Elektrilised meditsiiniseadmed. Osa 1-6: Üldnõuded esmasele ohutusele ja olulistele toimimisnäitajatele. Kollateraalstandard: Kasutussobivus

Medical electrical equipment -- Part 1-6: General requirements for basic safety and essential performance - Collateral Standard: Usability

EESTI STANDARDIKESKUS
ESTONIAN CENTRE FOR STANDARDISATION

EESTI STANDARDI EESSÕNA

NATIONAL FOREWORD

Käesolev Eesti standard EVS-EN 60601-1-6:2007 sisaldab Euroopa standardi EN 60601-1-6:2007 ingliskeelset teksti.	This Estonian standard EVS-EN 60601-1-6:2007 consists of the English text of the European standard EN 60601-1-6:2007.
Standard on kinnitatud Eesti Standardikeskuse 23.11.2007 käskkirjaga ja jõustub sellekohase teate avaldamisel EVS Teatajas.	This standard is ratified with the order of Estonian Centre for Standardisation dated 23.11.2007 and is endorsed with the notification published in the official bulletin of the Estonian national standardisation organisation.
Euroopa standardimisorganisatsioonide poolt rahvuslikele liikmetele Euroopa standardi teksti kättesaadavaks tegemise kuupäev on 31.07.2007.	Date of Availability of the European standard text 31.07.2007.
Standard on kättesaadav Eesti standardiorganisatsioonist.	The standard is available from Estonian standardisation organisation.

ICS 11.040

EUROPEAN STANDARD

NORME EUROPÉENNE

EUROPÄISCHE NORM

EN 60601-1-6

July 2007

ICS 11.040

Supersedes EN 60601-1-6:2004

English version

Medical electrical equipment - Part 1-6: General requirements for basic safety and essential performance - Collateral Standard: Usability

(IEC 60601-1-6:2006)

Appareils électromédicaux - Partie 1-6: Exigences générales pour la sécurité de base et les performances essentielles - Norme collatérale: Aptitude à l'utilisation (CEI 60601-1-6:2006)

Medizinische elektrische Geräte - Teil 1-6: Allgemeine Festlegungen für die Sicherheit einschließlich der wesentlichen Leistungsmerkmale - Ergänzungsnorm: Gebrauchstauglichkeit (IEC 60601-1-6:2006)

This European Standard was approved by CENELEC on 2007-05-01. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the Central Secretariat or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the Central Secretariat has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland and the United Kingdom.

CENELEC

European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

Central Secretariat: rue de Stassart 35, B - 1050 Brussels

Ref. No. EN 60601-1-6:2007 E

Foreword

The text of document 62A/550/FDIS, future edition 2 of IEC 60601-1-6, prepared by SC 62A, Common aspects of electrical equipment used in medical practice, of IEC TC 62, Electrical equipment in medical practice, was submitted to the IEC-CENELEC parallel vote and was approved by CENELEC as EN 60601-1-6 on 2007-05-01.

The following date was fixed:

– latest date by which the EN has to be implemented at national level by publication of an identical national standard or by endorsement (dop) 2008-02-01

This European Standard supersedes EN 60601-1-6:2004. However, EN 60601-1-6:2004 remains valid until all the parts 2 that are used in conjunction with it have been withdrawn. No date of withdrawal of conflicting national standards (dow) has therefore been fixed. However, when Part 1-6 is used for appliances not covered by a part 2, EN 60601-1-6:2004 is not to be used after 2009-09-12.

This European Standard has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association and covers essential requirements of EC Directive 93/42/EEC. See Annex ZZ.

This European Standard constitutes a collateral standard to EN 60601-1:2006, hereafter referred to as the general standard.

This EN 60601-1-6 was revised to structurally align it with EN 60601-1:2006 and to implement the decision of IEC SC 62A that the clause numbering structure of collateral standards written to EN 60601-1:2006 would adhere to the form specified in ISO/IEC Directives, Part 2:2004. The principle technical changes are in Clause 4, which now recognizes that there is a general requirement for a risk management process in EN 60601-1:2006.

