part 11: Vented types – General requirements and methods of tests*

IEC 60896-21:2004, *Stationary lead-acid batteries – Part 21: Valve regulated types – Methods of test*

IEC 60896-22, *Stationary lead-acid batteries – Part 22: Valve regulated types – Requirements*

IEC 60906-1, *IEC system of plugs and socket-outlets for household and similar purposes – Part 1: Plugs and socket-outlets 16 A 250 V a.c.*

IEC 60906-2, *IEC system of plugs and socket-outlets for household and similar purposes – Part 2: Plugs and socket-outlets 15 A 125 V a.c. and 20 A 125 V a.c.*

IEC 60947-1, *Low-voltage switchgear and controlgear – Part 1: General rules*

IEC 60947-5-5, *Low-voltage switchgear and controlgear – Part 5-5: Control circuit devices and switching elements – Electrical emergency stop device with mechanical latching function*

IEC 60990:2016, *Methods of measurement of touch current and protective conductor current*

IEC 60998-1, *Connecting devices for low-voltage circuits for household and similar purposes – Part 1: General requirements*

IEC 60999-1, *Connecting devices – Electrical copper conductors – Safety requirements for screw-type and screwless-type clamping units – Part 1: General requirements and particular requirements for clamping units for conductors from 0,2 mm² up to 35 mm² (included)*

IEC 60999-2, *Connecting devices – Electrical copper conductors – Safety requirements for screw-type and screwless-type clamping units – Part 2: Particular requirements for clamping units for conductors above 35 mm² up to 300 mm² (included)*

IEC 61051-1, *Varistors for use in electronic equipment – Part 1: Generic specification*

IEC 61051-2:2021, *Varistors for use in electronic equipment – Part 2: Sectional specification for surge suppression varistors*

IEC 61056-1, *General purpose lead-acid batteries (valve-regulated types) – Part 1: General requirements, functional characteristics – Methods of test*

IEC 61056-2, *General purpose lead-acid batteries (valve-regulated types) – Part 2: Dimensions, terminals and marking*

IEC 61058-1:2016, *Switches for appliances – Part 1: General requirements*

IEC 61204-7, *Low-voltage switch mode power supplies – Part 7: Safety requirements*

IEC 61260-1:2014, *Electroacoustics – Octave-band and fractional-octave-band filters – Part 1: Specifications*

IEC 61293, *Marking of electrical equipment with ratings related to electrical supply – Safety requirements*

IEC 61427 (all parts), *Secondary cells and batteries for renewable energy storage – General requirements and methods of test*

IEC TS 61430, *Secondary cells and batteries – Test methods for checking the performance of devices designed for reducing explosion hazards – Lead-acid starter batteries*

IEC 61434, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Guide to designation of current in alkaline secondary cell and battery standards*

IEC 61558-1:2017, *Safety of transformers, reactors, power supply units and combinations thereof – Part 1: General requirements and tests*

IEC 61558-2-16, *Safety of transformers, reactors, power supply units and combinations thereof – Part 2-16: Particular requirements and tests for switch mode power supply units and transformers for switch mode power supply units for general applications*

IEC 61587-1:2022, *Mechanical structures for electrical and electronic equipment – Tests for IEC 60917 and IEC 60297 series – Part 1: Environmental requirements, test setups and safety aspects*

IEC 61643-11:2011, *Low-voltage surge protective devices – Part 11: Surge protective devices connected to low-voltage power systems – Requirements and test methods*

IEC 61643-331:2020, *Components for low-voltage surge protection – Part 331: Performance requirements and test methods for metal oxide varistors (MOV)*

IEC 61810-1:2015, *Electromechanical elementary relays – Part 1: General and safety requirements*

IEC 61810-1:2015/AMD1:2019

IEC 61959, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Mechanical tests for sealed portable secondary cells and batteries*

IEC 61965:2003, *Mechanical safety of cathode ray tubes*

IEC 61984, *Connectors – Safety requirements and tests*

IEC 62061, *Safety of machinery – Functional safety of safety-related control systems*

IEC 62133-1, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications – Part 1: Nickel systems*

IEC 62133-2:2017, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for portable sealed secondary cells, and for batteries made from them, for use in portable applications – Part 2: Lithium systems*

IEC 62133-2:2017/AMD1:2021

IEC 62230, *Electric cables – Spark-test method*

IEC 62281, *Safety of primary and secondary lithium cells and batteries during transport*

IEC 62440:2008, *Electric cables with a rated voltage not exceeding 450/750 V – Guide to use*

IEC 62471:2006, *Photobiological safety of lamps and lamp systems*

IEC 62471-5:2015, *Photobiological safety of lamps and lamp systems – Part 5: Image projectors*

IEC 62485-2, *Safety requirements for secondary batteries and battery installations – Part 2: Stationary batteries*

IEC 62619:2022, *Secondary cells and batteries containing alkaline or other non-acid electrolytes – Safety requirements for secondary lithium cells and batteries, for use in industrial applications*

IEC 62821-1, *Electric cables - Halogen-free, low smoke, thermoplastic insulated and sheathed cables of rated voltages up to and including 450/750 V – Part 1: General requirements*

IEC 62821-2², *Electric cables - Halogen-free, low smoke, thermoplastic insulated and sheathed cables of rated voltages up to and including 450/750 V – Part 2: Test methods*

IEC 62821-3, *Electric cables - Halogen-free, low smoke, thermoplastic insulated and sheathed cables of rated voltages up to and including 450/750 V – Part 3: Flexible cables (cords)*

² This publication was withdrawn and replaced with IEC 63294:2021.