In the 60601 series of publications, collateral standards specify general requirements for safety applicable to

– a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or

– a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the general standard (e.g. alarm systems).

In this collateral standard the following print types are used:

- requirements and definitions: in roman type;
- *test specifications: in italic type*;
- informative material appearing outside of tables, such as notes, examples and references: in smaller type. Normative text of tables is also in a smaller type;
- TERMS DEFINED IN CLAUSE 3 OF THE GENERAL STANDARD, IN THIS COLLATERAL STANDARD OR AS NOTES: IN SMALL CAPITALS.

In referring to the structure of this standard, the term

– “clause” means one of the six numbered divisions within the table of contents, inclusive of all subdivisions (e.g. Clause 6 includes Subclauses 6.1, 6.2, etc.);

– “subclause” means a numbered subdivision of a clause (e.g. 6.1, 6.2 and 6.2.1 are all subclauses of Clause 6).

References to clauses within this standard are preceded by the term “Clause” followed by the clause number. References to subclauses within this standard are by number only.

In this standard, the conjunctive “or” is used as an “inclusive or” so a statement is true if any combination of the conditions is true.

The verbal forms used in this standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this standard, the auxiliary verb

- “shall” means that compliance with a requirement or a test is mandatory for compliance with this standard;
- “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this standard;
- “may” is used to describe a permissible way to achieve compliance with a requirement or test.

Clauses, subclauses and definitions for which a rationale is provided in informative Annex A are marked with an asterisk (*).

Annexes ZA and ZZ have been added by CENELEC.

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Endorsement notice

The text of the International Standard IEC 60601-1-6:2006 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

ISO 9000	NOTE	Harmonized as EN ISO 9000:2000 (not modified).
ISO 9001	NOTE	Harmonized as EN ISO 9001:2000 (not modified).
ISO 9241-11	NOTE	Harmonized as EN ISO 9241-11:1998 (not modified).
ISO 13485	NOTE	Harmonized as EN ISO 13485:2003 (not modified).

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Annex ZA
(normative)

Normative references to international publications with their corresponding European publications

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE When an international publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

Publication	Year	Title	EN/HD	Year
IEC 60601-1	2005	Medical electrical equipment - Part 1: General requirements for basic safety and essential performance	EN 60601-1	2006
IEC 60601-1-8	2006	Medical electrical equipment - Part 1-8: General requirements for basic safety and essential performance - Collateral Standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems	EN 60601-1-8	2007
ISO/IEC 14971	2000	Medical devices - Application of risk management to medical devices	EN ISO 14971 + corr. February	2000 2002

Annex ZZ
(informative)

Coverage of Essential Requirements of EC Directives

This European Standard has been prepared under a mandate given to CENELEC by the European Commission and the European Free Trade Association and within its scope the standard covers all relevant essential requirements as given in Annex I of the EC Directive 93/42/EEC.

Compliance with this standard provides one means of conformity with the specified essential requirements of the Directive concerned.

WARNING: Other requirements and other EC Directives may be applicable to the products falling within the scope of this standard.

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INTERNATIONAL STANDARD

IEC 60601-1-6

First edition
2004-06

Medical electrical equipment –

Part 1-6:
General requirements for safety –
Collateral standard: Usability

*This **English-language** version is derived from the original **bilingual** publication by leaving out all French-language pages. Missing page numbers correspond to the French-language pages.*

Reference number
IEC 60601-1-6:2004(E)

Publication numbering

As from 1 January 1997 all IEC publications are issued with a designation in the 60000 series. For example, IEC 34-1 is now referred to as IEC 60034-1.

Consolidated editions

The IEC is now publishing consolidated versions of its publications. For example, edition numbers 1.0, 1.1 and 1.2 refer, respectively, to the base publication, the base publication incorporating amendment 1 and the base publication incorporating amendments 1 and 2.