IEC 63010-1, *Halogen-free thermoplastic insulated and sheathed flexible cables of rated voltages up to and including 300/300 V – Part 1: General requirements and cables*

IEC 63010-2³, *Halogen-free thermoplastic insulated and sheathed flexible cables of rated voltages up to and including 300/300 V – Part 2: Test methods*

IEC 63294:2021, *Test methods for electric cables with rated voltages up to and including 450/750 V*

ISO 37, *Rubber, vulcanized or thermoplastic – Determination of tensile stress-strain properties*

ISO 178, *Plastics – Determination of flexural properties*

ISO 179-1, *Plastics – Determination of Charpy impact properties – Part 1: Non-instrumented impact test*

ISO 180, *Plastics – Determination of Izod impact strength*

ISO 306, *Plastics – Thermoplastic materials – Determination of Vicat softening temperature (VST)*

ISO 527 (all parts), *Plastics – Determination of tensile properties*

ISO 871, *Plastics – Determination of ignition temperature using a hot-air furnace*

ISO 1798, *Flexible cellular polymeric materials – Determination of tensile strength and elongation at break*

ISO 1817:2022, *Rubber, vulcanized or thermoplastic – Determination of the effect of liquids*

ISO 2719, *Determination of flash point – Pensky-Martens closed cup method*

ISO 3679, *Determination of flash point – Method for flash no-flash and flash point by small scale closed cup tester*

ISO 3864 (all parts), *Graphical symbols – Safety colours and safety signs*

ISO 3864-2, *Graphical symbols – Safety colours and safety signs – Part 2: Design principles for product safety labels*

ISO 4892-1, *Plastics – Methods of exposure to laboratory light sources – Part 1: General guidance*

ISO 4892-2:2013, *Plastics – Methods of exposure to laboratory light sources – Part 2: Xenon-arc lamps*

ISO 4892-4, *Plastics – Methods of exposure to laboratory light sources – Part 4: Open-flame carbon-arc lamps*

ISO 7000, *Graphical symbols for use on equipment – Registered symbols*, available at <http://www.graphical-symbols.info/equipment>

³ This publication was withdrawn and replaced with IEC 63294:2021.

ISO 7010, *Graphical symbols – Safety colours and safety signs – Registered safety signs*

ISO 8256, *Plastics – Determination of tensile-impact strength*

ISO 9772, *Cellular plastics – Determination of horizontal burning characteristics of small specimens subjected to a small flame*

ISO 9773, *Plastics – Determination of burning behaviour of thin flexible vertical specimens in contact with a small-flame ignition source*

ISO 13849-1, *Safety of machinery – Safety-related parts of control systems – Part 1: General principles for design*

ISO 14993, *Corrosion of metals and alloys – Accelerated testing involving cyclic exposure to salt mist, "dry" and "wet" conditions*

ISO 21207, *Corrosion tests in artificial atmospheres – Accelerated corrosion tests involving alternate exposure to corrosion-promoting gases, neutral salt-spray and drying*

ISO 22479, *Corrosion of metals and alloys – Sulfur dioxide test in a humid atmosphere (fixed gas method)*

ASTM D412, *Standard Test Methods for Vulcanized Rubber and Thermoplastic Elastomers – Tension*

ASTM D471-98, *Standard Test Method for Rubber Property – Effect of Liquids*

ASTM D3574, *Standard Test Methods for Flexible Cellular Materials – Slab, Bonded, and Molded Urethane Foams*

EN 50332-1:2013, *Sound system equipment: Headphones and earphones associated with personal music players – Maximum sound pressure level measurement methodology – Part 1: General method for "one package equipment"*

EN 50332-2, *Sound system equipment: Headphones and earphones associated with personal music players – Maximum sound pressure level measurement methodology – Part 2: Matching of sets with headphones if either or both are offered separately, or are offered as one package equipment but with standardised connectors between the two allowing to combine components of different manufacturers or different design*

EN 50332-3:2017, *Sound system equipment: Headphones and earphones associated with personal music players – Maximum sound pressure level measurement methodology – Part 3: Measurement method for sound dose management*

3 Terms, definitions and abbreviated terms

3.1 Energy source abbreviated terms

| Abbreviation | Description | |
|--------------|----------------------------------|---------|
| ES | Electrical energy source | see 5.2 |
| ES1 | Electrical energy source class 1 | |
| ES2 | Electrical energy source class 2 | |
| ES3 | Electrical energy source class 3 | |
| | | |
| MS | Mechanical energy source | see 8.2 |