Further information on IEC publications

The technical content of IEC publications is kept under constant review by the IEC, thus ensuring that the content reflects current technology. Information relating to this publication, including its validity, is available in the IEC Catalogue of publications (see below) in addition to new editions, amendments and corrigenda. Information on the subjects under consideration and work in progress undertaken by the technical committee which has prepared this publication, as well as the list of publications issued, is also available from the following:

- **IEC Web Site (www.iec.ch)**

- **Catalogue of IEC publications**

  The on-line catalogue on the IEC web site (www.iec.ch/searchpub) enables you to search by a variety of criteria including text searches, technical committees and date of publication. On-line information is also available on recently issued publications, withdrawn and replaced publications, as well as corrigenda.

- **IEC Just Published**

  This summary of recently issued publications (www.iec.ch/online_news/ justpub) is also available by email. Please contact the Customer Service Centre (see below) for further information.

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INTERNATIONAL STANDARD

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Commission Electrotechnique Internationale
International Electrotechnical Commission
Международная Электротехническая Комиссия

PRICE CODE XB

For price, see current catalogue

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INTERNATIONAL ELECTROTECHNICAL COMMISSION

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MEDICAL ELECTRICAL EQUIPMENT –

Part 1-6: General requirements for safety – Collateral Standard: Usability

FOREWORD

1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
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8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

International Standard IEC 60601-1-6 has been prepared by subcommittee 62A: Common aspects of electrical equipment used in medical practice, of IEC technical committee 62: Electrical equipment in medical practice.

This first edition constitutes a Collateral Standard to IEC 60601-1:1998, *Medical electrical equipment – Part 1: General requirements for safety*, hereinafter referred to as the General Standard.

The text of this Collateral Standard is based on the following documents:

FDIS	Report of voting
62A/452/FDIS	62A/458/RVD

Full information on the voting for the approval of this Collateral Standard can be found in the report on voting indicated in the above table.

In the 60601 series of publications, Collateral Standards specify general requirements for safety applicable to:

– a subgroup of MEDICAL ELECTRICAL EQUIPMENT (e.g. radiological equipment); or

– a specific characteristic of all MEDICAL ELECTRICAL EQUIPMENT, not fully addressed in the General Standard (e.g. electromagnetic compatibility).

The numbering of sections, clauses and subclauses of this Collateral Standard corresponds with that of the General Standard.

Clauses, subclauses, tables and figures which are additional to those of the General Standard are numbered starting from 201; additional annexes are lettered AAA, BBB, etc., and additional items aaa), bbb), etc.

In this Collateral Standard, the following print types are used:

– requirements and definitions: roman type;

– notes, examples, explanations, advice, general statements and references: smaller roman type;

– *test specifications: italic type;* and

– TERMS DEFINED IN CLAUSE 2 OF THE GENERAL STANDARD OR IN THIS COLLATERAL STANDARD OR AS NOTED IN THE INDEX OF DEFINED TERMS: SMALL CAPITALS.

The requirements are followed by specifications for the relevant tests.

Clause and subclauses for which a rationale is provided in informative Annex AAA are marked with an asterisk (*).

The committee has decided that the contents of this publication will remain unchanged until the maintenance result date indicated on the IEC web site under "http://webstore.iec.ch" in the data related to the specific publication. At this date, the publication will be

- reconfirmed;
- withdrawn;
- replaced by a revised edition, or
- amended.

INTRODUCTION

Medical practice is increasingly using MEDICAL ELECTRICAL EQUIPMENT for observation and treatment of PATIENTS. USE ERRORS caused by inadequate MEDICAL ELECTRICAL EQUIPMENT USABILITY have become an increasing cause for concern. The USABILITY ENGINEERING PROCESS is intended to achieve reasonable USABILITY, which in turn is intended to minimise USE ERRORS and to minimise use associated RISKS. Some, but not all, forms of incorrect use are amenable to control by the manufacturer. The USABILITY ENGINEERING PROCESS is part of the PROCESS of RISK CONTROL.

This Collateral Standard describes a USABILITY ENGINEERING PROCESS, and provides guidance on how to implement and execute the PROCESS to provide MEDICAL ELECTRICAL EQUIPMENT SAFETY. It is intended to be useful not only for manufacturers of MEDICAL ELECTRICAL EQUIPMENT, but also for technical committees responsible for the preparation of particular standards.

MEDICAL ELECTRICAL EQUIPMENT –

Part 1-6: General requirements for safety – Collateral Standard: Usability

SECTION ONE – GENERAL

1 Scope and object

1.201 Scope

This Collateral Standard specifies requirements for a PROCESS to analyse, design, verify and validate the USABILITY, as it relates to SAFETY of MEDICAL ELECTRICAL EQUIPMENT, hereinafter referred to as EQUIPMENT. This standard addresses NORMAL USE and USE ERRORS but excludes ABNORMAL USE.

1.202 Relationship to other standards

1.202.1 IEC 60601-1

This Collateral Standard complements IEC 60601-1.

When referring to IEC 60601-1 or to this Collateral Standard, either individually or in combination, the following conventions are used:

– “the General Standard” designates IEC 60601-1 alone;

– “this Collateral Standard” designates IEC 60601-1-6 alone;

– “this Standard” designates the combination of the General Standard and this Collateral Standard.

1.202.2 Particular Standards

A requirement in a Particular Standard takes priority over the corresponding requirement in this Collateral Standard.

1.202.3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60601-1:1988, *Medical electrical equipment – Part 1: General requirements for safety*
Amendment 1 (1991)
Amendment 2 (1995)

IEC 60601-1-8:2003, *Medical electrical equipment – Part 1-8: General requirements for safety – Collateral standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*

ISO 14971:2000, *Medical devices – Application of risk management to medical devices*
Amendment 1 (2003)

2 Terminology and definitions

For the purpose of this collateral standard, the terms and definitions given in Clause 2 of IEC 60601-1:1988, as amended by the other collateral standards, Clause 3 of ISO 14971:2000 and the following apply.

NOTE An index of all defined terms used in this collateral standard is found at the end of the document.

2.201
ABNORMAL USE
intended act or intended omission of an act by the USER or OPERATOR of EQUIPMENT as a result of conduct that is beyond any reasonable means of RISK CONTROL by the manufacturer

NOTE 1 See also Annex BBB. Examples are given in Annex CCC.

NOTE 2 It is possible for the PATIENT to be the OPERATOR, e.g. when EQUIPMENT is used in the PATIENT'S home.

NOTE 3 ABNORMAL USE is not considered REASONABLY FORESEABLE MISUSE.

2.202
EFFECTIVENESS
accuracy and completeness with which OPERATORS achieve specified goals

[ISO 9241-11:1998, definition 3.2, modified]

2.203
EFFICIENCY
resources expended in relation to the accuracy and completeness with which OPERATORS achieve goals

[ISO 9241-11:1998, definition 3.3 modified]

2.204
*** OPERATOR-EQUIPMENT INTERFACE**
means by which the OPERATOR and the EQUIPMENT communicate

[ANSI/AAMI/HE 74:2001, definition 3.24 modified]

NOTE The ACCOMPANYING DOCUMENTS are considered part of the EQUIPMENT and the OPERATOR-EQUIPMENT INTERFACE.

2.205
OPERATOR PROFILE
summary of the mental, physical and demographic traits of the intended OPERATOR population, as well as any special characteristics that can have a bearing on design decisions, such as occupational skills and job requirements

2.206
*** PRIMARY OPERATING FUNCTION**
function that involves OPERATOR interaction that is either frequently used or related to the SAFETY of the EQUIPMENT in NORMAL USE

2.207
*** REASONABLY FORESEEABLE MISUSE**
use by the OPERATOR in a way not intended by the manufacturer but which can result from readily predictable human behaviour

[ISO/IEC Guide 51:1999, definition 3.14, modified]

NOTE 1 REASONABLY FORESEEABLE MISUSE is an intended action.